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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(54) Title:</b> NOVEL ERYTHROMYCIN DERIVATIVES		
<b>(57) Abstract</b>		
<p>The invention relates to novel erythromycin derivatives, particularly ones with novel C-13 R<sup>13</sup> substituents, and to pharmaceutically acceptable salts thereof. The compounds of this invention are useful as antibacterial agents and antiprotozoa agents and for other applications (e.g., anticancer, atherosclerosis, gastric motility reduction, etc.) in mammals, including man, as well as in fish and birds. The invention also relates to pharmaceutical compositions containing such compounds and to methods of treating bacterial protozoa infections by administering such compounds. The invention also relates to methods of preparing such compounds and to intermediates useful in such preparation.</p>		

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NOVEL ERYTHROMYCIN DERIVATIVESBackground of the Invention

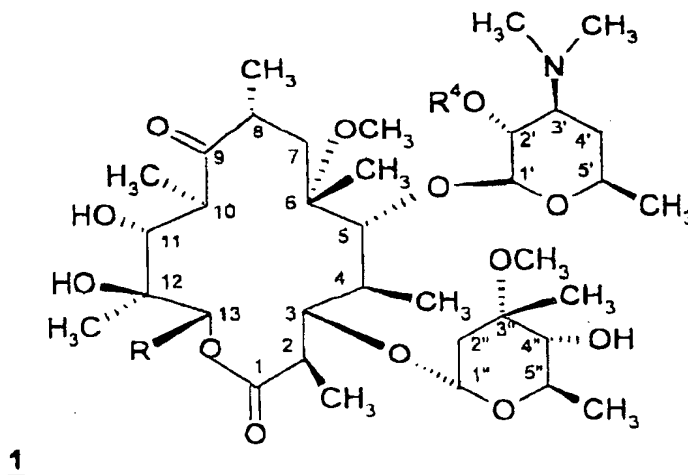
This invention relates to novel erythromycin derivatives that are useful as antibacterial agents and antiprotozoa agents and for other applications (e.g., anticancer, atherosclerosis, gastric motility reduction, etc.) in mammals, including man, as well as in fish and birds. This invention also relates to pharmaceutical compositions containing the novel compounds and to methods of treating bacterial infections and protozoa infections and in mammals, fish and birds by administering the novel compounds to mammals, fish and birds requiring such treatment.

Macrolide antibiotics are known to be useful in the treatment of a broad spectrum of bacterial infections and protozoa infections in mammals, fish and birds. Such antibiotics include various derivatives of erythromycin A such as azithromycin which is commercially available and is referred to in United States patents 4,474,768 and 4,517,359, both of which are incorporated herein by reference in their entirety. Additional macrolides are referred to in U.S. patent application serial number 60/063676, filed October 29, 1997 (Yong-Jin Wu), U.S. application serial number 60/063161, filed October 29, 1997 (Yong-Jin Wu), U.S. application serial number 60/054866, filed August 6, 1997 (Hiroko Masamune, Yong-Jin Wu, Takushi Kaneko and Paul R. McGuirk), U.S. application serial number 60/049980, filed June 11, 1997 (Brian S. Bronk, Michael A. Letavic, Takushi Kaneko and Bingwei V. Yang), U.S. application serial number 60/049348, filed June 11, 1997 (Brian S. Bronk, Hengmiao Cheng, E. A. Glaser, Michael A. Letavic, Takushi Kaneko and Bingwei V. Yang), International Application No. PCT/GB97/01810 filed July 4, 1997 (Peter Francis Leadlay, James Staunton, Jesus Cortes and Michael Stephen Pacey), International Application No. PCT/GB97/01819 filed July 4, 1997 (Peter Francis Leadlay, James Staunton, and Jesus Cortes), U.S. application serial no, 60/070343, filed January 2, 1998, (Dirlam), U.S. application serial no, 60/070358, filed January 2, 1998 (Yong-Jin Wu) and U.S. application serial no. 60/097075, filed August 19, 1998 (Hengmiao Cheng, Michael A. Letavic, Carl B. Ziegler, Jason K. Dutra, Brian S. Bronk), all of which are incorporated herein by reference in their entirety. Like azithromycin and other macrolide antibiotics, the novel macrolide compounds of the present invention possess potent activity against various bacterial infections and protozoa infections as described below.

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Summary of the Invention

The present invention relates to compounds of the formula

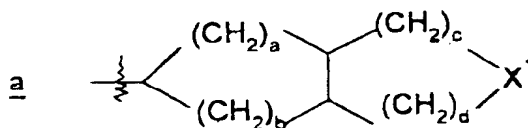


or a pharmaceutically acceptable salt thereof, wherein:

- 10 R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or
- 15 sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

- 20 or R may be with a formula (a) as shown below



wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

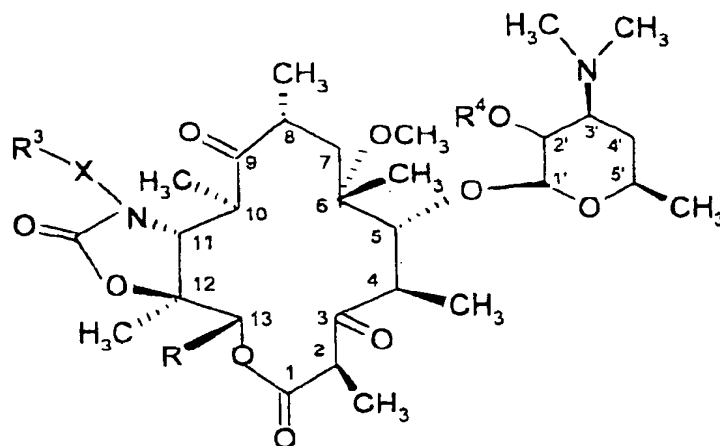
- or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or
- 25 alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be

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5 optionally substituted by methyl or one or more  $C_1$ - $C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo atoms; or a group of the formula  $SR^{23}$  wherein  $R^{23}$  is  $C_1$ - $C_8$  alkyl,  $C_2$ - $C_8$  alkenyl,  $C_2$ - $C_8$  alkynyl,  $C_3$ - $C_8$  cycloalkyl,  $C_5$ - $C_8$  cycloalkenyl, phenyl or substituted phenyl wherein the  
 10 substituent is  $C_1$ - $C_4$  alkyl,  $C_1$ - $C_4$  alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo atoms; and

$R^4$  is H or acyl of an organic acid of up to 18 carbon atoms.

The present invention further relates to compounds of the formula



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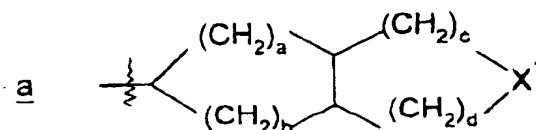
or a pharmaceutically acceptable salt thereof, wherein:

$R$  is an alpha-branched  $C_3$ - $C_8$  alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a  $C_5$ - $C_8$  cycloalkylalkyl group wherein the alkyl group is an alpha-branched  $C_2$ - $C_5$  alkyl group; a  $C_3$ - $C_8$   
 20 cycloalkyl or  $C_6$ - $C_8$  cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more  $C_1$ - $C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo atoms;

25

or  $R$  is phenyl which may be optionally substituted with at least one substituent selected from  $C_1$ - $C_4$  alkyl,  $C_1$ - $C_4$  alkoxy and  $C_1$ - $C_4$  alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or  $R$  may be with a formula (a) as shown below

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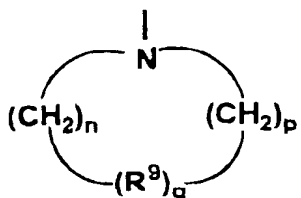


wherein  $X^1$  is O, S or  $-CH_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d \leq 5$ ;

X is  $-(CR^5R^6)_g-$  or  $-NR^5-$ , wherein g is 0 or 1;

wherein when X is  $-NR^5-$ , X and  $R^3$  optionally may be taken together to form  $-N=CR^7R^8$ ,

or when X is  $-NR^5-$ , X and  $R^3$  optionally may be taken together to form a heterocyclic of the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $R^9$  is selected from  $CH_2$ , O, S, C=O, C=S,  $SO_2$ ,  $-CH=CH-$ ,

$CH(OH)CH(OH)-$ , and NH;

or when X is  $-NR^5-$ , X and  $R^3$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{12}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

or R is  $CH_2R^{24}$ , wherein  $R^{24}$  is H,  $C_1-C_8$  alkyl,  $C_2-C_8$  alkenyl,  $C_2-C_8$  alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 8 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a  $C_3-C_8$  cycloalkyl or  $C_5-C_8$  cycloalkenyl either or which may be optionally substituted by methyl or one or more  $C_1-C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo atoms; or a group of the formula  $SR^{23}$  wherein  $R^{23}$  is  $C_1-C_8$  alkyl,  $C_2-C_8$  alkenyl,  $C_2-C_8$  alkynyl,  $C_3-C_8$  cycloalkyl,  $C_5-C_8$  cycloalkenyl, phenyl or substituted phenyl wherein the substituent is  $C_1-C_4$  alkyl,  $C_1-C_4$  alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo atoms;

$R^{10}$  and  $R^{11}$  are each independently selected from H,  $C_1-C_{10}$  alkyl;

or  $R^3$  is selected from H,  $C(=O)Z$ ,  $C(-O)OZ$ ,  $(CR^5R^6)_mZ$ ,  $C(=O)R^7$ ,  $C(=O)OR^7$ ,



5  $(CR^5R^6)_mR^7$ , wherein m is an integer ranging from 0 to 6;

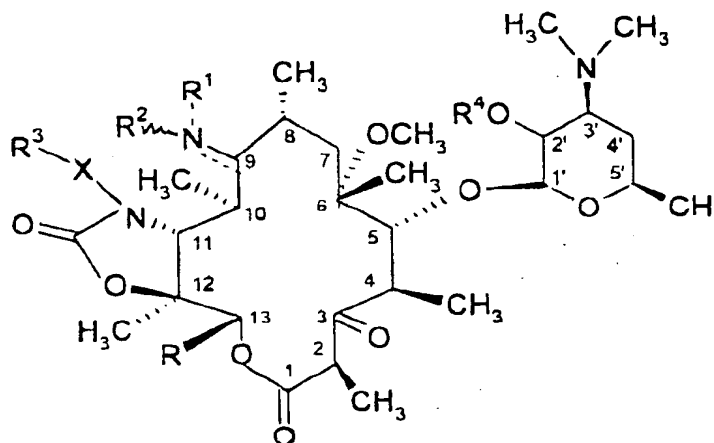
Z is a 4-10 membered heterocyclic or  $C_6$ - $C_{10}$  aryl, wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

$R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

$R^5$  and  $R^6$  are each independently selected from H,  $C_1$ - $C_6$  alkyl, halogen; and  $R^5$  and  $R^6$  may each independently vary when m is greater than 1; and

$R^7$  and  $R^8$  are each independently selected from H,  $C_1$ - $C_{18}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-NR^{10}R^{11}$ ,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ .

20 The present invention further relates to compounds of the formula



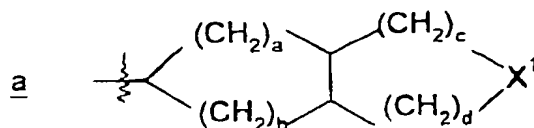
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or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched  $C_3$ - $C_8$  alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a  $C_5$ - $C_8$  cycloalkylalkyl group wherein the alkyl group is an alpha-branched  $C_2$ - $C_6$  alkyl group; a  $C_3$ - $C_8$  cycloalkyl or  $C_5$ - $C_8$  cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more  $C_1$ - $C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo

5 atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:



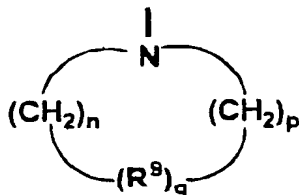
10 wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

X is -(CR<sup>5</sup>R<sup>6</sup>)<sub>g</sub>- or -NR<sup>5</sup>-, wherein g is 0 or 1,

wherein when X is -NR<sup>5</sup>-, X and R<sup>3</sup> optionally may be taken together to form -N=CR<sup>7</sup>R<sup>8</sup>,

or when X is -NR<sup>5</sup>-, X and R<sup>3</sup> optionally may be taken together to form a heterocyclic of

15 the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and R<sup>9</sup> is selected from CH<sub>2</sub>, O, S, C=O, C=S, SO<sub>2</sub>, -CH=CH-, -CH(OH)CH(OH)-, and NH;

20 or when X is -NR<sup>6</sup>-, X and R<sup>3</sup> together form a heterocyclic as defined above having substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing

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- 5 heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_6$  alkyl groups or halo atoms;

$R^{10}$  and  $R^{11}$  are each independently selected from H,  $C_1$ - $C_{10}$  alkyl;

or  $R^3$  is selected from H,  $C(=O)Z$ ,  $C(=O)OZ$ ,  $(CR^5R^6)_mZ$ ,  $C(=O)R^7$ ,  $C(=O)OR^7$ ,  $(CR^5R^6)_mR^7$  wherein m is selected from an integer ranging from 0 to 6;

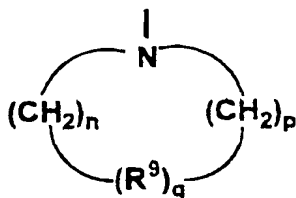
- 10  $Z$  is a 4-10 membered heterocyclic or  $C_6$ - $C_{10}$  aryl; wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

- 15  $R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

$R^5$  and  $R^6$  are each independently selected from H,  $C_1$ - $C_6$  alkyl, halogen; and  $R^5$  and  $R^6$  may each independently vary when m is greater than 1;

where the bond between C9 and the nitrogen to which C9 is linked is a single bond,  $R^1$  and  $R^2$  together with the nitrogen atom to which they are linked optionally may together form

- 20  $-N=CR^7R^8$ , or  $R^1$  and  $R^2$  together with the nitrogen atom to which they are linked optionally may together form a heterocyclic of the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $R^9$  is selected from  $CH_2$ , O, S,  $C=O$ ,  $C=S$ ,  $SO_2$ ,  $-CH=CH-$ ,

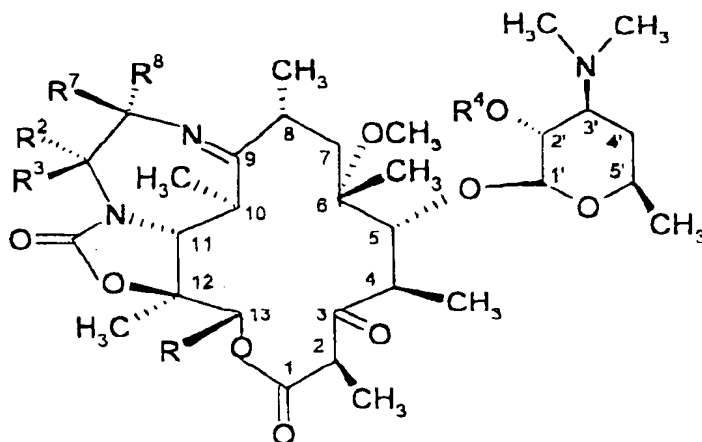
- 25  $-CH(OH)CH(OH)-$ , and NH; or X and  $R^3$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ; and

- $R^1$ ,  $R^2$ ,  $R^7$ , and  $R^8$  are each independently selected from H,  $C_1$ - $C_{12}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

- 35 where the bond between C9 and the nitrogen to which C9 is linked is a double bond,  $R^1$

5 is not existent, and  $R^2$  is  $OR^7$ , wherein  $R^7$  is defined as above.

The present invention further relates to compounds of the formula



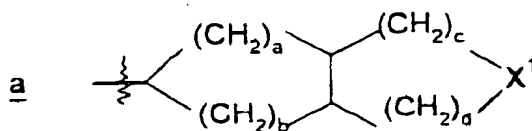
4

or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group  
 10 any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub>  
 cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub>  
 cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or  
 one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered  
 oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially  
 15 unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo  
 atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected  
 from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups,  
 trifluoromethyl, and cyano;

20 or R may be with a formula (a) as shown below



wherein  $X^1$  is O, S or  $-CH_2-$ , a, b, c, and d are each independently selected from an integer  
 ranging from 0 to 2 and  $a + b + c + d \leq 5$ ;

or R is  $CH_2R^{2a}$ , wherein  $R^{2a}$  is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or  
 25 alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of  
 said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups

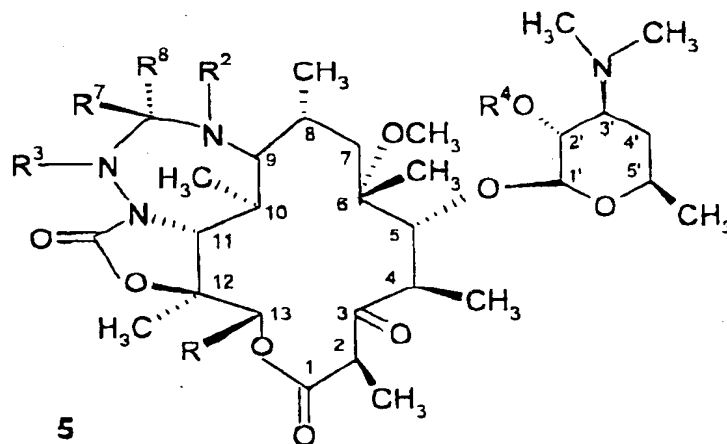
5 or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6  
6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or  
7 partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups  
8 or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-  
9 C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the  
10 substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing  
11 heterocyclic ring which may be saturated, or fully or partially unsaturated and which may  
12 optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms;

15  $R^3$ ,  $R^2$ ,  $R^7$ , and  $R^8$  are independently selected from H,  $C_1$ - $C_{18}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ; and

20  $R^{10}$  and  $R^{11}$  are each independently selected from H,  $C_1$ - $C_{10}$  alkyl; and

$R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

The present invention further relates to compounds of the formula

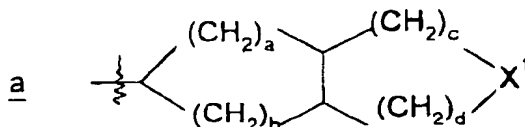


or a pharmaceutically acceptable salt thereof, wherein:

25 R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group  
any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub>  
cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub>  
cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or  
one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered  
30 oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially

- 5 unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from  $C_1$ - $C_4$  alkyl,  $C_1$ - $C_4$  alkoxy and  $C_1$ - $C_4$  alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:



10

wherein  $X^1$  is O, S or  $-CH_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d \leq 5$ ;

- or R is  $CH_2R^{24}$ , wherein  $R^{24}$  is H,  $C_1$ - $C_8$ alkyl,  $C_2$ - $C_8$ alkenyl,  $C_2$ - $C_8$ alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of  
 15 said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a  $C_3$ - $C_6$ cycloalkyl or  $C_6$ - $C_6$ cycloalkenyl either or which may be optionally substituted by methyl or one or more  $C_1$ - $C_4$ alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$ alkyl groups  
 20 or halo atoms; or a group of the formula  $SR^{23}$  wherein  $R^{23}$  is  $C_1$ - $C_8$ alkyl,  $C_2$ - $C_8$ alkenyl,  $C_2$ - $C_8$ alkynyl,  $C_3$ - $C_6$ cycloalkyl,  $C_5$ - $C_6$ cycloalkenyl, phenyl or substituted phenyl wherein the substituent is  $C_1$ - $C_4$ alkyl,  $C_1$ - $C_4$ alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$ alkyl groups or halo atoms;

- 25  $R^{10}$  and  $R^{11}$  are independently selected from H,  $C_1$ - $C_{10}$  alkyl;

$R^3$  is selected from H,  $C(=O)Z$ ,  $C(=O)OZ$ ,  $(CR^5R^6)_mZ$ ,  $C(=O)R^7$ ,  $C(=O)OR^7$ ,  $(CR^5R^6)_mR^7$  wherein m is selected from an integer ranging from 0 to 6;

- Z is a 4-10 membered heterocyclic or  $C_6$ - $C_{10}$  aryl, wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group  
 30 consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

$R^5$  and  $R^6$  are independently selected from H,  $C_1$ - $C_6$  alkyl, halogen; and  $R^5$  and  $R^6$  may each vary independently when m is greater than 1;

- 35  $R^2$ ,  $R^7$ , and  $R^8$  are each independently selected from H,  $C_1$ - $C_{18}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,

- 5 -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>8</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>; and

R<sup>4</sup> is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

The preferred compounds of formula 1 include those selected from the group consisting of:

- 10 the compound of formula 1 wherein R is Me and R<sup>4</sup> is H;  
 the compound of formula 1 wherein R is n-butyl and R<sup>4</sup> is H;  
 the compound of formula 1 wherein R is MeS and R<sup>4</sup> is H;  
 the compound of formula 1 wherein R is EtS and R<sup>4</sup> is H;  
 the compound of formula 1 wherein R is cyclopropyl and R<sup>4</sup> is H;  
 15 the compound of formula 1 wherein R is cyclobutyl and R<sup>4</sup> is H;  
 the compound of formula 1 wherein R is cyclopentyl and R<sup>4</sup> is H; and  
 the compound of formula 1 wherein R is cyclohexyl and R<sup>4</sup> is H.

The preferred compounds of formula 2 include those selected from the group consisting of:

- 20 the compound of formula 2 wherein R is Me, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;  
 the compound of formula 2 wherein R is Me, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;  
 the compound of formula 2 wherein R = Me, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-  
 25 imidazol-1-yl)-propyl;  
 the compound of formula 2 wherein R is Me, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;  
 the compound of formula 2 wherein R is Me, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;  
 30 the compound of formula 2 wherein R is Me, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;  
 the compound of formula 2 wherein R is n-butyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;  
 the compound of formula 2 wherein R is n-butyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;  
 35 the compound of formula 2 wherein R is n-butyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;  
 the compound of formula 2 wherein R is n-butyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

- 5 the compound of formula 2 wherein R is n-butyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of formula 2 wherein R is n-butyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of formula 2 wherein R is MeS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-
- 10 propyl;
- the compound of formula 2 wherein R is MeS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- the compound of formula 2 wherein R is MeS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- 15 the compound of formula 2 wherein R is MeS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of formula 2 wherein R is MeS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of formula 2 wherein R is MeS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-
- 20 pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of formula 2 wherein R is EtS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- the compound of formula 2 wherein R is EtS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- 25 the compound of formula 2 wherein R is EtS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of formula 2 wherein R is EtS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of formula 2 wherein R is EtS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-
- 30 1H-imidazol-1-yl)propyl;
- the compound of formula 2 wherein R is EtS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of formula 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- 35 the compound of formula 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- the compound of formula 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of formula 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-



5 phenyl-imidazol-1-yl)-propyl;

the compound of formula 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

the compound of formula 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

10 the compound of formula 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

the compound of formula 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

15 the compound of formula 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of formula 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of formula 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

20 the compound of formula 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

the compound of formula 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

25 the compound of formula 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

the compound of formula 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of formula 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

30 the compound of formula 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

the compound of formula 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

35 the compound of formula 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

the compound of formula 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

the compound of formula 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

5 the compound of formula 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of formula 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl; and

10 the compound of formula 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl.

The preferred compounds of formula 3 wherein the bond between C-9 and the nitrogen to which C-9 is connected is a double bond include those selected from the group consisting of:

the compound of formula 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

15 the compound of formula 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

20 the compound of formula 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

25 the compound of formula 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

30 the compound of formula 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

35 the compound of formula 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-

5 imidazol-1-yl)-propyl and R<sup>2</sup> is OMe

the compound of formula 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

10 the compound of formula 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

15 the compound of formula 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

the compound of formula 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

20 the compound of formula 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe

the compound of formula 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe; and

25 the compound of formula 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe.

Other preferred compounds of formula 3, wherein the bond between C-9 and the nitrogen to which C-9 is connected is a single bond, include those wherein:

the compound of formula 3 wherein R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

30 the compound of formula 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl; R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of formula 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

35 the compound of formula 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of formula 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of formula 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

- 5 the compound of formula 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 10 the compound of formula 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 15 the compound of formula 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 20 the compound of formula 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 25 the compound of formula 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 30 the compound of formula 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 35 the compound of formula 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of formula 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr; and
- the compound of formula 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-

5 pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr.

The preferred compounds of formula 5 include those selected from the group consisting of:

the compound of formula 5 wherein R is Me, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

10 the compound of formula 5 wherein R is Me, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is Me, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

15 the compound of formula 5 wherein R is n-butyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is n-butyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is n-butyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

20 the compound of formula 5 wherein R is MeS, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is MeS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

25 the compound of formula 5 wherein R is MeS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is EtS, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is EtS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

30 the compound of formula 5 wherein R is EtS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is cyclopropyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

35 the compound of formula 5 wherein R is cyclopropyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is cyclopropyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is cyclobutyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

5 the compound of formula 5 wherein R is cyclobutyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is cyclobutyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

10 the compound of formula 5 wherein R is cyclopentyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is cyclopentyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is cyclopentyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

15 the compound of formula 5 wherein R is cyclohexyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of formula 5 wherein R is cyclohexyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et; and

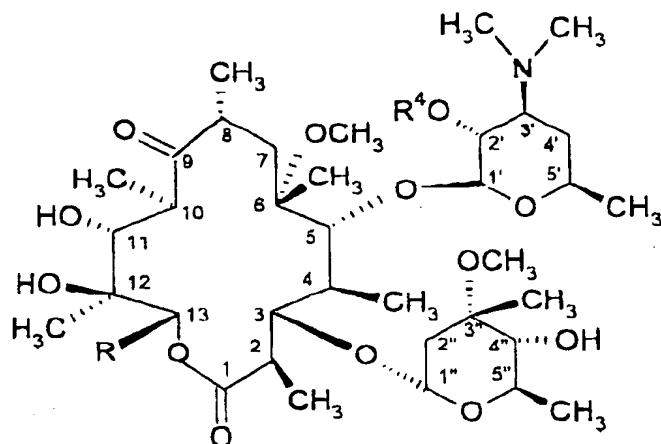
20 the compound of formula 5 wherein R is cyclohexyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me or Et.

The invention also relates to a pharmaceutical composition for the treatment of a bacterial infection or a protozoa infection in a mammal, fish, or bird which comprises a therapeutically effective amount of a compound of formulas 1, 2, 3, 4 or 5 or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier.

25 The invention also relates to a method of treating a bacterial infection or a protozoa infection in a mammal, fish, or bird which comprises administering to said mammal, fish or bird a therapeutically effective amount of a compound of formula 1, 2, 3, 4 or 5 or a pharmaceutically acceptable salt thereof.

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5 The invention also relates to a process for preparing a compound of the formula

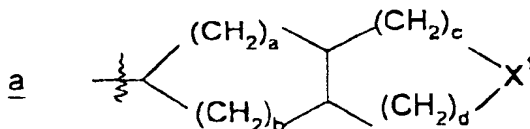
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or a pharmaceutically acceptable salt thereof, wherein:

R is methyl, an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>6</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

or R may be with a formula (a) as shown below

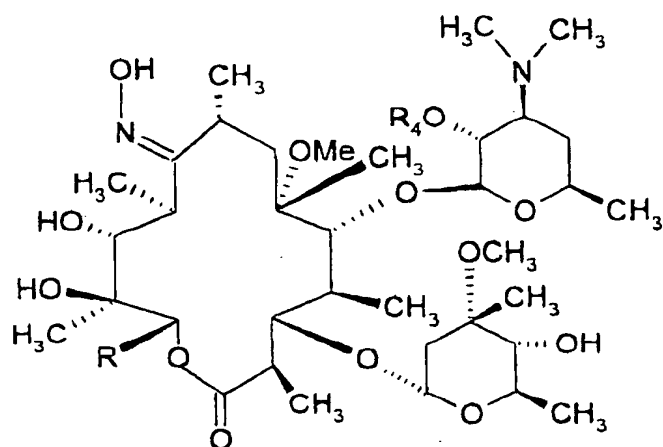


wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5; and

R<sup>4</sup> is H or acyl of an organic acid of up to 18 carbon atoms, which comprises treating a compound of the formula

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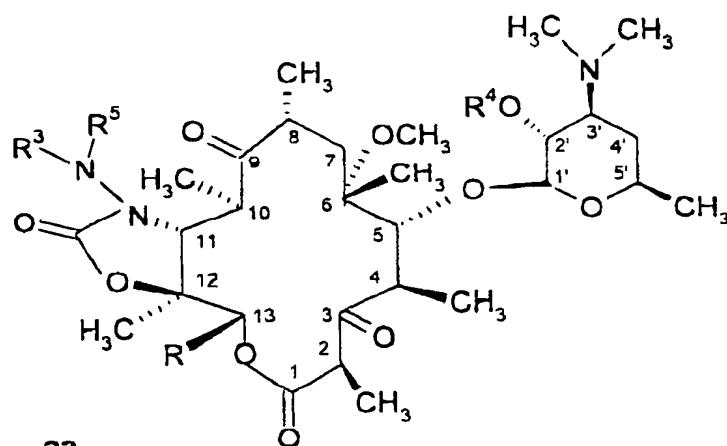
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wherein R and R<sub>4</sub> are as defined in formula 1 with a hydrolyzing agent.

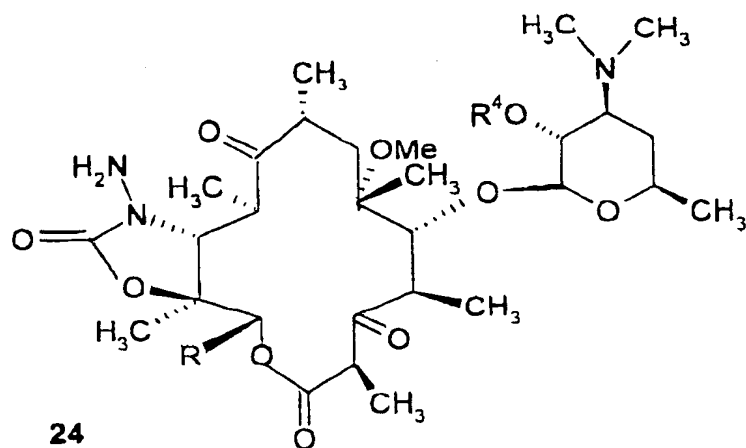
The invention also relates to a process for preparing a compound of the formula

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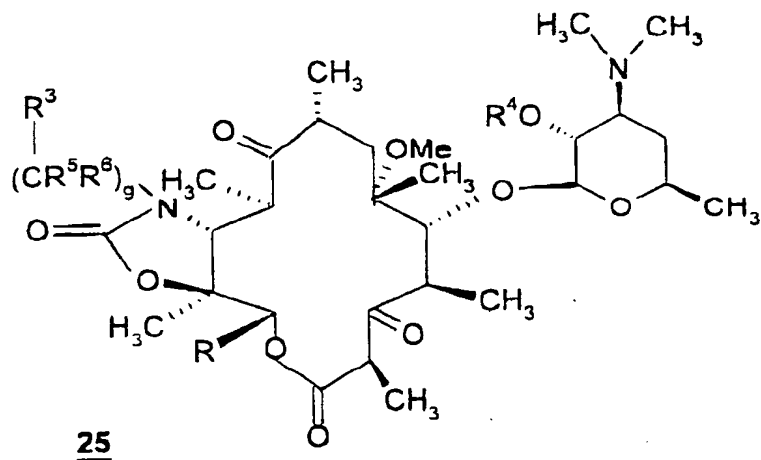
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- 5 wherein R, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are as defined in formula 2 and X in formula 2 is -NR<sup>5</sup> which comprises treating a compound of the formula



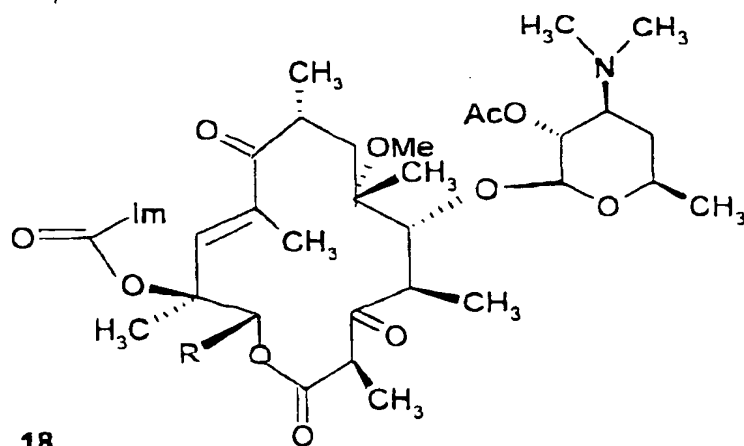
wherein R and R<sup>4</sup> are as defined in formula 2, with an alkylating agent.

The invention also relates to a process for preparing a compound of the formula



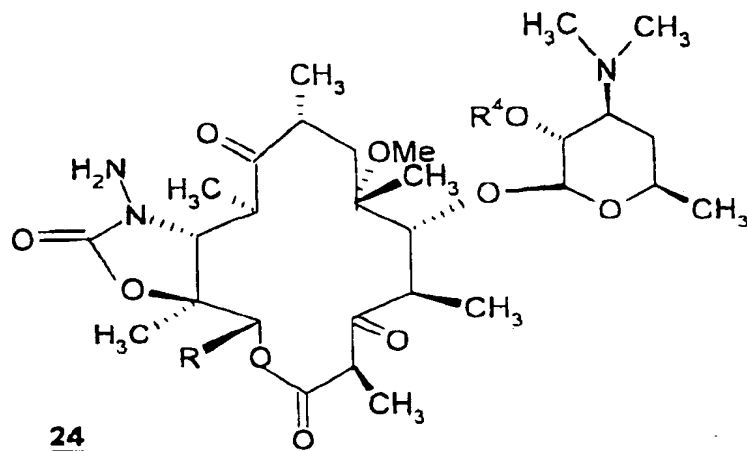
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- 5 wherein R, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are as defined in formula 2 and X in formula 2 is  $-(CR^5R^6)_g-$  which comprises treating a compound of the formula

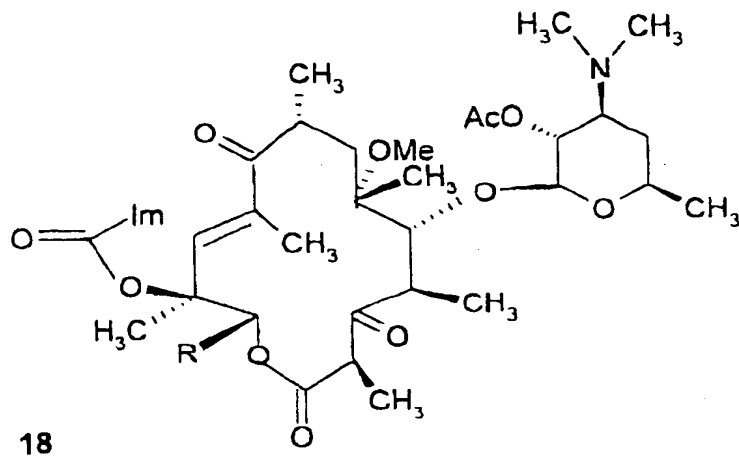


wherein R is as defined in formula 2 with a compound of the formula  $R^3-C(R^5R^6)_g-NH_2$ , wherein g is 0 or 1 and R<sup>3</sup>, R<sup>5</sup> and R<sup>6</sup> are as defined in formula 2.

- 10 The invention also relates to a process for preparing a compound of the formula

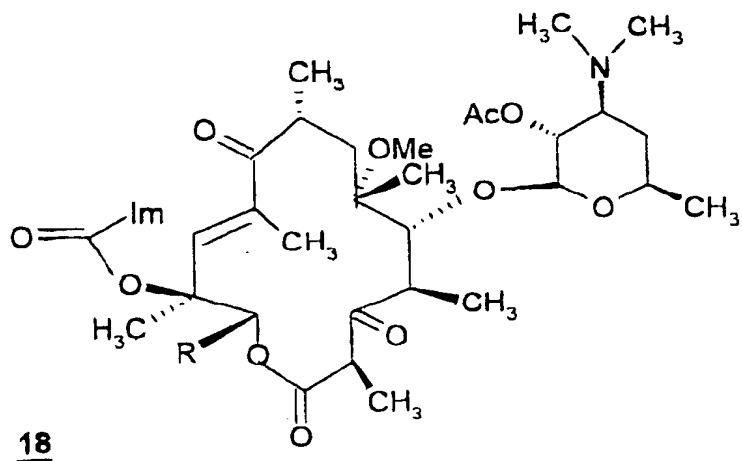


5 wherein R and R<sup>4</sup> are as defined in formula 2, by treating a compound of the formula



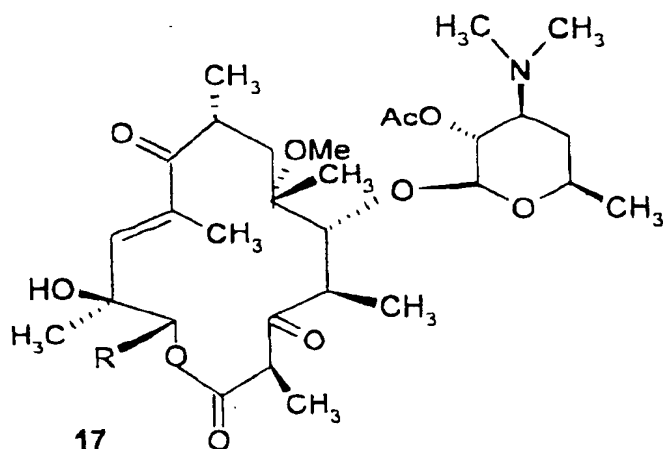
wherein R is as defined in formula 2, with  $\text{NH}_2\text{NH}_2$ .

The invention also relates to a process for preparing a compound of the formula



10 wherein R is as defined in formula 2 which comprises treating a compound of the formula

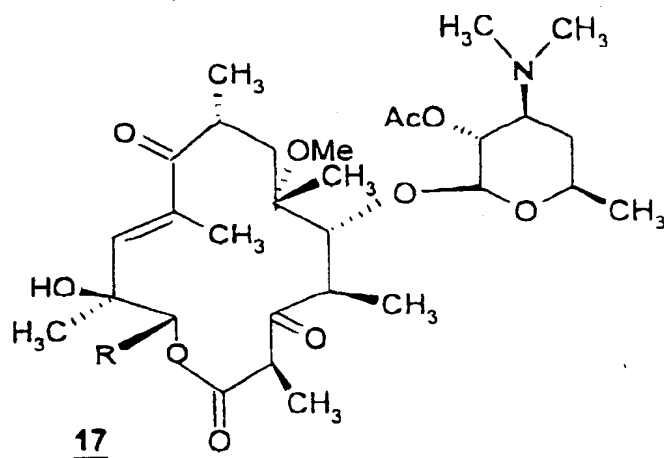
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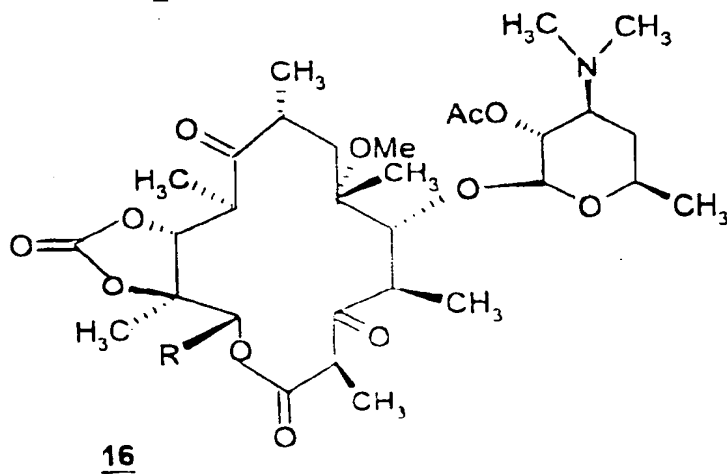
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wherein R is as defined in formula 2 with carbonyldiimidazole.

The invention also relates to a process for preparing a compound of the formula



wherein R is as defined in formula 2 which comprises treating a compound of the formula

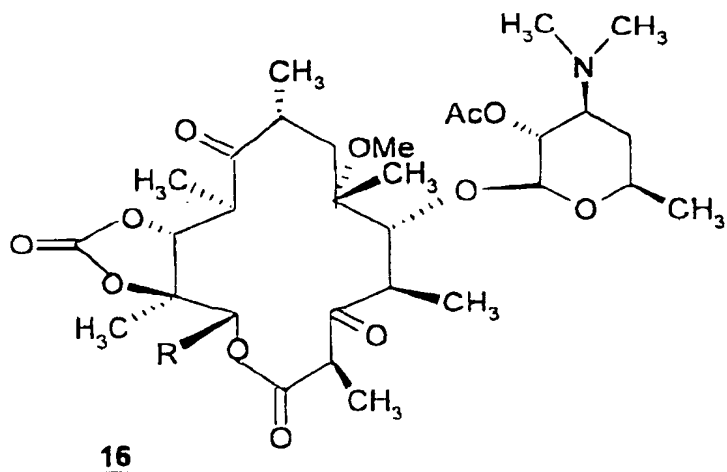


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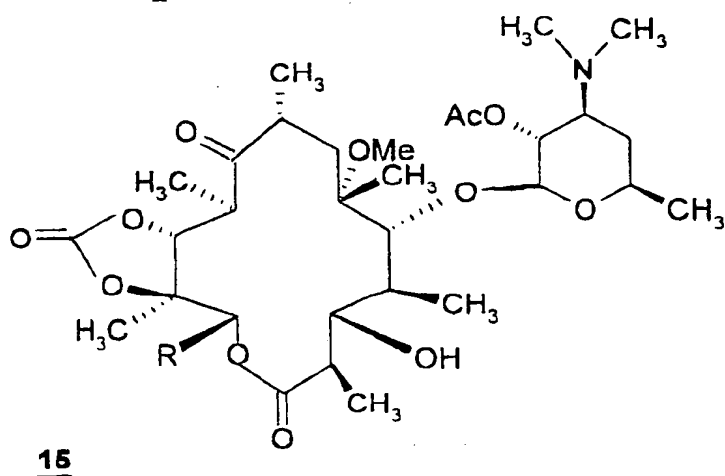
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- 5 wherein R is as defined in formula 2 with a base.

The invention also relates to a process for preparing a compound of the formula



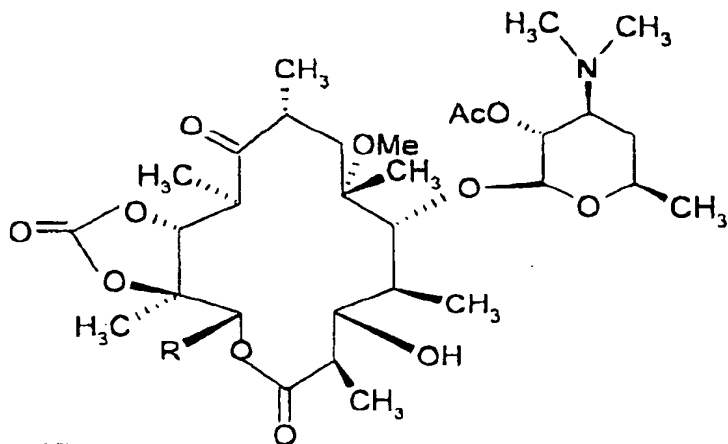
wherein R is as defined in formula 2 which comprises treating a compound of the formula



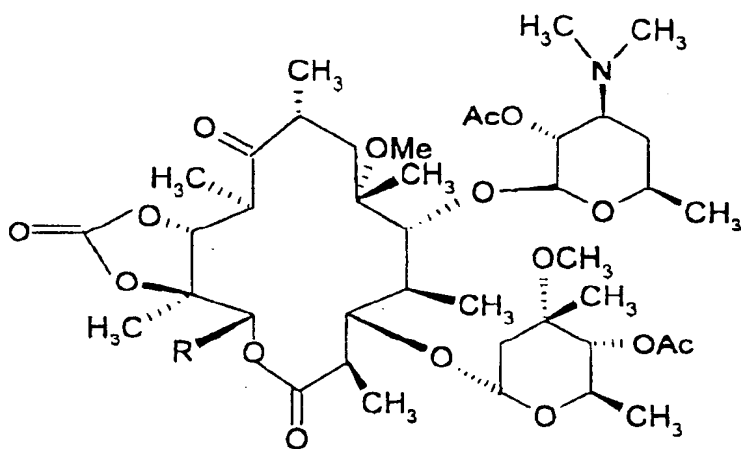
- 10 wherein R is as defined in formula 2 with an oxidizing agent.

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5 The invention also relates to a process for preparing a compound of the formula

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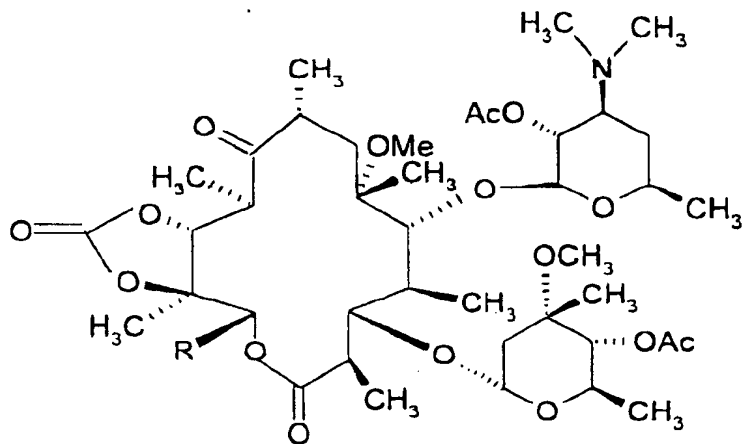
wherein R is as defined in formula 2 which comprises treating a compound of the formula

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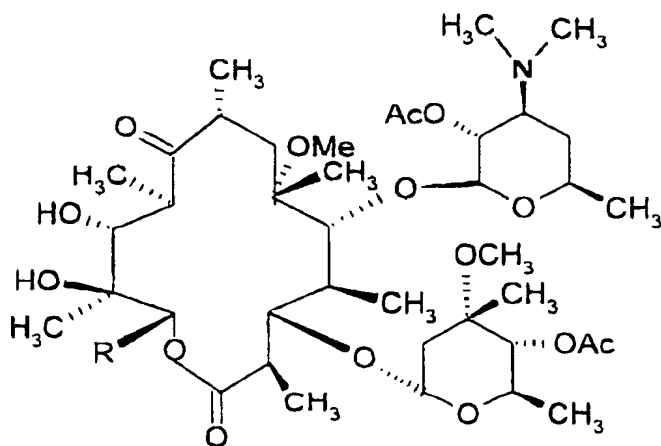
wherein R is as defined in formula 2 with an acid.

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5 The invention also relates to a process for preparing a compound of the formula

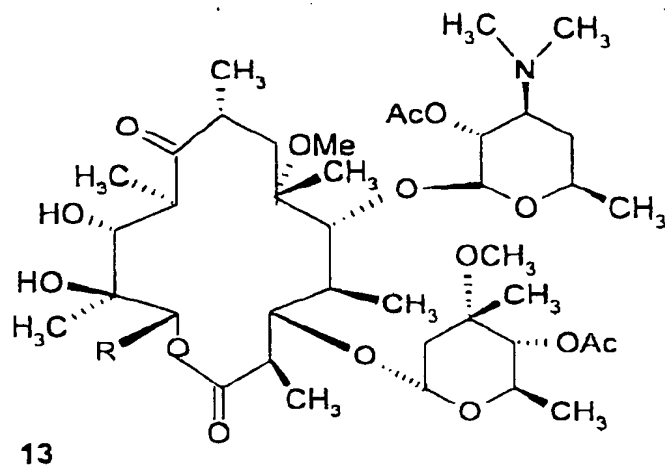
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wherein R is as defined in formula 2 which comprises treating a compound of the formula

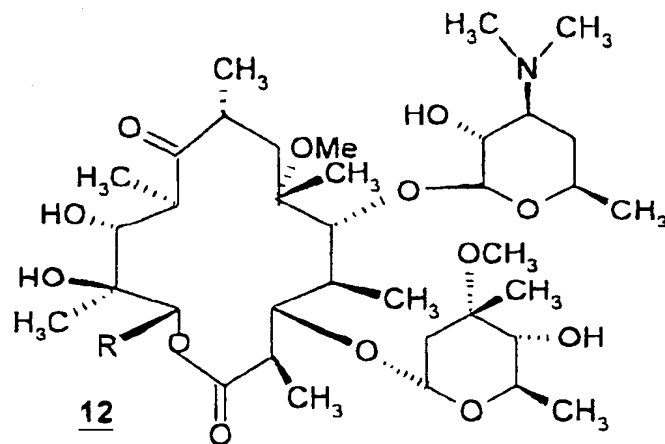
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wherein R is as defined in formula 2, with trichloromethylisocyanate, ethylene carbonate or  
 10 caronyldiimidazole.

5 The invention also relates to a process for preparing a compound of the formula



wherein R is as defined in formula 2 which comprises treating a compound of the formula

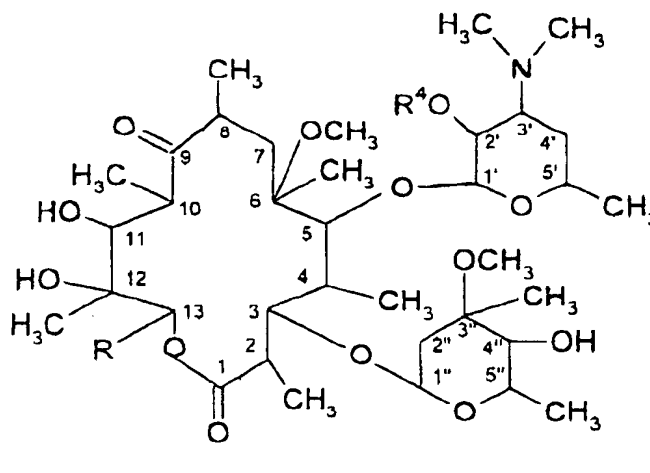


10 wherein R is as defined in formula 2 with an acylating agent.



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5 The invention further relates to a compound of the formula

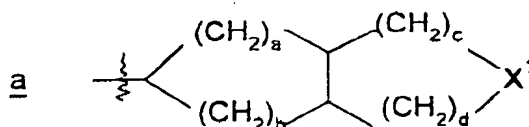


or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group  
 10 any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and  
 15 which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

or R may be with a formula (a) as shown below



20

wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

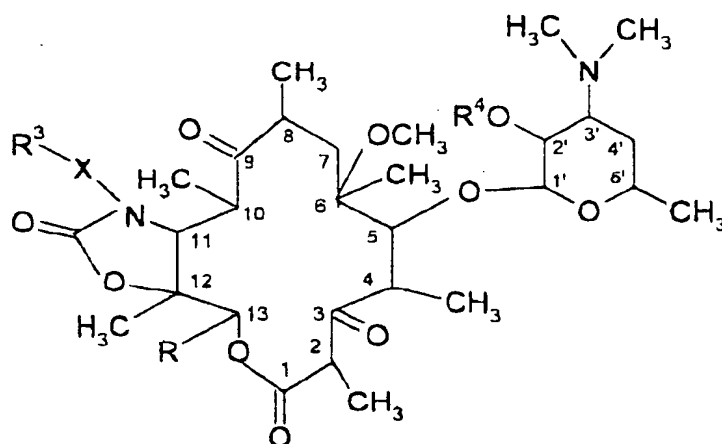
or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of  
 25 said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6

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- 5 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing
- 10 heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; and

R<sup>4</sup> is H or acyl of an organic acid of up to 18 carbon atoms.

The invention also relates to a compound of the formula



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- 15 or a pharmaceutically acceptable salt thereof, wherein:

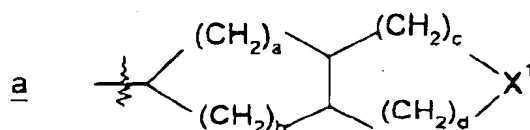
R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or

20 one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected

25 from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below

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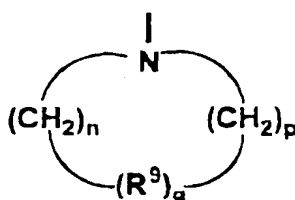


wherein  $\text{X}^1$  is O, S or  $-\text{CH}_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d \leq 5$ ;

X is  $-(\text{CR}^5\text{R}^6)_g-$  or  $-\text{NR}^5-$ , wherein g is 0 or 1;

wherein when X is  $-\text{NR}^5-$ , X and  $\text{R}^3$  optionally may be taken together to form  $-\text{N}=\text{CR}^7\text{R}^8$ ,

or when X is  $-\text{NR}^5-$ , X and  $\text{R}^3$  optionally may be taken together to form a heterocyclic of the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $\text{R}^9$  is selected from  $\text{CH}_2$ , O, S,  $\text{C}=\text{O}$ ,  $\text{C}=\text{S}$ ,  $\text{SO}_2$ ,  $-\text{CH}=\text{CH}-$ ,  $-\text{CH}(\text{OH})\text{CH}(\text{OH})-$ , and NH;

or when X is  $-\text{NR}^5-$ , X and  $\text{R}^3$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-\text{C}(\text{O})\text{OR}^{10}$ ,  $-\text{OR}^{10}$ ,  $\text{C}_1$ - $\text{C}_{10}$  alkanoyl, halo, nitro, cyano,  $\text{R}^{10}$ , 4-10 membered heterocyclic,  $\text{C}_6$ - $\text{C}_{10}$  aryl,  $-\text{C}(\text{O})\text{NR}^{10}\text{R}^{12}$ ,  $-\text{NHC}(\text{O})\text{R}^{10}$ ,  $-\text{NHC}(\text{O})\text{NR}^{10}\text{R}^{11}$ ,  $-\text{NR}^{10}\text{R}^{11}$ ,  $-\text{SR}^{10}$ ,  $-\text{S}(\text{O})\text{R}^{10}$ ,  $-\text{SO}_2\text{R}^{10}$  and  $-\text{SO}_2\text{NR}^{10}\text{R}^{11}$ ;

or R is  $\text{CH}_2\text{R}^{24}$ , wherein  $\text{R}^{24}$  is H,  $\text{C}_1$ - $\text{C}_8$  alkyl,  $\text{C}_2$ - $\text{C}_8$  alkenyl,  $\text{C}_2$ - $\text{C}_8$  alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a  $\text{C}_3$ - $\text{C}_8$  cycloalkyl or  $\text{C}_5$ - $\text{C}_8$  cycloalkenyl either or which may be optionally substituted by methyl or one or more  $\text{C}_1$ - $\text{C}_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more  $\text{C}_1$ - $\text{C}_4$  alkyl groups or halo atoms; or a group of the formula  $\text{SR}^{23}$  wherein  $\text{R}^{23}$  is  $\text{C}_1$ - $\text{C}_8$  alkyl,  $\text{C}_2$ - $\text{C}_8$  alkenyl,  $\text{C}_2$ - $\text{C}_8$  alkynyl,  $\text{C}_3$ - $\text{C}_8$  cycloalkyl,  $\text{C}_5$ - $\text{C}_8$  cycloalkenyl, phenyl or substituted phenyl wherein the substituent is  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_1$ - $\text{C}_4$  alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $\text{C}_1$ - $\text{C}_4$  alkyl groups or halo atoms;

$\text{R}^{10}$  and  $\text{R}^{11}$  are each independently selected from H,  $\text{C}_1$ - $\text{C}_{10}$  alkyl;

or  $\text{R}^3$  is selected from H,  $\text{C}(=\text{O})\text{Z}$ ,  $\text{C}(=\text{O})\text{OZ}$ ,  $(\text{CR}^5\text{R}^6)_m\text{Z}$ ,  $\text{C}(=\text{O})\text{R}^7$ ,  $\text{C}(=\text{O})\text{OR}^7$ ,

- 5  $(CR^5R^6)_mR^7$ , wherein m is an integer ranging from 0 to 6;

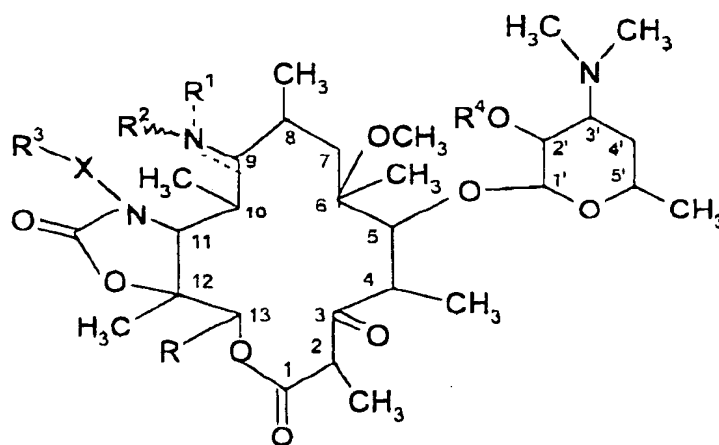
Z is a 4-10 membered heterocyclic or  $C_6-C_{10}$  aryl, wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

$R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

$R^5$  and  $R^6$  are each independently selected from H,  $C_1-C_6$  alkyl, halogen; and  $R^5$  and  $R^6$  may each independently vary when m is greater than 1; and

$R^7$  and  $R^8$  are each independently selected from H,  $C_1-C_{18}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-NR^{10}R^{11}$ ,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ .

20 The invention further relates to a compound of the formula



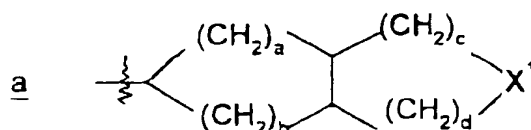
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or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched  $C_3-C_8$  alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a  $C_5-C_8$  cycloalkylalkyl group wherein the alkyl group is an alpha-branched  $C_2-C_5$  alkyl group; a  $C_3-C_8$  cycloalkyl or  $C_5-C_8$  cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more  $C_1-C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo

5 atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:



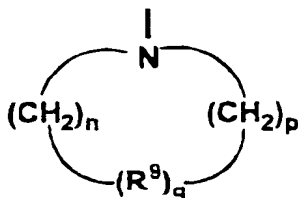
10 wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

X is -(CR<sup>5</sup>R<sup>6</sup>)<sub>g</sub>- or -NR<sup>5</sup>-, wherein g is 0 or 1,

wherein when X is -NR<sup>5</sup>-, X and R<sup>3</sup> optionally may be taken together to form -N=CR<sup>7</sup>R<sup>6</sup>,

or when X is -NR<sup>5</sup>-, X and R<sup>3</sup> optionally may be taken together to form a heterocyclic of

15 the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and R<sup>9</sup> is selected from CH<sub>2</sub>, O, S, C=O, C=S, SO<sub>2</sub>, -CH=CH-, -CH(OH)CH(OH)-, and NH;

20 or when X is -NR<sup>5</sup>-, X and R<sup>3</sup> together form a heterocyclic as defined above having substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing

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- 5 heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo atoms;

$R^{10}$  and  $R^{11}$  are each independently selected from H,  $C_1$ - $C_{10}$  alkyl;

or  $R^3$  is selected from H,  $C(=O)Z$ ,  $C(=O)OZ$ ,  $(CR^5R^6)_mZ$ ,  $C(=O)R^7$ ,  $C(=O)OR^7$ ,  $(CR^5R^6)_mR^7$  wherein m is selected from an integer ranging from 0 to 6;

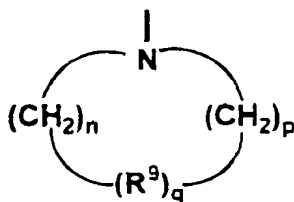
- 10  $Z$  is a 4-10 membered heterocyclic or  $C_6$ - $C_{10}$  aryl; wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

- 15  $R^d$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

$R^5$  and  $R^6$  are each independently selected from H,  $C_1$ - $C_6$  alkyl, halogen; and  $R^5$  and  $R^6$  may each independently vary when m is greater than 1;

where the bond between C9 and the nitrogen to which C9 is linked is a single bond,  $R^1$  and  $R^2$  together with the nitrogen atom to which they are linked optionally may together form

- 20  $-N=CR^7R^8$ , or  $R^1$  and  $R^2$  together with the nitrogen atom to which they are linked optionally may together form a heterocyclic of the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $R^9$  is selected from  $CH_2$ , O, S,  $C=O$ ,  $C=S$ ,  $SO_2$ ,  $-CH=CH-$ ,

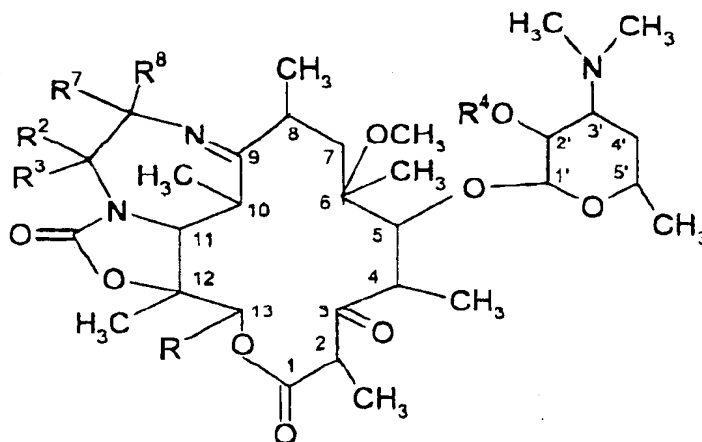
- 25  $-CH(OH)CH(OH)-$ , and NH; or X and  $R^3$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ; and

- $R^1$ ,  $R^2$ ,  $R^7$ , and  $R^8$  are each independently selected from H,  $C_1$ - $C_{12}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

- 35 where the bond between C9 and the nitrogen to which C9 is linked is a double bond,  $R^1$

5 is not existent, and  $R^2$  is  $OR^7$ , wherein  $R^7$  is defined as above.

The invention also relates to a compound of the formula:



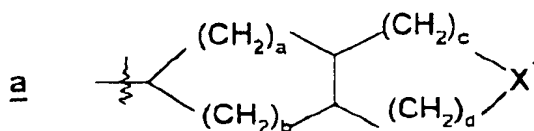
4

or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group  
10 any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub>  
cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub>  
cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or  
one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered  
oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially  
15 unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo  
atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

20 or R may be with a formula (a) as shown below



wherein  $X^1$  is O, S or  $-CH_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d < 5$ ;

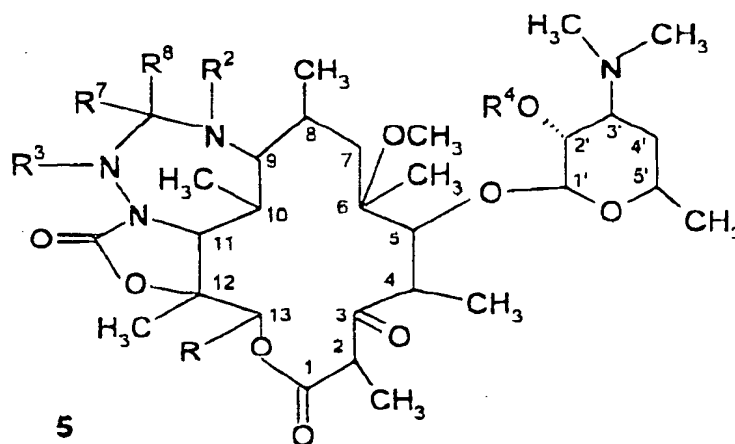
or R is  $\text{CH}_2\text{R}^{24}$ , wherein  $\text{R}^{24}$  is H,  $\text{C}_1\text{-C}_8$ alkyl,  $\text{C}_2\text{-C}_8$ alkenyl,  $\text{C}_2\text{-C}_8$ alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups

5 or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the  
 10 substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms;

R<sup>3</sup>, R<sup>2</sup>, R<sup>7</sup>, and R<sup>8</sup> are independently selected from H, C<sub>1</sub>-C<sub>18</sub> alkyl, wherein one or two  
 15 carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>; and

20 R<sup>10</sup> and R<sup>11</sup> are each independently selected from H, C<sub>1</sub>-C<sub>10</sub> alkyl; and R<sup>4</sup> is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

The invention also relates to a compound of the formula



or a pharmaceutically acceptable salt thereof, wherein:

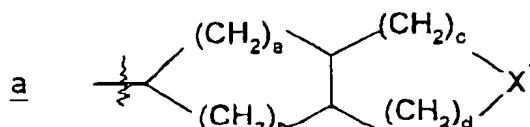
25 R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>3</sub>-C<sub>8</sub> cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered  
 30 oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially



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5 unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:



10

wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of  
 15 said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups  
 20 or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms;

25 R<sup>10</sup> and R<sup>11</sup> are independently selected from H, C<sub>1</sub>-C<sub>10</sub> alkyl;

R<sup>3</sup> is selected from H, C(=O)Z, C(=O)OZ, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>Z, C(=O)R<sup>7</sup>, C(=O)OR<sup>7</sup>, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>R<sup>7</sup> wherein m is selected from an integer ranging from 0 to 6;

Z is a 4-10 membered heterocyclic or C<sub>6</sub>-C<sub>10</sub> aryl, wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group  
 30 consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>-SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

R<sup>5</sup> and R<sup>6</sup> are independently selected from H, C<sub>1</sub>-C<sub>8</sub> alkyl, halogen; and R<sup>5</sup> and R<sup>6</sup> may each vary independently when m is greater than 1;

35 R<sup>2</sup>, R<sup>7</sup>, and R<sup>8</sup> are each independently selected from H, C<sub>1</sub>-C<sub>18</sub> alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of -C(O)OR<sup>10</sup>,

- 5 -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>; and

R<sup>4</sup> is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

- 10 The term "treatment", as used herein, unless otherwise indicated, includes the treatment or prevention of a bacterial infection or protozoa infection as provided in the method of the present invention.

- As used herein, unless otherwise indicated, the term "bacterial infection(s)" or "protozoa infections; includes bacterial infections and protozoa infections that occur in mammals, fish and birds as well as disorders related to bacterial infections and protozoa infections that may be
- 15 treated or prevented by administering antibiotics such as the compounds of the present invention. Such bacterial infections and protozoa infections and disorders related to such infections include the following: pneumonia, otitis media, sinusitis, bronchitis, tonsillitis, and mastoiditis related to infection by *Streptococcus pneumoniae*, *Haemophilus influenzae*, *Moraxella catarrhalis*, *Staphylococcus aureus*, or *Peptostreptococcus* spp.; pharyngitis, rheumatic fever, and
- 20 glomerulonephritis related to infection by *Streptococcus pyogenes*. Groups C and G streptococci, *Clostridium diphtheriae*, or *Actinobacillus haemolyticum*; respiratory tract infections related to infection by *Mycoplasma pneumoniae*, *Legionella pneumophila*, *Streptococcus pneumoniae*, *Haemophilus influenzae*, or *Chlamydia pneumoniae*; uncomplicated skin and soft tissue infections, abscesses and osteomyelitis, and puerperal fever related to infection by
- 25 *Staphylococcus aureus*, coagulase-positive staphylococci (i.e., *S. epidermidis*, *S. hemolyticus*, etc.), *Streptococcus pyogenes*, *Streptococcus agalactiae*, Streptococcal groups C-F (minute-colony streptococci), viridans streptococci, *Corynebacterium minutissimum*, *Clostridium* spp., or *Bartonella henselae*; uncomplicated acute urinary tract infections related to infection by *Staphylococcus saprophyticus* or *Enterococcus* spp.; urethritis and cervicitis; and sexually
- 30 transmitted diseases related to infection by *Chlamydia trachomatis*, *Haemophilus ducreyi*, *Treponema pallidum*, *Ureaplasma urealyticum*, or *Neisseria gonorrhoeae*; toxin diseases related to infection by *S. aureus* (food poisoning and Toxic shock syndrome), or Groups A, B, and C streptococci; ulcers related to infection by *Helicobacter pylori*; systemic febrile syndromes related to infection by *Borrelia recurrentis*; Lyme disease related to infection by *Borrelia burgdorferi*;
- 35 conjunctivitis, keratitis, and dacrocystitis related to infection by *Chlamydia trachomatis*, *Neisseria gonorrhoeae*, *S. aureus*, *S. pneumoniae*, *S. pyogenes*, *H. influenzae*, or *Listeria* spp.; disseminated *Mycobacterium avium* complex (MAC) disease related to infection by *Mycobacterium avium*, or *Mycobacterium intracellulare*; gastroenteritis related to infection by *Campylobacter jejuni*; intestinal protozoa related to infection by *Cryptosporidium* spp.;

5 odontogenic infection related to infection by viridans streptococci; persistent cough related to infection by *Bordetella pertussis*; gas gangrene related to infection by *Clostridium perfringens* or *Bacteroides* spp.; and atherosclerosis related to infection by *Helicobacter pylori* or *Chlamydia pneumoniae*. Bacterial infections and protozoa infections and disorders related to such infections that may be treated or prevented in animals include the following: bovine respiratory disease  
10 related to infection by *P. haem.*, *P. multocida*, *Mycoplasma bovis*, or *Bordetella* spp.; cow enteric disease related to infection by *E. coli* or protozoa (i.e., coccidia, cryptosporidia, etc.); dairy cow mastitis related to infection by *Staph. aureus*, *Strep. uberis*, *Strep. agalactiae*, *Strep. dysgalactiae*, *Klebsiella* spp., *Corynebacterium*, or *Enterococcus* spp.; swine respiratory disease related to infection by *A. pleuro.*, *P. multocida*, or *Mycoplasma* spp.; swine enteric disease related  
15 to infection by *E. coli*, *Lawsonia intracellularis*, *Salmonella*, or *Serpulina hyodysenteriae*; cow footrot related to infection by *Fusobacterium* spp.; cow metritis related to infection by *E. coli*; cow hairy warts related to infection by *Fusobacterium necrophorum* or *Bacteroides nodosus*; cow pink-eye related to infection by *Moraxella bovis*; cow premature abortion related to infection by protozoa (i.e. neosporium); urinary tract infection in dogs and cats related to infection by *E. coli*;  
20 skin and soft tissue infections in dogs and cats related to infection by *Staph. epidermidis*, *Staph. intermedius*, *coagulase neg. Staph.* or *P. multocida*; and dental or mouth infections in dogs and cats related to infection by *Alcaligenes* spp., *Bacteroides* spp., *Clostridium* spp., *Enterobacter* spp., *Eubacterium*, *Peptostreptococcus*, *Porphyromonas*, or *Prevotella*. Other bacterial infections and protozoa infections and disorders related to such infections that may be treated or  
25 prevented in accord with the method of the present invention are referred to in J. P. Sanford et al., "The Sanford Guide To Antimicrobial Therapy," 26th Edition, (Antimicrobial Therapy, Inc., 1996).

The present invention also relates to a method of preparing the above compounds of formulas 1, 2, 3, 4, and 5.

30 The compounds of the present invention can be prepared as follows. The starting compounds used in the preparation of the compounds of formulas 1, 2, 3, 4 and 5 can be prepared using the methods described below and further, in International Application No. PCT/GB97/01810 filed July 4, 1997 (Peter Francis Leadlay, James Staunton, Jesus Cortes and Michael Stephen Pacey), and International Application No. PCT/GB97/01819 filed July 4, 1997 (Peter Francis  
35 Leadlay, James Staunton, and Jesus Cortes), both of which are incorporated herein by reference in their entirety. The compounds of formulas 1, 2, 3, 4, and 5 can then be prepared from these starting compounds using conventional methods known to one skilled in the art.

The present invention also relates to the compounds of formulas 2 to 20 which, are useful in the preparation of the above compounds of formulas 1, 2, 3, 4 or 5 and pharmaceutically

5 acceptable salts thereof.

Polyketides, and methods and means for preparing them, and specifically the novel macrolides that are useful in the preparation of the compounds of the present invention are prepared by fermenting suitable organisms in the presence of a carboxylic acid of the formula  $\text{RCO}_2\text{H}$ , where R is as defined the compound of formula 1. A preferred organism is  
10 *Saccharopolyspora erythraea* preferably containing an integrated plasmid capable of directing synthesis of desired compounds. In producing such novel polyketides, polyketide biosynthetic genes or portions of them, which may be derived from different polyketide biosynthetic gene clusters are manipulated to allow the production of novel erythromycins.

Polyketides are a large and structurally diverse class of natural products that includes  
15 many compounds possessing antibiotic or other pharmacological properties, such as erythromycin, tetracyclines, rapamycin, avermectin, polyether ionophores, and FK506. In particular, polyketides are abundantly produced by *Streptomyces* and related actinomycete bacteria. They are synthesised by the repeated stepwise condensation of acylthioesters in a manner analogous to that of fatty acid biosynthesis. The greater structural diversity found among  
20 natural polyketides arises from the selection of (usually) acetate or propionate as "starter" or "extender" units; and from the differing degree of processing of the  $\beta$ -keto group observed after each condensation. Examples of processing steps include reduction to  $\beta$ -hydroxyacyl-, reduction followed by dehydration to 2-enoyl-, and complete reduction to the saturated acylthioester. The stereochemical outcome of these processing steps is also specified for each cycle of chain  
25 extension. The biosynthesis of polyketides is initiated by a group of chain-forming enzymes known as polyketide synthases. Two classes of polyketide synthase (PKS) have been described in actinomycetes. However, the novel polyketides and processes which are used in preparing the compounds the present invention are synthesised by Type I PKS's, represented by the PKS's for the macrolides erythromycin, avermectin and rapamycin, and consist of a different set or  
30 "module" of enzymes for each cycle of polyketide chain extension (Cortes, J. et al. *Nature* (1990) 348:176-178; Donadio, S. et al. *Science* (1991) 252:675-679; MacNeil, D. J. et al. *Gene* (1992), 115:119-125; Schwecke, T. et al. *Proc. Natl. Acad. Sci. USA* (1995) 92:7839-7843). Note: The term "natural module" as used herein refers to the set of contiguous domains, from a  $\beta$ -ketoacylsynthase ("KS") gene to the next acyl carrier protein ("ACP") gene, which accomplishes  
35 one cycle of polyketide chain extension. The term "combinatorial module" is used to refer to any group of contiguous domains (and domain parts), extending from a first point in a first natural module, to a second equivalent point in a second natural module. The first and second points will generally be in core domains which are present in all modules, i.e., both at equivalent points of respective KS, AT (acyl transferase), ACP domains, or in linker regions between domains.

5       The organisation of the erythromycin producing PKS, (also known as 6-deoxyerythronolide B synthase, DEBS) genes contains three open reading frames encode the DEBS polypeptides. The genes are organised in six repeated units designated modules. The first open reading frame encodes the first multi-enzyme or cassette (DEBS1) which consists of three modules: the loading module (ery-load) and two extension modules (modules 1 and 2).  
10   The loading module comprises an acyl transferase and an acyl carrier protein. This may be contrasted with Figure 1 of WO93/13663 (referred to below). This shows ORF1 to consist of only two modules, the first of which is in fact both the loading module and the first extension module.

      In-frame deletion of the DNA encoding part of the ketoreductase domain of module 5 in DEBS has been shown to lead to the formation of erythromycin analogues 5,6-dideoxy-3-  
15   -mycarosyl-5-oxoerythronolide B, 5,6-dideoxy-5-oxoerythronolide B and 5,6-dideoxy-6,6-epoxy-5-oxoerythronolide B (Donadio, S. et al. Science, (1991) 252:675-679). Likewise, alteration of active site residues in the enoylreductase domain of module 4 in DEBS, by genetic engineering of the corresponding PKS-encoding DNA and its introduction into *Saccharopolyspora erythraea*, led to the production of 6,7-anhydroerythromycin C (Donadio S. et  
20   al. Proc. Natl. Acad. Sci. USA (1993) 90:7119-7123).

      International Patent Application number WO 93/13663, which is incorporated herein by reference in its entirety, describes additional types of genetic manipulation of the DEBS genes that are capable of producing altered polyketides. However, many such attempts are reported to have been unproductive (Hutchinson C. R. and Fujii, I. Annu. Rev. Microbiol. (1995) 49:201-238,  
25   at p.231). The complete DNA sequence of the genes from *Streptomyces hygroscopicus* that encode the modular Type 1 PKS governing the biosynthesis of the macrocyclic immunosuppressant polyketide rapamycin has been disclosed (Schwecke, T. et al. (1995) Proc. Natl. Acad. Sci. USA 92:7839-7843). The DNA sequence is deposited in the EMBL/Genbank Database under the accession number X86780.

30       The complex polyketides produced by modular Type I PKS's are particularly valuable, in that they include compounds with known utility as anthelmintics, insecticides, immunosuppressants, antifungal, and/or antibacterial agents. Because of their structural complexity, such novel polyketides are not readily obtainable by total chemical synthesis, or by chemical modifications of known polyketides. As described in International Application  
35   PCT/GB97/01810, the Type I PKS gene assembly encodes a loading module which is followed by extension modules. It is particularly useful to provide a hybrid PKS gene assembly in which the loading module is heterologous to the extension modules and is such as to lead to a polyketide having an altered starter unit. As noted in International Application PCT/GB97/01810, this is a concept quite unknown to the prior art since this does not recognise

5 the existence of loading modules. WO93/13663 refers to altering PKS genes by inactivating a single function (i.e. a single enzyme) or affecting "an entire module" by deletion, insertion, or replacement thereof. The loading assembly, in their terms, is not a module.

If the loading module is one which accepts many different carboxylic acid units, then the hybrid gene assembly can be used to produce many different polyketides. For example, a hybrid  
10 gene assembly may employ nucleic acid encoding an avr loading module with ery extender modules. A loading module may accept unnatural acid units and derivatives thereof; the avr loading module is particularly useful in this regard (Dutton et al., (1991) J. Antibiot., 44:357-365). In addition, it is possible to determine the specificity of the natural loading module for unnatural starter units and to take advantage of the relaxed specificity of the loading module to generate  
15 novel polyketides. Thus, International Application PCT/GB97/01810 describes the unexpected ability of the ery loading module to incorporate unnatural carboxylic acids and derivatives thereof to produce novel erythromycins in erythromycin-producing strains containing only DEBS genes. Of course one may also make alterations within a product polyketide particularly by replacing an extension module by one that gives a ketide unit at a different oxidation state and/or with a  
20 different stereochemistry. It has generally been assumed that the stereochemistry of the methyl groups in the polyketide chain is determined by the acyltransferase, but it is, in fact, a feature of other domains of the PKS and thus open to variation only by replacement of those domains, individually or by module replacement. Methyl and other substituents can be added or removed by acyltransferase domain replacement or total module replacement. Consequently, it also  
25 becomes apparent to those skilled in the art that it is possible to combine the use of the relaxed substrate specificity of the erythromycin loading module with extension module replacement and hybrid loading module substitution with extension module replacement as a mechanism to produce a wide range of novel erythromycins. Thus, International Application PCT/GB97/01810 describes the production of novel erythromycins by non-transformed organisms and also such  
30 gene assemblies, vectors containing such gene assemblies, and transformant organisms that can express them to produce novel erythromycins in transformed organisms. Transformant organisms may harbour recombinant plasmids, or the plasmids may integrate. A plasmid with an *Int* sequence will integrate into a specific attachment site (*att*) of a host's chromosome. Transformant organisms may be capable of modifying the initial products, e.g., by carrying out all  
35 or some of the biosynthetic modifications normal in the production of erythromycins. However, use may be made of mutant organisms such that some of the normal pathways are blocked, e.g., to produce products without one or more "natural" hydroxy-groups or sugar groups, for instance as described in WO 91/16334 or in Weber et al. (1985) J. Bacteriol. 164:425-433 which are incorporated herein by reference in their entirety. Alternatively, use may be made of organisms

5 in which some of the normal pathways are overexpressed to overcome potential rate-limiting steps in the production of the desired product, for instance as described in WO 97/06266 which is incorporated herein by reference in its entirety.

This aspect of the method is largely concerned with treating PKS gene modules as building blocks that can be used to construct enzyme systems, and thus novel erythromycin  
10 products, of desired types. This generally involves the cutting out and the assembly of modules and multi-module groupings. Logical places for making and breaking intermodular connections are be in the linking regions between modules. However, it may be preferable to make cuts and joins actually within domains (i.e., the enzyme-coding portions), close to the edges thereof. The DNA is highly conserved here between all modular PKS's, and this may aid in the construction of  
15 hybrids that can be transcribed. It may also assist in maintaining the spacing of the active sites of the encoded enzymes, which may be important. For example, in producing a hybrid gene by replacing the ery loading module by an avr loading module, the ery module together with a small amount of the following ketosynthase (KS) domain was removed. The start of the KS domain (well spaced from the active site) is highly conserved and therefore provides a suitable splicing  
20 site as an alternative to the linker region between the loading domain and the start of the KS domain. The excised ery module was then replaced by an avr loading module.

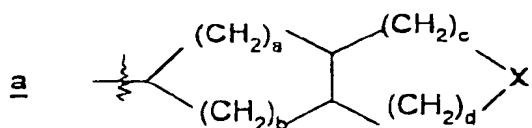
In fact, when substituting a loading module, it may be desirable to replace not just the loading module domains (generally acyl transferase (AT) and acyl carrier protein (ACP)), but also the KS at the start of the following extension module. Typically, the excised loading module  
25 would have provided a propionate starter, and the replacement is intended to provide one or more different starters. Propionate, however, may feed into the KS of the extension module from a propionate pool in the host cell, leading to dilution of the desired products. This can be largely prevented by substituting an extended loading module including all or most of the KS domain. (The splice site may be in the end region of the KS gene, or early in the following AT gene, or the  
30 linker region between them.)

When replacing "modules", one is not restricted to "natural" modules. For example, a "combinatorial module" to be excised and/or replaced and/or inserted may extend from the corresponding domain of two natural-type modules, e.g., from the AT of one module to the AT of the next, or from KS to KS. The splice sites will be in corresponding conserved marginal regions  
35 or in linker regions. A combinatorial module can also be a 'double' or larger multiple, for adding 2 or more modules at a time.

International Application PCT/GB97/01810 describes novel erythromycins obtainable by means of the previous aspects. These are included in the following description.

An erythromycin analogue (being a macrolide compound with a 14-membered ring) in

- 5 which a substituent R, on the C-13 position, bears a side-chain other than ethyl, generally a straight chain C3-C6 alkyl group, a branched C3-C8 alkyl group, a C3-C8 cycloalkyl or cycloalkenyl group (optionally substituted, e.g., with one or more hydroxy, C<sub>1-4</sub> alkyl or alkoxy groups or halogen atoms), or a 3-6 membered heterocycle containing O or S, saturated or fully or partially unsaturated, optionally substituted (as for cycloalkyl), or R is phenyl which may be  
 10 optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, trifluoromethyl, and cyano; or R may be a group with a formula (a) as shown below:



- wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently 0 to 2 and a + b + c + d ≤ 5.
- 15 Preferred candidates for the C-13 substituent R are the groups of carboxylate units RCOOR', usable as substrates by an avr starter module, or rapamycin starter variants. Preferred substrates are the carboxylic acids R''COOH. Alternative substrates that can be effectively used are carboxylic acid salts, carboxylic acid esters, or amides. Preferred esters are N-acetyl-cysteamine thioesters which can readily be utilised as substrates by the avr starter module as  
 20 illustrated by Dutton et al. in EP 0350187 which is incorporated herein by reference in its entirety. Preferred amides are N-acyl imidazoles. Other alternative substrates that may be used are derivatives which are oxidative precursors for the carboxylic acids; thus, for example suitable substrates would be amino acids of the formula RCH(NH<sub>2</sub>)COOH, glyoxylic acids of the formula RCOCOOH, methylamine derivatives of the formula RCH<sub>2</sub>NH<sub>2</sub>, methanol derivatives of the  
 25 formula RCH<sub>2</sub>OH, aldehydes of the formula RCHO or substituted alkanolic acids of the formula R(CH<sub>2</sub>)<sub>n</sub>COOH wherein n is 2, 4, or 6. Thus examples of preferred substrates include isobutyrate (R=i-Pr) and 2-methylbutyrate (R=1-methylpropyl). Other possibilities include n-butyrate, cyclopropyl carboxylate, cyclobutyl carboxylate, cyclopentyl carboxylate cyclohexyl carboxylate, cycloheptanyl carboxylate, cyclohexenyl carboxylates, cycloheptenyl carboxylates, and ring-  
 30 methylated variants of the cyclic carboxylates and the aforementioned derivatives thereof.

The erythromycin analogue may correspond to the initial product of a PKS (6-deoxyerythronolide) or the product after one or more of the normal biosynthetic steps. These comprise: 6-hydroxylation; 3-O-glycosylation; 5-O glycosylation; 12-hydroxylation; and specific sugar methylation.

- 36 Thus, the analogues may include those corresponding to 6-deoxyerythronolide B, erythromycin A, and various intermediates and alternatives thereof.



5 (ii) Erythromycin analogues differing from the corresponding 'natural' in the oxidation state of one or more of the ketide units (i.e. selection of alternatives from the group: -CO-, -CH(OH)-, =CH-, and -CH<sub>2</sub>-).

The stereochemistry of any -CH(OH)- is also independently selectable.

10 (iii) Erythromycin analogues differing from the corresponding 'natural' compound in the absence of a 'natural' methyl side-chain. (This is achievable by use of a variant AT). Normal extension modules use either C<sub>2</sub> or C<sub>3</sub> units to provide unmethylated and methylated ketide units. One may provide unmethylated units where methylated units are natural (and vice versa, in systems where there are naturally unmethylated units) and also provide larger units, e.g., C<sub>4</sub> to provide ethyl substituents.

15 (iv) Erythromycin analogues differing from the corresponding 'natural' compound in the stereochemistry of 'natural' methyl; and/or ring substituents other than methyl.

(v) Erythromycin analogues having the features of two or more of sections (i) to (iv).

(vi) Derivatives of any of the above which have undergone further processing by non-PKS enzymes, e.g., one or more of hydroxylation, epoxidation, glycosylation, and methylation.

20 International Application PCT/GB97/01810 describes methods for the production of the novel erythromycins useful in the preparation of the compounds of the present invention. In the simplest method, unnatural starter units (preferably, but not restricted to the carboxylic acid analogues of the unnatural starter units) are introduced to untransformed organisms capable of producing erythromycins. A preferred approach involves introduction of the starter unit into  
25 fermentation broths of the erythromycin-producing organism, an approach which is more effective for transformed organisms capable of producing erythromycins. However, the starter unit analogue can also be introduced to alternative preparations of the erythromycin-producing organisms, for example, fractionated or unfractionated broken-cell preparations. Again, this approach is equally effective for transformed organisms capable of producing erythromycins. In  
30 another method, one or more segments of DNA encoding individual modules or domains within a heterologous Type I PKS (the "donor" PKS) have been used to replace the DNA encoding, respectively, individual modules or domains within the DEBS genes of an erythromycin-producing organism. Loading modules and extension modules drawn from any natural or non-natural Type I PKS, are suitable for this "donor" PKS but particularly suitable for this purpose are the  
35 components of Type I PKS's for the biosynthesis of erythromycin, rapamycin, avermectin, tetransin, oleandomycin, monensin, amphotericin, and rifamycin, for which the gene and modular organisation is known through gene sequence analysis, at least in part. Particularly favourable examples of the loading modules of the donor PKS are those loading modules showing a relaxed specificity, for example, the loading module of the avermectin (avr)-producing

5    PKS of *Streptomyces avermitilis*; or those loading modules possessing an unusual specificity, for example, the loading modules of the rapamycin-, FK506- and ascomycin-producing PKS's, all of which naturally accept a shikimate-derived starter unit. Unexpectedly, both the untransformed and genetically engineered erythromycin-producing organisms when cultured under suitable conditions have been found to produce non-natural erythromycins, and where appropriate, the products are found to undergo the same processing as the natural erythromycin.

10    International Application PCT/GB97/01810 further describes a plasmid containing "donor" PKS DNA is introduced into a host cell under conditions where the plasmid becomes integrated into the DEBS genes on the chromosome of the erythromycin-producing strain by homologous recombination, to create a hybrid PKS. A preferred embodiment is when the donor  
15    PKS DNA includes a segment encoding a loading module in such a way that this loading module becomes linked to the DEBS genes on the chromosome. Such a hybrid PKS produces valuable and novel erythromycin products when cultured under suitable conditions as described herein. Specifically, when the loading module of the DEBS genes is replaced by the loading module of the avermectin-producing (avr) PKS, the novel erythromycin products contain a starter unit  
20    typical of those used by the avr PKS. Thus, when the loading module of the ery PKS is replaced by the avr loading module, *Saccharopolyspora erythraea* strains containing such hybrid PKS are found to produce 14-membered macrolides containing starter units typically used by the avr PKS.

As noted in International Application PCT/GB97/01810, it is unexpected that the 14-membered macrolide polyketides produced by such recombinant cells of *S. erythraea* are  
25    found to include derivatives of erythromycin A, showing that the several processing steps required for the transformation of the products of the hybrid PKS into novel and therapeutically valuable erythromycin A derivatives are correctly carried out. International Application PCT/GB97/01810 describes the unexpected and surprising finding that transcription of any of the hybrid erythromycin genes can be specifically increased when the hybrid genes are placed under  
30    the control of a promoter for a Type II PKS gene linked to a specific activator gene for that promoter. It is particularly remarkable that when a genetically engineered cell containing hybrid erythromycin genes under such control is cultured under conditions suitable for erythromycin production, significantly enhanced levels of the novel erythromycin are produced. Such specific increases in yield of a valuable erythromycin product are also seen for natural erythromycin PKS  
35    placed under the control of a Type II PKS promoter and activator gene. In a preferred embodiment, desired genes present on an SCP2\*-derived plasmid are placed under the control of the bidirectional actI promoter derived from the actinorhodin biosynthetic gene cluster of *Streptomyces coelicolor*, and in which the vector also contains the structural gene encoding the specific activator protein Act II-orf 4. The recombinant plasmid is introduced into

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- 5 *Saccharopolyspora erythraea*, under conditions where either the introduced PKS genes, or PKS genes already present in the host strain, are expressed under the control of the *actI* promoter.

Such strains produce the desired erythromycin product and the activator gene requires only the presence of the specific promoter in order to enhance transcriptional efficiency from the promoter. This is particularly surprising in that activators of the *ActII-orf4* family do not belong to  
10 a recognised class of DNA-binding proteins. Therefore it would be expected that additional proteins or other control elements would be required for activation to occur in a heterologous host not known to produce actinorhodin or a related isochromanone pigment. It is also surprising and useful that the recombinant strains can produce more than ten-fold erythromycin product than when the same PKS genes are under the control of the natural promoter, and the  
15 specific erythromycin product is also produced precociously in growing culture, rather than only during the transition from growth to stationary phase. Such erythromycins are useful as antibiotics and for many other purposes in human and veterinary medicine. Thus, when the genetically engineered cell is *Saccharopolyspora erythraea*, the activator and promoter are derived from the actinorhodin PKS gene cluster and the *actI/actII-orf4*-regulated ery PKS gene  
20 cluster is housed in the chromosome, following the site-specific integration of a low copy number plasmid vector, culturing of these cells under suitable conditions can produce more than ten-fold total 14-membered macrolide product than in a comparable strain not under such heterologous control. When in such a genetically engineered cell of *S. erythraea* the PKS genes under this heterologous control are hybrid Type I PKS genes whose construction is described herein, more  
25 than ten-fold hybrid polyketide product can be obtained compared to the same hybrid Type I PKS genes not under such control. Specifically, when the hybrid Type I PKS genes are the ery PKS genes in which the loader module is replaced by the *avr* loading module, a ten-fold increase is found in the total amounts of novel 14-membered macrolides produced by the genetically engineered cells when cultured under suitable conditions as described herein.

- 30 The suitable and preferred means of growing the untransformed and genetically-engineered erythromycin-producing cells, and suitable and preferred means for the isolation, identification, and practical utility of the novel erythromycins are described more fully, in International Application PCT/GB97/01810.

Erythromycin derivatives described in International Application PCT/GB97/01810 are  
35 produced by fermentation of an untransformed or transformed organism capable of producing erythromycins, including but not limited to *Saccharopolyspora* species, *Streptomyces griseoplanus*, *Nocardia* sp., *Micromonospora* sp., *Arthobacter* sp., and *Streptomyces antibioticus*, but excluding *S. coelicolor*. Particularly suitable in this regard are untransformed and transformed strains of *Saccharopolyspora erythraea*, for example NRRL 2338, 18643, 21484. Particularly preferred

5 transformed strains are those in which the erythromycin loading module has been replaced with the loading module from the avermectin producer, *Streptomyces avermitilis*, or the rapamycin producer, *Streptomyces hygroscopicus*. The preferred method of producing compounds is by fermentation of the appropriate organism in the presence of the appropriate carboxylic acid of the formula  $R_1\text{COOH}$ , wherein  $R_1$  is as defined in formulae 1 or 2 of International Application PCT/GB97/01810, or is R of  
10 the compounds of the present invention, or a salt, ester (particularly preferable being the N-acetylcysteamine thioester), or amide thereof or oxidative precursor thereof. The acid or derivative thereof is added to the fermentation either at the time of inoculation or at intervals during the fermentation. Production of the compounds may be monitored by removing samples from the fermentation, extracting with an organic solvent and following the appearance of the compounds by  
15 chromatography, for example using high pressure liquid chromatography. Incubation is continued until the yield of the compound of formulae 1 or 2 has been maximised, generally for a period of 4 to 10 days. A preferred level of each addition of the carboxylic acid or derivative thereof is between 0.05 and 4.0 g/L. The best yields of the compounds from formulae 1 or 2 are generally by gradually adding the acid or derivative to the fermentation, for example by daily addition over a period of  
20 several days. The medium used for the fermentation may be a conventional complex medium containing assimilable sources of carbon, nitrogen and trace elements.

The wide range of starter units accepted by the avr loading module has been comprehensively established in previous studies (for example European Patent Applications 0 214 731, 0 350 187, 0 317 148 which are incorporated herein in their entirety). Consequently, it  
25 should be understood that the invention is not limited to the specific detail of these examples and simply serve to confirm the effectiveness of the avr loading module. Furthermore, the examples using the pIG1 or pND30 construct clearly demonstrate the capability of the actI promoter and its cognate activator gene actII-orf4 to enhance the expression of the novel compounds of this invention when linked to the avr loading module. It is also apparent from the examples that  
30 untransformed strains of *Saccharopolyspora erythraea* are also readily capable of taking up exogenously-supplied substrates to generate novel erythromycin polyketides. Consequently, it is also apparent to those skilled in the art that specific novel compounds of this invention can be readily produced by selection of the appropriate erythromycin producing strain (optionally incorporating the pIG1 or pND30 plasmid into the desired strain), and supplementing the  
35 fermentation with the appropriate starter unit. Thus, 6-deoxyerythromycin and 6,12-dideoxyerythromycin derivatives of the present invention can be readily produced using *Saccharopolyspora erythraea* NRRL 18643 or NRRL 21484 as indicated in U.S. 5,141,926 and WO 97/06266. Similarly, use of the *Saccharopolyspora erythraea* strains described by Weber et al. in J. Bacteriol., 164:425-433, 1991 can also be employed to obtain the desired novel

5 analogues of the present invention. For example, strain UW24 can be used (optionally transformed by pIG1 or pND30) to obtain novel analogues of erythronolide B.

The term "Me", as used herein, unless otherwise indicated, refers to methyl.

The term "Et", as used herein, unless otherwise indicated, refers to ethyl.

The term "Pr", as used herein, unless otherwise indicated, refers to propyl.

10 The term "Ac", as used herein, unless otherwise indicated, refers to acyl.

The term "hydroxy protecting group", as used herein, unless otherwise indicated, includes acetyl, benzyloxycarbonyl, and various hydroxy protecting groups familiar to those skilled in the art include the groups referred to in T. W. Greene, P. G. M. Wuts, "Protective Groups In Organic Synthesis," (J. Wiley & Sons, 1991).

15 The term "halo", as used herein, unless otherwise indicated, includes fluoro, chloro, bromo or iodo.

The term "alkyl", as used herein, unless otherwise indicated, includes saturated monovalent hydrocarbon radicals having straight, cyclic or branched moieties, or mixtures thereof. It is to be understood that where cyclic moieties are intended, at least three carbons in  
20 said alkyl must be present. Such cyclic moieties include cyclopropyl, cyclobutyl and cyclopentyl.

The term "alkoxy", as used herein, unless otherwise indicated, includes -O-alkyl groups wherein alkyl is as defined above.

The term "aryl", as used herein, unless otherwise indicated, includes an organic radical derived from an aromatic hydrocarbon by removal of one hydrogen, such as phenyl or naphthyl.

25 The term "4-10 membered heterocyclic", as used herein, unless otherwise indicated, includes aromatic and non-aromatic heterocyclic groups containing one or more heteroatoms each selected from O, S and N, wherein each heterocyclic group has from 4-10 atoms in its ring system. Non-aromatic heterocyclic groups include groups having only 4 atoms in their ring system, but aromatic heterocyclic groups must have at least 6 atoms in their ring system. The  
30 heterocyclic groups include benzo-fused ring systems and ring systems substituted with one or more oxo moieties. An example of a 4 membered heterocyclic group is azetidyl (derived from azetidine). An example of a 5 membered heterocyclic group is thiazolyl and an example of a 10 membered heterocyclic group is quinoliny. Examples of non-aromatic heterocyclic groups are  
35 pyrrolidinyl, tetrahydrofuranyl, tetrahydrothienyl, tetrahydropyranyl, tetrahydrothiopyranyl, piperidino, morpholino, thiomorpholino, thioxanyl, piperazinyl, azetidiny, oxetanyl, thietanyl, homopiperidinyl, oxepanyl, thiepanyl, oxazepiny, diazepiny, thiazepiny, 1,2,3,6-tetrahydropyridiny, 2-pyrroliny, 3-pyrroliny, indoliny, 2H-pyranyl, 4H-pyranyl, dioxanyl, 1,3-dioxolanyl, pyrazoliny, dithianyl, dithiolanyl, dihydropyranyl, dihydrothienyl, dihydrofuranyl, pyrazolidiny, imidazoliny, imidazolidiny, 3-azabicyclo[3.1.0]hexanyl, 3-

5 azabicyclo[4.1.0]heptanyl, 3H-indolyl and quinoliziny. Examples of aromatic heterocyclic groups are pyridinyl, imidazolyl, pyrimidinyl, pyrazolyl, triazolyl, pyrazinyl, tetrazolyl, furyl, thienyl, isoxazolyl, thiazolyl, oxazolyl, isothiazolyl, pyrrolyl, quinoliny, isoquinoliny, indolyl, benzimidazolyl, benzofuranyl, cinnoliny, indazolyl, indoliziny, phthalazinyl, pyridazinyl, triazinyl, isoindolyl, pteridinyl, purinyl, oxadiazolyl, thiadiazolyl, furazanyl, benzofurazanyl, 10 benzothiophenyl, benzothiazolyl, benzoxazolyl, quinazolinyl, quinoxaliny, naphthyridinyl, and furopyridinyl. The foregoing groups, as derived from the compounds listed above, may be C-attached or N-attached where such is possible. For instance, a group derived from pyrrole may be pyrrol-1-yl (N-attached) or pyrrol-3-yl (C-attached).

The phrase "pharmaceutically acceptable salt(s)", as used herein, unless otherwise 15 indicated, includes salts of acidic or basic groups which may be present in the compounds of the present invention. The compounds of the present invention that are basic in nature are capable of forming a wide variety of salts with various inorganic and organic acids. The acids that may be used to prepare pharmaceutically acceptable acid addition salts of such basic compounds of the present invention are those that form non-toxic acid addition salts, i.e., salts containing 20 pharmacologically acceptable anions, such as the hydrochloride, hydrobromide, hydroiodide, nitrate, sulfate, bisulfate, phosphate, acid phosphate, isonicotinate, acetate, lactate, salicylate, citrate, acid citrate, tartrate, pantothenate, bitartrate, ascorbate, succinate, maleate, gentisinate, fumarate, gluconate, glucuronate, saccharate, formate, benzoate, glutamate, methanesulfonate, ethanesulfonate, benzenesulfonate, p-toluenesulfonate and pamoate [i.e., 1,1'-methylene-bis-(2- 25 hydroxy-3-naphthoate)] salts. The compounds of the present invention that include an amino moiety may form pharmaceutically acceptable salts with various amino acids, in addition to the acids mentioned above.

Those compounds of the present invention that are acidic in nature are capable of forming base salts with various pharmacologically acceptable cations. Examples of such salts include the 30 alkali metal or alkaline earth metal salts and, particularly, the calcium, magnesium, sodium and potassium salts of the compounds of the present invention.

Certain compounds of the present invention may have asymmetric centers and therefore exist in different enantiomeric and diastereomeric forms. This invention relates to the use of all optical isomers and stereoisomers of the compounds of the present invention, and mixtures thereof, and to 35 all pharmaceutical compositions and methods of treatment that may employ or contain them.

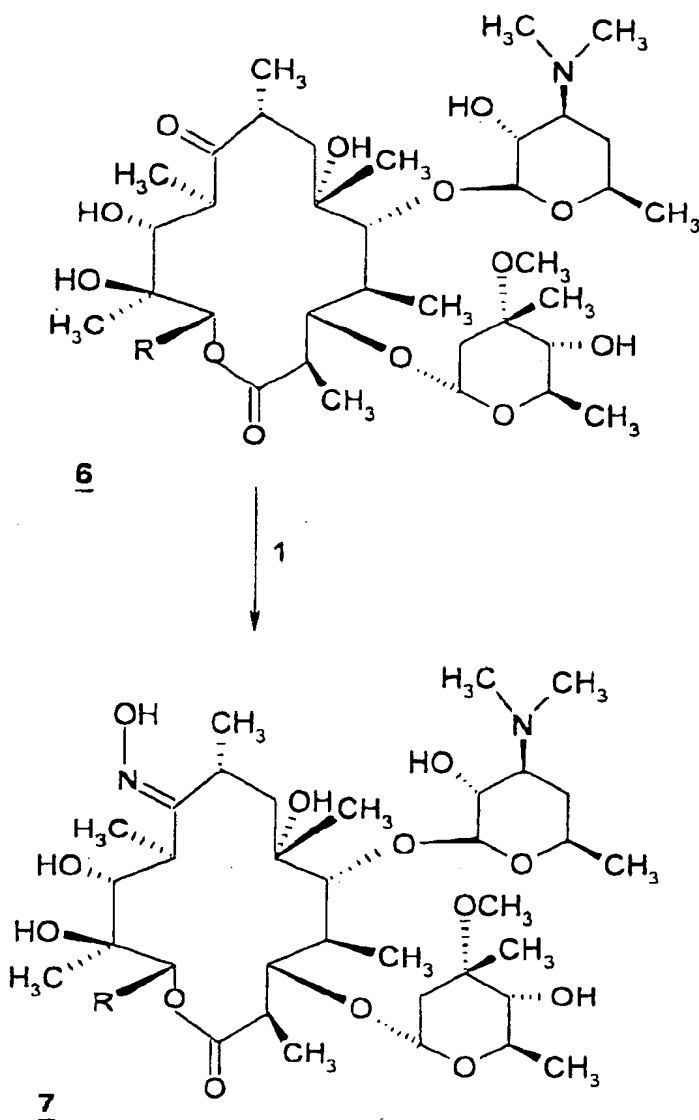
The present invention includes the compounds of the present invention, and the pharmaceutically acceptable salts thereof, wherein one or more hydrogen, carbon or other atoms are replaced by isotopes thereof. Such compounds may be useful as research and diagnostic tools in metabolism pharmacokinetic studies and in binding assays.

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Detailed Description of the Invention

The compounds of the present invention may be prepared according to Schemes 1-3 described below.

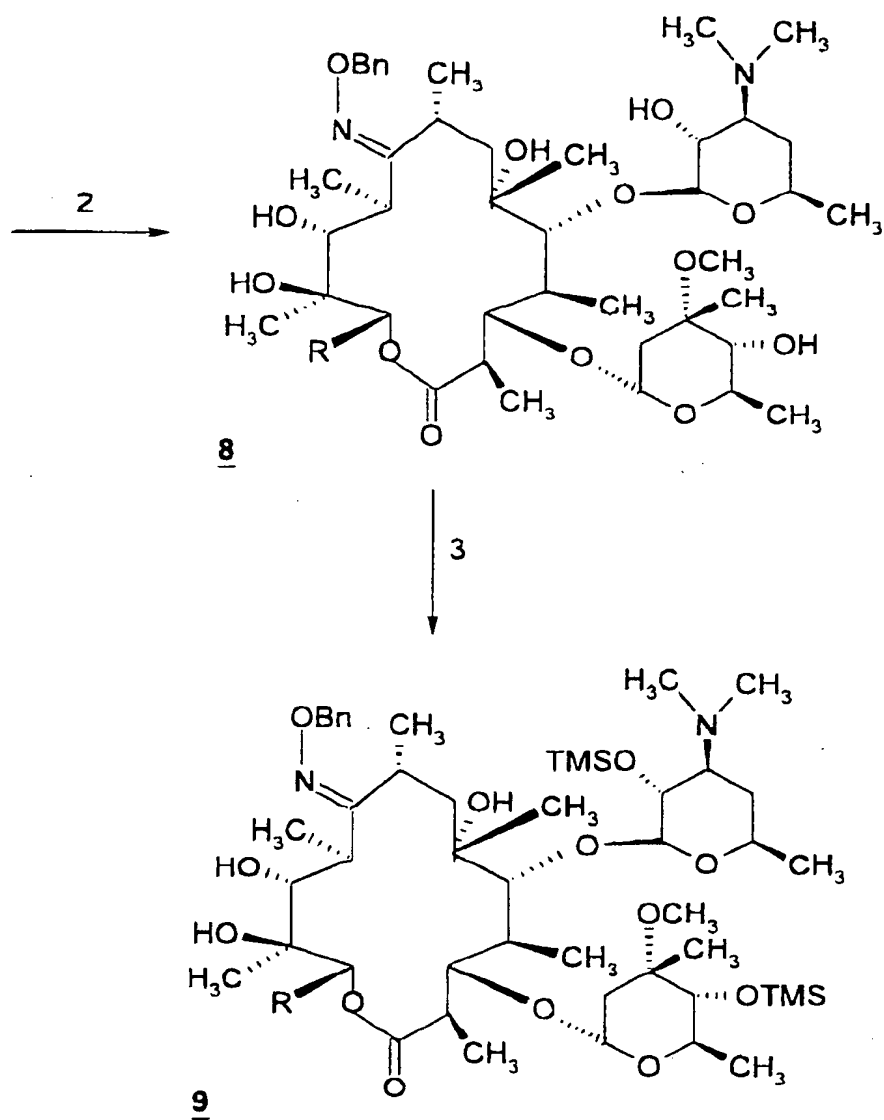
Scheme 1



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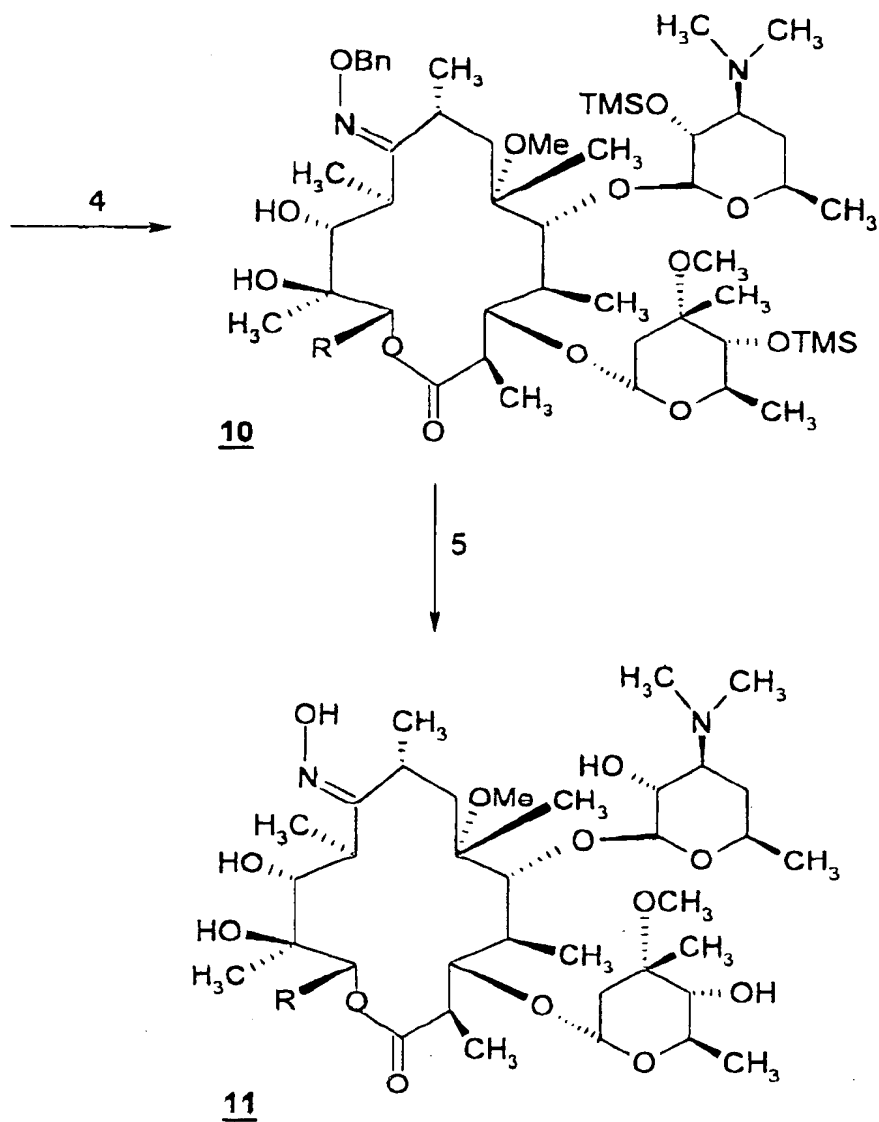
Scheme 1 continued





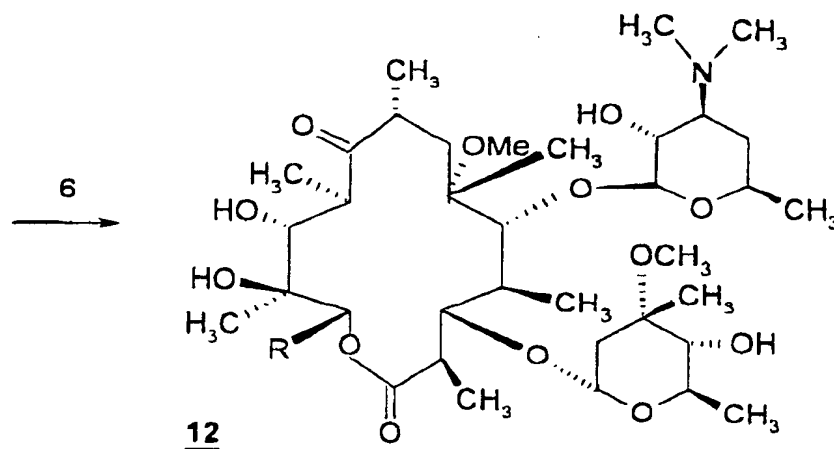
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Scheme 1 continued



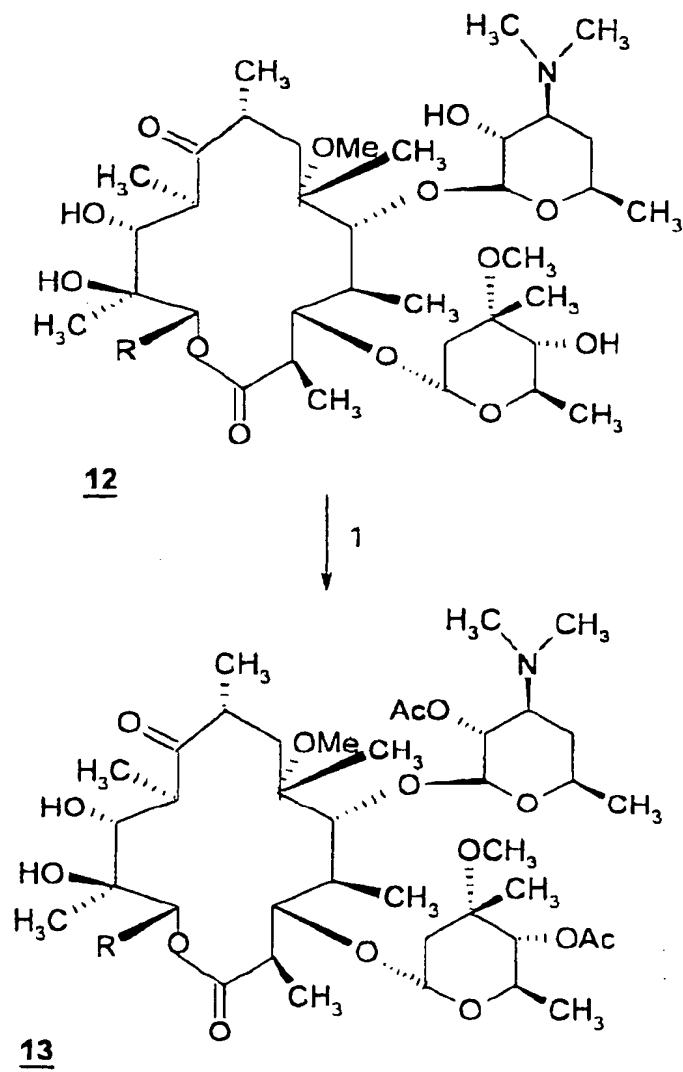
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Scheme 1 continued



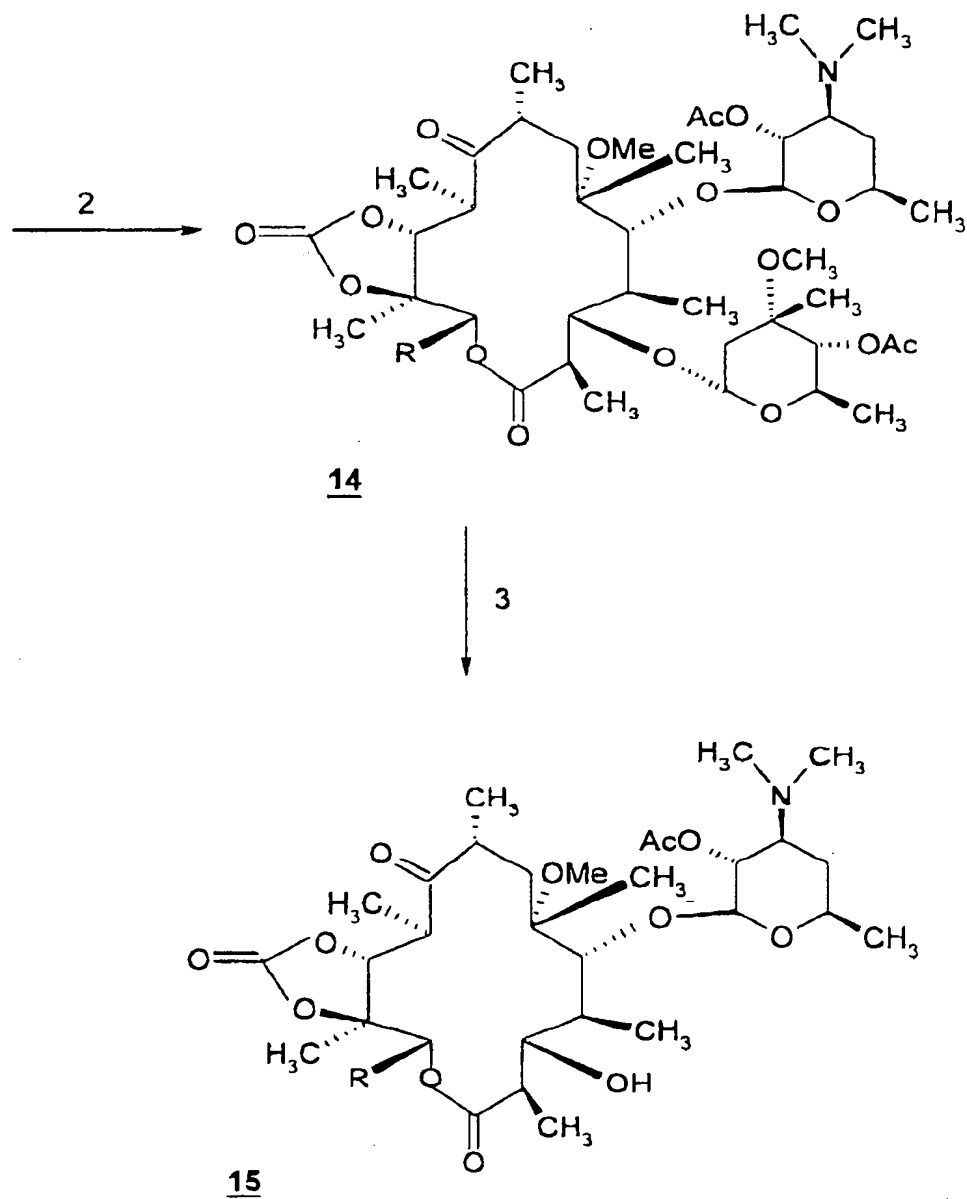
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Scheme 2



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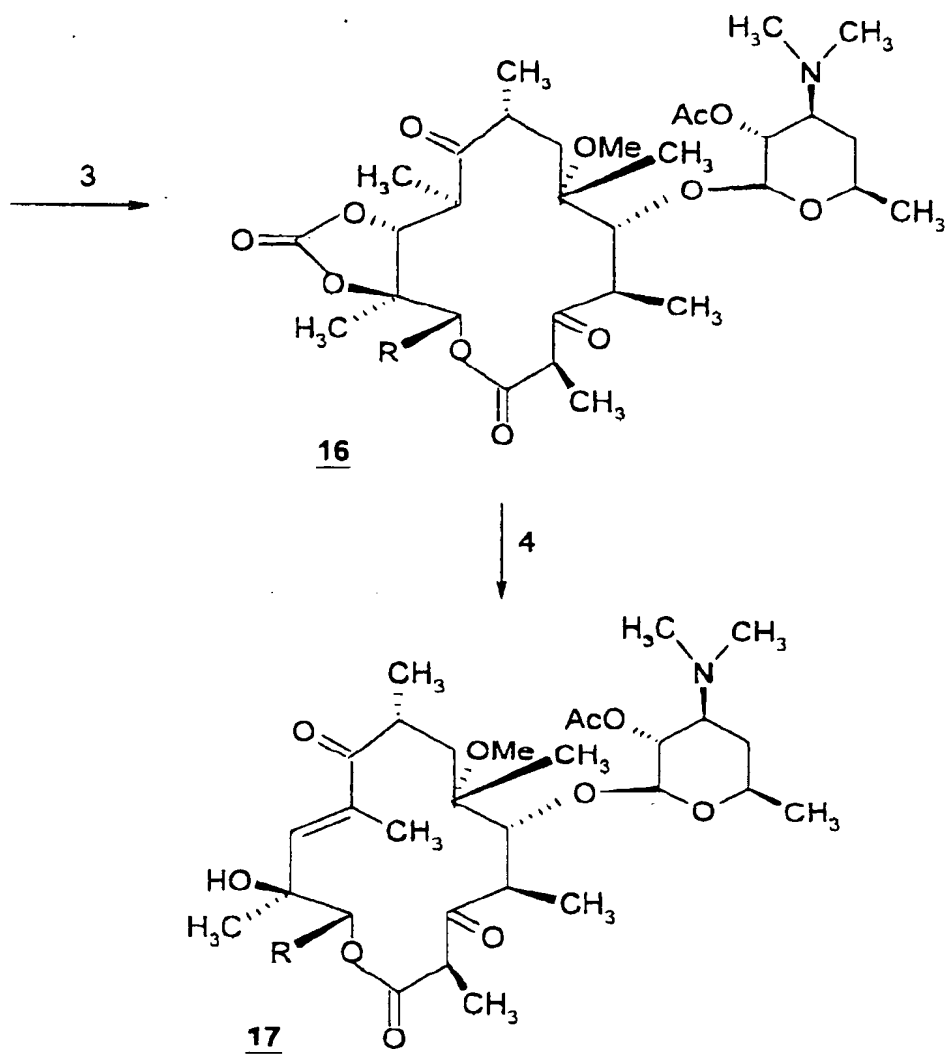
Scheme 2 continued



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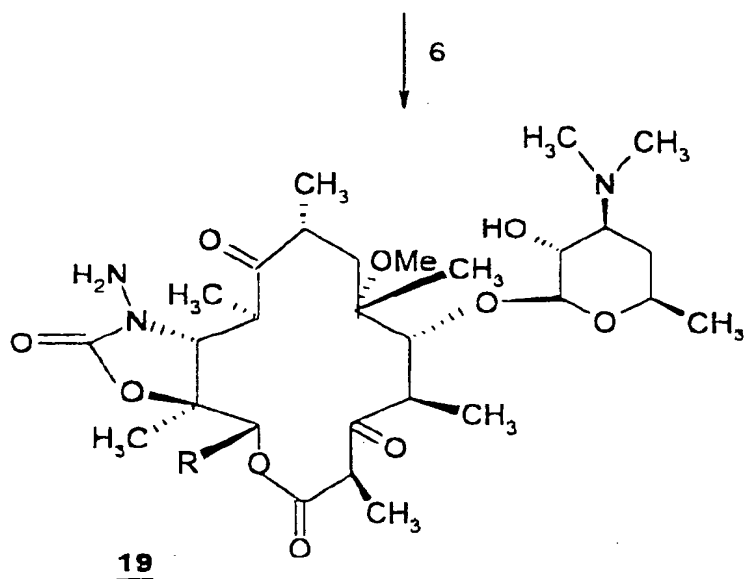
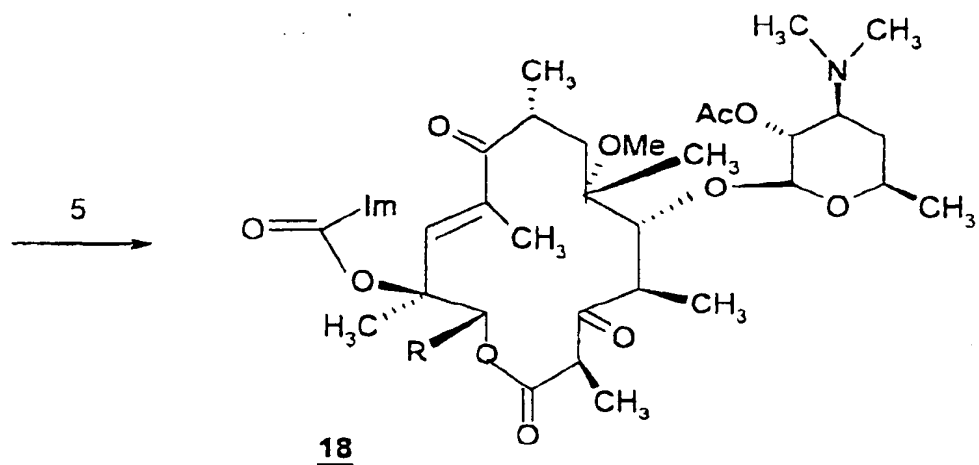
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Scheme 2 continued



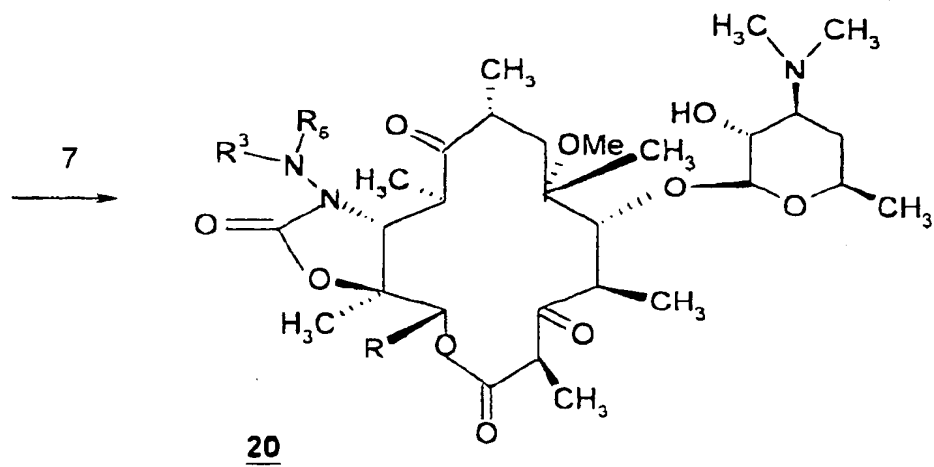
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Scheme 2 continued



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Scheme 2 continued







5       The compounds of the present invention are readily prepared. The compounds described below used in the preparation of the compounds of formulas 1, 2, 3, 4 and 5 can be prepared using the methods described in International Application No. PCT/GB97/01810 filed July 4, 1997 (Peter Francis Leadlay, James Staunton, Jesus Cortes and Michael Stephen Pacey), and International Application No. PCT/GB97/01819 filed July 4, 1997 (Peter Francis Leadlay, James Staunton, and  
10   Jesus Cortes), both of which are incorporated herein by reference in their entirety.

      The compounds of formula 1 of the present invention can be prepared using substantially the same procedures as described by Watanabe *et al* (*Journal of Antibiotics*, **1993**, **46**, 1161-1167) as illustrated in Scheme 1. The starting compounds of formula 6 can be prepared using the methods described in International Application No. PCT/GB97/01810 filed July 4, 1997 (Peter Francis Leadlay, James Staunton, Jesus Cortes and Michael Stephen Pacey), and International Application No. PCT/GB97/01819 filed July 4, 1997 (Peter Francis Leadlay, James Staunton, and  
15   Jesus Cortes), both of which are incorporated herein by reference in their entirety. With reference to step 1 of Scheme 1, oximation of the compound of formula 6 can be effected by treating the compound of formula 6 using methods known to one skilled in the art, such as  
20   treatment with  $\text{NH}_2\text{OH}\cdot\text{HCl}$  in a polar solvent such as pyridine at a temperature of from about 40 to 80°C for a period of from about 8 to about 50 hours to give a compound of formula 7. The oxime hydroxyl group of the compound of formula 7 can be protected using methods known to one skilled in the art, such as by protecting the oxime hydroxyl group as a benzyl group by using benzyl chloride or benzyl bromide in the presence of a base such as potassium hydroxide in a  
25   solvent such as DMF. The compound of formula 9 can be prepared from the compound of formula 8 using methods known to one skilled in the art, such as by treatment with 1-(trimethylsilyl)-imidazole in a solvent such as ethyl acetate. Methylation of the compound of formula 9 can be carried out using methods known to one skilled in the art such as by treatment with a methylating agent such as methyl iodide and a base such as potassium hydroxide in a  
30   solvent such as a mixture of DMSO (methyl sulfoxide) and THF (tetrahydrofuran) to afford the compound of formula 10. Elimination of the benzyl and silyl groups of the compound of formula 10 can be achieved at the same time using methods known to one skilled in the art such as by catalytic transfer hydrogenation using palladium on carbon, formic acid and ammonium formate in a solvent such as methanol to generate a compound of formula of 11. The compound of  
35   formula 11 can be converted to compound of formula 12 via deoximation using using methods known to one skilled in the art, by treatment with a hydrolyzing agent, such as by treatment with sodium bisulfite in a solvent such as methanol at a temperature of about 40 to about 80°C for a period of about 1 to about 50 hours.

5        The synthesis of the compound of formula 1 wherein the R group contains a sulfur functionality will vary from the above description in that a sulfur containing functionality can be derived from other functional groups using conventional methods known to one skilled in the art.

      Scheme 2 describes the synthesis of the compounds of formula 2, wherein X is  $-NR^5$ . The starting compound of formula 12 can be prepared according to Scheme 1. The acylation of  
10    the C-4'' and C-2' hydroxyls of the compound of formula 12 can be effected by treating compound of formula 12 with a suitable acylating agent known to one skilled in the art, such as for example, acetic anhydride in a solvent such as dichloromethane in the presence of a base such as 4-dimethylaminopyridine (DMAP) at room temperature for a period of about 10 to about 30 hours to afford diacetate of the compound of formula 13. The compound of formula 13 can be  
15    converted into the carbonate of the compound of formula 14 under a variety of conditions known to one skilled in the art, such as, for example, trichloromethyl isocyanate, or ethylene carbonate in the presence of a base, or carbonyldiimidazole in the presence of a base. Cleavage of the cladinosyl moiety of the compound of formula 14 can be carried out under suitable acidic conditions known to one skilled in the art, such as aqueous hydrochloric acid in ethanol to afford  
20    the compound of formula 15. The hydroxyl group of the compound of formula 15 can be oxidized using an oxidizing agent, to generate ketolide of the compound of formula 16 under a variety of conditions known to one skilled in the art, such as 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride and pyridium trifluoroacetate in the presence of DMSO. B-elimination of the carbonate of the compound of formula 16 can be conducted under suitable basic conditions  
25    known to one skilled in the art, such as DBU at 50-80°C gave enone 17. The acyl imidazole of the compound of formula 18 can be prepared from the compound of formula 17 using methods known to one skilled in the art, such as, for example, by treatment with carbonyldiimidazole in the presence of a base such as sodium hydride. The compound of formula 18 undergoes cyclization to give carbazate 19 using methods known by one skilled in the art, such as, by treatment with  
30     $NH_2NH_2$  in a solvent such as MeCN at a temperature of about 60 to about 100°C for a period of about 5 to about 28 hours. The compound of formula 19 can be converted to the compound of formula 20 through reductive alkylation following substantially the same procedures as described by Patel *et al* (*J. Med. Chem.*, 1996, 39, 4197-4210).

      Scheme 3 describes the synthesis of compounds of formula 2, wherein X is  $-(CR^5R^6)_g-$ ,  
35    where g is 0 or 1. The starting compound of formula 18 can be prepared according to Scheme 2. Treatment of the acyl imidazole of the compound of formula 18 with a compound of the formula  $R^3-C(R^5R^6)_g-NH_2$ , wherein g is 0 or 1, and  $R^3$ ,  $R^5$ ,  $R^6$  are defined as above, can afford the compound of formula 21.

5       The synthesis of the compound of formula 2 wherein the R group contains a sulfur functionality will vary from the above description in that a sulfur containing functionality can be derived from other functional groups using conventional methods known to one skilled in the art.

      The synthesis of compounds of formula 3, wherein the bond between C-9 and the nitrogen to which C-9 is connected is a double bond, and  $R^1$  is not existent,  $R^2$  is  $OR^7$ , can be  
10      prepared from compound of formula 2 following substantially the same synthetic sequence as described in U.S. patent application serial number 60/049349, filed June 11, 1997 (Yong-Jin Wu).

      The synthesis of the compounds of formula 3, wherein the bond between C-9 and the nitrogen to which C-9 is connected is a single bond, can be prepared from the compound of formula 3, wherein the bond between C9 and the nitrogen to which C9 is connected is a double  
15      bond,  $R^1$  is not existent, and  $R^2$  is OH, following substantially the same synthetic sequence as described in U.S. patent application serial number 60/063676, filed October 29, 1997 (Yong-Jin Wu).

      The synthesis of the compounds of formula 4 can be prepared from the compound of formula 2 following substantially the same synthetic sequence as described in PCT application  
20      WO 97/17356, published May 1997.

      The synthesis of the compounds of formula 5 can be prepared from the compound of formula 3, wherein X is  $-NR^5$ , following substantially the same synthetic sequence as described in  
in U.S. application serial number 60/063161, filed October 29, 1997 (Yong-Jin Wu).

      The compounds of the present invention may have asymmetric carbon atoms and  
25      therefore exist in different enantiomeric and diastereomeric forms. Diastereomeric mixtures can be separated into their individual diastereomers on the basis of their physical chemical differences by methods known to those skilled in the art, for example, by chromatography or fractional crystallization. Enantiomers may be separated by converting the enantiomeric mixtures into a diastereomeric mixture by reaction with an appropriate optically active compound (e.g.,  
30      alcohol), separating the diastereomers and converting (e.g., hydrolyzing) the individual diastereomers to the corresponding pure enantiomers. The use of all such isomers, including diastereomer mixtures and pure enantiomers, are considered to be part of the present invention.

      The compounds of the present invention that are basic in nature are capable of forming a wide variety of different salts with various inorganic and organic acids. Although such salts must  
35      be pharmaceutically acceptable for administration to mammals, it is often desirable in practice to initially isolate the compound of the present invention from the reaction mixture as a pharmaceutically unacceptable salt and then simply convert the latter back to the free base compound by treatment with an alkaline reagent and subsequently convert the latter free base to a pharmaceutically acceptable acid addition salt. The acid addition salts of the base compounds

5 of this invention are readily prepared by treating the base compound with a substantially equivalent amount of the chosen mineral or organic acid in an aqueous solvent medium or in a suitable organic solvent, such as methanol or ethanol. Upon careful evaporation of the solvent, the desired solid salt is readily obtained. The desired salt can also be precipitated from a solution of the free base in an organic solvent by adding to the solution an appropriate mineral or  
10 organic acid.

Those compounds of the present invention that are acidic in nature are capable of forming base salts with various cations. For compounds that are to be administered to mammals, fish or birds such salts must be pharmaceutically acceptable. Where a pharmaceutically acceptable salt is required, it may be desirable to initially isolate the compound of the present  
15 invention from the reaction mixture as a pharmaceutically unacceptable salt and then simply convert the latter to a pharmaceutically acceptable salt in a process analogous to that described above relating to the conversion of pharmaceutically unacceptable acid addition salts to pharmaceutically acceptable salts. Examples of base salts include the alkali metal or alkaline-earth metal salts and particularly the sodium, amine and potassium salts. These salts are all  
20 prepared by conventional techniques. The chemical bases which are used as reagents to prepare the pharmaceutically acceptable base salts of this invention are those which form non-toxic base salts with the acidic compounds of the present invention. Such non-toxic base salts include those derived from such pharmacologically acceptable cations as sodium, potassium, calcium, magnesium, various amine cations, etc. These salts can easily be prepared by treating  
25 the corresponding acidic compounds with an aqueous solution containing the desired pharmacologically acceptable bases with cations such as sodium, potassium, calcium, magnesium, various amine cations, etc., and then evaporating the resulting solution to dryness, preferably under reduced pressure. Alternatively, they may also be prepared by mixing lower alkanolic solutions of the acidic compounds and the desired alkali metal alkoxide together, and  
30 then evaporating the resulting solution to dryness in the same manner as before. In either case, stoichiometric quantities of reagents are preferably employed in order to ensure completeness of reaction and maximum yields of the desired final product.

The activity of the compounds of the present invention against bacterial and protozoa pathogens is demonstrated by the compound's ability to inhibit growth of defined strains of  
35 human (Assay I) or animal (Assays II and III) pathogens

#### Assay I

Assay I, described below, employs conventional methodology and interpretation criteria and is designed to provide direction for chemical modifications that may lead to compounds that circumvent defined mechanisms of macrolide resistance. In Assay I, a panel of bacterial strains

5 is assembled to include a variety of target pathogenic species, including representatives of macrolide resistance mechanisms that have been characterized. Use of this panel enables the chemical structure/activity relationship to be determined with respect to potency, spectrum of activity, and structural elements or modifications that may be necessary to obviate resistance mechanisms. Bacterial pathogens that comprise the screening panel are shown in the table  
10 below. In many cases, both the macrolide-susceptible parent strain and the macrolide-resistant strain derived from it are available to provide a more accurate assessment of the compound's ability to circumvent the resistance mechanism. Strains that contain the gene with the designation of *ermA/ermB/ermC* are resistant to macrolides, lincosamides, and streptogramin B antibiotics due to modifications (methylation) of 23S rRNA molecules by an Erm methylase,  
15 thereby generally prevent the binding of all three structural classes. Two types of macrolide efflux have been described; *msrA* encodes a component of an efflux system in staphylococci that prevents the entry of macrolides and streptogramins while *mefA/E* encodes a transmembrane protein that appears to efflux only macrolides. Inactivation of macrolide antibiotics can occur and can be mediated by either a phosphorylation of the 2'-hydroxyl (*mph*) or by cleavage of the  
20 macrocyclic lactone (esterase). The strains may be characterized using conventional polymerase chain reaction (PCR) technology and/or by sequencing the resistance determinant. The use of PCR technology in this application is described in J. Sutcliffe et al., "Detection Of Erythromycin-Resistant Determinants By PCR", Antimicrobial Agents and Chemotherapy, 40(11), 2562-2566 (1996). The antibacterial assay is performed in microtiter trays and interpreted  
25 according to Performance Standards for Antimicrobial Disk Susceptibility Tests - Sixth Edition; Approved Standard, published by The National Committee for Clinical Laboratory Standards (NCCLS) guidelines; the minimum inhibitory concentration (MIC) is used to compare strains. *acr* AB or *acr* AB-like indicates that an intrinsic multidrug efflux pump exists in the strain. Compounds are initially dissolved in dimethylsulfoxide (DMSO) as 40 mg/ml stock solutions.

5

Strain Designation	Macrolide Resistance Mechanism(s)
<i>Staphylococcus aureus</i> 1116	susceptible parent
<i>Staphylococcus aureus</i> 1117	ermB
<i>Staphylococcus aureus</i> 0052	susceptible parent
<i>Staphylococcus aureus</i> 1120	ermC
<i>Staphylococcus aureus</i> 1032	msrA, mph, esterase
<i>Staphylococcus hemolyticus</i> 1006	msrA, mph
<i>Streptococcus pyogenes</i> 0203	susceptible parent
<i>Streptococcus pyogenes</i> 1079	ermB
<i>Streptococcus pyogenes</i> 1062	susceptible parent
<i>Streptococcus pyogenes</i> 1061	ermB
<i>Streptococcus pyogenes</i> 1064	mefA
<i>Streptococcus agalactiae</i> 1024	susceptible parent
<i>Streptococcus agalactiae</i> 1023	ermB
<i>Streptococcus pneumoniae</i> 1016	susceptible
<i>Streptococcus pneumoniae</i> 1046	ermB
<i>Streptococcus pneumoniae</i> 1095	ermB
<i>Streptococcus pneumoniae</i> 1175	mefE
<i>Haemophilus influenzae</i> 0085	susceptible; acr AB-like
<i>Haemophilus influenzae</i> 0131	susceptible; acr AB-like
<i>Moraxella catarrhalis</i> 0040	susceptible
<i>Moraxella catarrhalis</i> 1055	erythromycin intermediate resistance
<i>Escherichia coli</i> 0266	susceptible; acr AB
<i>Haemophilus influenzae</i> 1100	susceptible; acr AB-like

Assay II is utilized to test for activity against *Pasteurella multocida* and Assay III is utilized to test for activity against *Pasteurella haemolytica*.

## Assay II

10 This assay is based on the liquid dilution method in microliter format. A single colony of *P. multocida* (strain 59A067) is inoculated into 5 ml of brain heart infusion (BHI) broth. The test compounds are prepared by solubilizing 1 mg of the compound in 125  $\mu$ l of dimethylsulfoxide (DMSO). Dilutions of the test compound are prepared using uninoculated BHI broth. The concentrations of the test compound used range from 200  $\mu$ g/ml to 0.098  $\mu$ g/ml by two-fold serial

5 dilutions. The *P. multocida* inoculated BHI is diluted with uninoculated BHI broth to make a  $10^4$  cell suspension per 200  $\mu$ l. The BHI cell suspensions are mixed with respective serial dilutions of the test compound, and incubated at 37°C for 18 hours. The minimum inhibitory concentration (MIC) is equal to the concentration of the compound exhibiting 100% inhibition of growth of *P. multocida* as determined by comparison with an uninoculated control.

10

### Assay III

This assay is based on the agar dilution method using a Steers Replicator. Two to five colonies isolated from an agar plate are inoculated into BHI broth and incubated overnight at 37°C with shaking (200 rpm). The next morning, 300  $\mu$ l of the fully grown *P. haemolytica* preculture is inoculated into 3 ml of fresh BHI broth and is incubated at 37°C with shaking (200 rpm). The  
15 appropriate amounts of the test compounds are dissolved in ethanol and a series of two-fold serial dilutions are prepared. Two ml of the respective serial dilution is mixed with 18 ml of molten BHI agar and solidified. When the inoculated *P. haemolytica* culture reaches 0.5 McFarland standard density, about 5  $\mu$ l of the *P. haemolytica* culture is inoculated onto BHI agar plates containing the various concentrations of the test compound using a Steers Replicator and incubated for 18 hours  
20 at 37°C. Initial concentrations of the test compound range from 100-200  $\mu$ g/ml. The MIC is equal to the concentration of the test compound exhibiting 100% inhibition of growth of *P. haemolytica* as determined by comparison with an uninoculated control.

The in vivo activity of the compounds of formula (I) can be determined by conventional animal protection studies well known to those skilled in the art, usually carried out in mice.

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5 Mice are allotted to cages (10 per cage) upon their arrival, and allowed to acclimate for a minimum of 48 hours before being used. Animals are inoculated with 0.5 ml of a  $3 \times 10^3$  CFU/ml bacterial suspension (*P. multocida* strain 59A006) intraperitoneally. Each experiment has at least 3 non-medicated control groups including one infected with 0.1X challenge dose and two infected with 1X challenge dose; a 10X challenge data group may also be used. Generally, all mice in a given  
10 study can be challenged within 30-90 minutes, especially if a repeating syringe (such as a Cornwall® syringe) is used to administer the challenge. Thirty minutes after challenging has begun, the first compound treatment is given. It may be necessary for a second person to begin compound dosing if all of the animals have not been challenged at the end of 30 minutes. The routes of administration are subcutaneous or oral doses. Subcutaneous doses are administered into the  
15 loose skin in the back of the neck whereas oral doses are given by means of a feeding needle. In both cases, a volume of 0.2 ml is used per mouse. Compounds are administered 30 minutes, 4 hours, and 24 hours after challenge. A control compound of known efficacy administered by the same route is included in each test. Animals are observed daily, and the number of survivors in each group is recorded. The *P. multocida* model monitoring continues for 96 hours (four days) post  
20 challenge.

The  $PD_{50}$  is a calculated dose at which the compound tested protects 50% of a group of mice from mortality due to the bacterial infection which would be lethal in the absence of drug treatment.

The compounds of formula 1, 2, 3, 4 and 5 the pharmaceutically acceptable salts thereof  
25 (hereinafter "the active compounds"), may be administered through oral, parenteral, topical, or rectal routes in the treatment of bacterial and protozoa infections. In general, these compounds are most desirably administered in dosages ranging from about 0.2 mg per kg body weight per day (mg/kg/day) to about 200 mg/kg/day in single or divided doses (i.e., from 1 to 4 doses per day), although variations will necessarily occur depending upon the species, weight and condition of the  
30 subject being treated and the particular route of administration chosen. However, a dosage level that is in the range of about 4 mg/kg/day to about 50 mg/kg/day is most desirably employed. Variations may nevertheless occur depending upon the species of mammal, fish or bird being treated and its individual response to said medicament, as well as on the type of pharmaceutical formulation chosen and the time period and interval at which such administration is carried out. In  
35 some instances, dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing any harmful side effects, provided that such larger doses are first divided into several small doses for administration throughout the day.



5           The active compounds may be administered alone or in combination with pharmaceutically acceptable carriers or diluents by the routes previously indicated, and such administration may be carried out in single or multiple doses. More particularly, the active compounds may be administered in a wide variety of different dosage forms, i.e., they may be combined with various pharmaceutically acceptable inert carriers in the form of tablets, capsules, lozenges, troches, hard  
10   candies, powders, sprays, creams, salves, suppositories, jellies, gels, pastes, lotions, ointments, aqueous suspensions, injectable solutions, elixirs, syrups, and the like. Such carriers include solid diluents or fillers, sterile aqueous media and various non-toxic organic solvents, etc. Moreover, oral pharmaceutical compositions can be suitably sweetened and/or flavored. In general, the active compounds are present in such dosage forms at concentration levels ranging from about 5.0% to  
15   about 70% by weight.

          For oral administration, tablets containing various excipients such as microcrystalline cellulose, sodium citrate, calcium carbonate, dicalcium phosphate and glycine may be employed along with various disintegrants such as starch (and preferably corn, potato or tapioca starch), alginic acid and certain complex silicates, together with granulation binders like polyvinylpyrrolidone,  
20   sucrose, gelatin and acacia. Additionally, lubricating agents such as magnesium stearate, sodium lauryl sulfate and talc are often very useful for tabletting purposes. Solid compositions of a similar type may also be employed as fillers in gelatin capsules; preferred materials in this connection also include lactose or milk sugar as well as high molecular weight polyethylene glycols. When aqueous suspensions and/or elixirs are desired for oral administration, the active compound may be combined  
25   with various sweetening or flavoring agents, coloring matter or dyes, and, if so desired, emulsifying and/or suspending agents as well, together with such diluents as water, ethanol, propylene glycol, glycerin and various like combinations thereof.

          For parenteral administration, solutions of an active compound in either sesame or peanut oil or in aqueous propylene glycol may be employed. The aqueous solutions should be suitably  
30   buffered (preferably pH greater than 8) if necessary and the liquid diluent first rendered isotonic. These aqueous solutions are suitable for intravenous injection purposes. The oily solutions are suitable for intraarticular, intramuscular and subcutaneous injection purposes. The preparation of all these solutions under sterile conditions is readily accomplished by standard pharmaceutical techniques well known to those skilled in the art.

35           Additionally, it is also possible to administer the active compounds of the present invention topically and this may be done by way of creams, jellies, gels, pastes, patches, ointments and the like, in accordance with standard pharmaceutical practice.

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5 For administration to animals other than humans, such as cattle or domestic animals, the active compounds may be administered in the feed of the animals or orally as a drench composition.

The active compounds may also be administered in the form of liposome delivery systems, such as small unilamellar vesicles, large unilamellar vesicles and multilamellar vesicles. Liposomes  
10 can be formed from a variety of phospholipids, such as cholesterol, stearylamine or phosphatidylcholines.

The active compounds may also be coupled with soluble polymers as targetable drug carriers. Such polymers can include polyvinylpyrrolidone, pyran copolymer, polyhydroxypropylmethacrylamide phenyl, polyhydroxyethylaspartamide-phenol, or  
15 polyethyleneoxide-polylysine substituted with palmitoylresidues. Furthermore, the active compounds may be coupled to a class of biodegradable polymers useful in achieving controlled release of a drug, for example, polylactic acid, polyglycolic acid, copolymers of polylactic and polyglycolic acid, polyepsilon caprolactone, polyhydroxy butyric acid, polyorthoesters, polyacetals, polydihydropyrans, polycyanoacrylates and cross-linked or amphipathic block copolymers of  
20 hydrogels.

The following Examples further illustrate the method and intermediates of the present invention. It is to be understood that the present invention is not limited to the specific details of the Examples provided below.

#### Example 1

##### 25 13-Cyclobutylerythromycin A 9-oxime

To a solution of 13-cyclobutylerythromycin A (3.67 g, 4.83 mmol) in pyridine (50 mL) was added  $\text{NH}_2\text{OH}\cdot\text{HCl}$  (2.68 g, 38.57 mmol) and the resulting solution was heated at 60°C for 16 h. The reaction mixture was made basic with sat.  $\text{NaHCO}_3$  and extracted with  $\text{CH}_2\text{Cl}_2$  (X4). The combined organic layers were washed with  $\text{H}_2\text{O}$  (X2) and brine (X1), dried over  $\text{Na}_2\text{SO}_4$ , and  
30 evaporated *in vacuo*. The crude product was purified by silica gel flash chromatography (0.3%  $\text{NH}_3\cdot\text{H}_2\text{O}$ -3% MeOH-96.7%  $\text{CH}_2\text{Cl}_2$ ) to afford the title compound as a white solid (2.85 g).

MS: m/z 775 (M+H).

#### Example 2

##### 13-Cyclobutylerythromycin A 9-(O-benzyloxime)

35 To a solution of 13-cyclobutylerythromycin A 9-oxime (2.85 g, 3.67 mmol) in DMF (N,N-dimethylformamide) (20 mL) were added benzyl chloride (0.51 mL g, 4.41 mmol) and 85% KOH power (0.29 g, 4.41 mmol), and the mixture was stirred in an ice-bath for 75 min. The reaction mixture was treated with  $\text{H}_2\text{O}$  and extracted with EtOAc (X5). The combined organic layers were washed with  $\text{H}_2\text{O}$  (X2) and brine (X1), dried over  $\text{Na}_2\text{SO}_4$ , and evaporated *in vacuo*. The crude

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- 5 product was purified by silica gel flash chromatography (1%  $\text{NH}_3 \cdot \text{H}_2\text{O}$ -10% MeOH-89%  $\text{CH}_2\text{Cl}_2$ ) to afford the title compound as a white solid.

MS: m/z 865 (M+H).

### Example 3

#### 2',4"-O-Bis(trimethylsilyl)-13-cyclobutyl-erythromycin A 9-(O-benzyloxime)

- 10 A mixture of chlorotrimethylsilane (0.36 mL, 2.84 mmol) and 1-trimethylsilylimidazole (0.42 mL, 2.84 mmol) in EtOAc (2 mL) was added to a solution of 13-cyclobutylerythromycin A 9-(O-benzyloxime) (1.23 g, 1.42 mmol) in EtOAc (10 mL) at room temperature, and the resulting solution was stirred at room temperature for 45 min. The reaction mixture was then diluted with hexane (25 mL) and washed with  $\text{H}_2\text{O}$  (2X25 mL). The washings were extracted with hexane  
15 (2X15 mL), and the combined organic layers were washed with  $\text{H}_2\text{O}$  (X2) and brine (X1), dried over  $\text{Na}_2\text{SO}_4$ , and evaporated *in vacuo* to afford the title compound as a white solid.

MS: m/z 1009 (M+H).

### Example 4

#### 2',4"-O-Bis(trimethylsilyl)-13-cyclobutyl-6-O-methylerythromycin A 9-(O-benzyloxime)

- 20 To a solution of 2',4"-O-Bis(trimethylsilyl)-13-cyclobutylerythromycin A 9-(O-benzyloxime) (1.42 g, 1.41 mmol) in 1 : 1 mixture of DMSO and THF (22 mL) were added MeI (0.14 mL, 1.83 mmol) and then 85% KOH power (102 mg, 1.55 mmol), and the resulting mixture was stirred with ice-cooling for 1.5 hours. The reaction mixture was treated with  $\text{H}_2\text{O}$  and extracted with hexane (X4). The combined organic layers were washed with  $\text{H}_2\text{O}$  (X2) and brine  
25 (X1), dried over  $\text{Na}_2\text{SO}_4$ , and evaporated *in vacuo* to give the title compound as a white solid (1.31 g).

MS: m/z 1024 (M+H).

### Example 5

#### 13-Cyclobutyl-6-O-methylerythromycin A 9-oxime

- 30 To a solution of 2',4"-O-Bis(trimethylsilyl)-13-cyclobutyl-6-O-methylerythromycin A 9-(O-benzyloxime) (1.31 g, 1.28 mmol) in MeOH (13 mL) were added 10% Pd-C (206 mg), formic acid (0.82 mL, 21.79 mmol), and ammonium formate (137 mg, 2.18 mmol), and the reaction mixture was heated at 60°C for 2 hours. The catalyst was filtered off, and the filtrate, after addition of  $\text{H}_2\text{O}$ , was made basic with 2N NaOH. Most methanol was evaporated *in vacuo*, and the residue  
35 was extracted with  $\text{CH}_2\text{Cl}_2$  (X4). The combined organic layers were washed with  $\text{H}_2\text{O}$  (X2) and brine (X1), dried over  $\text{Na}_2\text{SO}_4$ , and evaporated *in vacuo* to give the title compound as a white solid (956 mg).

MS: m/z 789 (M+H).

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5

**Example 6****13-Cyclobutyl-6-O-methylerythromycin A**

To a solution of 13-cyclobutyl-6-O-methylerythromycin A 9-oxime (931 mg, 1.18 mmol) in EtOH (4.3 mL) and H<sub>2</sub>O (4.3 mL) were added formic acid (107  $\mu$ L, 2.83 mmol) and sodium bisulfite (500 mg, 4.84 mmol) and the reaction mixture was heated at 80°C for 1.75 hours. The reaction mixture was diluted with H<sub>2</sub>O, made basic with 1N NaOH, and extracted with CH<sub>2</sub>Cl<sub>2</sub> (X3). The combined organic layers were washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo*. The crude product was purified by silica gel flash chromatography (1% NH<sub>3</sub>·H<sub>2</sub>O-10% MeOH-89% CH<sub>2</sub>Cl<sub>2</sub>) to give the title compound (337 mg) as a white solid (956 mg).

15

MS: m/z 774 (M+H).

**Example 7****2',4"-Di-O-Acetyl-13-cyclobutyl-6-O-methylerythromycin A**

To a solution of 13-cyclobutyl-6-O-methylerythromycin A (327 mg, 0.42 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (4.0 mL) were added Ac<sub>2</sub>O (120  $\mu$ L, 1.26 mmol) and DMAP (41 mg, 0.34 mmol) and the reaction mixture was stirred at room temperature for 18 hours. Sat. NaHCO<sub>3</sub> was added the reaction mixture was extracted with CH<sub>2</sub>Cl<sub>2</sub> (X3). The combined organic layers were washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo* to give the title compound as a white solid (363 mg).

MS: m/z 858 (M+H).

25

**Example 8****2',4"-Di-O-acetyl-13-cyclobutyl-6-O-methylerythromycin A, 11,12-carbonate**

To a solution of 2',4"-di-O-acetyl-13-cyclobutyl-6-O-methylerythromycin A (363 mg, 0.42 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (4.0 mL) was added trichloroacetyl isocyanate (0.15 mL, 1.27 mmol) and the reaction mixture was stirred at room temperature for 18 hours. MeOH (3 mL) was added and the reaction mixture was stirred at room temperature for 1 hours. Sat. NaHCO<sub>3</sub> was added the reaction mixture was extracted with CH<sub>2</sub>Cl<sub>2</sub> (X3). The combined organic layers were washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo* to give the title compound as a white solid.

MS: m/z 884 (M+H).

35

**Example 9****2'-O-Acetyl-13-cyclobutyl-5-O-desosaminyl-6-O-methylerythronolide A, 11,12-carbonate**

To a solution of 2',4"-di-O-acetyl-13-cyclobutyl-6-O-methylerythromycin A, 11,12-carbonate obtained from example 8 in EtOH (3.5 mL) was added 2N HCl (6 mL) and the reaction mixture was stirred at room temperature for 3 days. The solution was made basic with sat.

- 5 NaHCO<sub>3</sub>, and most EtOH was evaporated, and the aqueous solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> (X3). The combined organic layers were washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo*. The crude product was purified by preparative TLC (1% NH<sub>3</sub>·H<sub>2</sub>O-10% MeOH-89% CH<sub>2</sub>Cl<sub>2</sub>) to give the title compound (134 mg) as a white solid.

MS: m/z 684 (M+H).

10

#### Example 10

2'-O-Acetyl-13-cyclobutyl-5-O-desosaminyl-6-O-methyl-3-oxo-erythronolide A, 11,12-carbonate

- To a solution of 2'-O-acetyl-13-cyclobutyl-5-O-desosaminyl-6-O-methylerythronolide A, 11,12-carbonate (134 mg, 0.20 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (2.0 mL) were DMSO (348 uL, 4.9 mmol),  
15 Py·TFA (293.6 mg, 1.52 mmol) and EDAC (291 mg, 1.62 mmol) and the reaction mixture was stirred at room temperature for 2 days. The solution was made basic with sat. NaHCO<sub>3</sub>, and the aqueous solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> (X3). The combined organic layers were washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo* to give the title compound (134 mg) as a white solid.

20

MS: m/z 682 (M+H).

#### Example 11

2'-O-Acetyl-10,11-anhydro-13-cyclobutyl-5-O-desosaminyl-6-O-methyl-3-oxo-erythronolide A

- To a solution of 2'-O-acetyl-13-cyclobutyl-5-O-desosaminyl-6-O-methyl-3-oxoerythronolide A, 11,12-carbonate (134 mg, 0.20 mmol) in C<sub>6</sub>H<sub>6</sub> (5 mL) was DBU (378 uL, 2.53 mmol) and the reaction mixture was heated at 90°C for 2 hours. Sat. NaH<sub>2</sub>PO<sub>4</sub> was added, and the aqueous solution was extracted with EtOAc (X5). The combined organic layers were washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo* to give the title compound (122 mg) as a white solid.

30

MS: m/z 638 (M+H).

#### Example 12

2'-O-Acetyl-10,11-anhydro-13-cyclobutyl-5-O-desosaminyl-12-O-imidazolylcarbonyl-6-O-methyl-3-oxoerythronolide A

- To a solution of 2'-O-acetyl-10,11-anhydro-13-cyclobutyl-5-O-desosaminyl-6-O-methyl-3-oxoerythronolide A (61 mg, 0.10 mmol) in THF (1.2 mL) were added NaH (95% purity, 5mg, 0.20 mmol) and CDI (49 mg, 0.30 mmol) and the reaction mixture was stirred at room temperature for 15 hours. Sat. NaHCO<sub>3</sub> was added, and the aqueous solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> (X5). The combined organic layers were washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo* to give the title compound as a white solid.

35

5 MS: m/z 732 (M+H).

### Example 13

13-Cyclobutyl-11-deoxy-5-O-desosaminy-11-hydrazo-6-O-methyl-3-oxoerythronolide A,  
11,12-carbamate

To a solution of 2'-O-Acetyl-10,11-anhydro-13-cyclobutyl-5-O-desosaminy-12-O-  
10 imidazolylcarbonyl-6-O-methyl-3-oxoerythronolide A obtained from example 12 in MeCN (1.0 mL)  
was added anhydrous  $\text{NH}_2\text{NH}_2$  (42  $\mu\text{L}$ , 1.34 mmol) and CDI (49 mg, 0.30 mmol) and the reaction  
mixture was heated at 90°C for 15 hours. MeCN was evaporated *in vacuo* and the crude product  
was purified by preparative TLC (1%  $\text{NH}_3\cdot\text{H}_2\text{O}$ -10% MeOH-89%  $\text{CH}_2\text{Cl}_2$ ) to give the title  
compound (134 mg) as a white solid.

15 MS: m/z 654 (M+H).

### Example 14

13-Cyclobutyl-11-deoxy-5-O-desosaminy-6-O-methyl-3-oxo-11-(3-quinolin-4-yl-  
propylidene)hydrazoerythronolide A, 11,12-carbamate

To a solution of 13-cyclobutyl-11-deoxy-5-O-desosaminy-11-hydrazo-6-O-methyl-3-  
20 oxoerythronolide A, 11,12-carbamate obtained from example 13 in toluene (1.0 mL) was added  
3-(4-quinolinyl)propionaldehyde (27 mg, 0.14 mmol) and the reaction mixture is heated at 90°C  
for 15 hours. EtOH is evaporated *in vacuo* to give the title compound as a white solid.

MS: m/z 821 (M+H).

### Example 16

25 13-Cyclobutyl-11-deoxy-5-O-desosaminy-6-O-methyl-3-oxo-11-(3-quinolin-4-yl-  
propyl)hydrazoerythronolide A, 11,12-carbamate

To a solution of 13-Cyclobutyl-11-deoxy-5-O-desosaminy-6-O-methyl-3-oxo-11-(3-  
quinolin-4-yl-propylidene)hydrazoerythronolide A, 11,12-carbamate obtained from example 14 in  
MeOH (1.0 mL) at room temperature was added  $\text{NaBH}_3\text{CN}$  (60 mg, 0.96 mmol) and HOAc (88  
30  $\mu\text{L}$ , 1.53 mmol), and the resulting solution was stirred at room temperature for 14 hours. The  
solution is made basic with sat.  $\text{NaHCO}_3$ , and the aqueous solution was extracted with  $\text{CH}_2\text{Cl}_2$   
(X3). The combined organic layers are washed with  $\text{H}_2\text{O}$  (X2) and brine (X1), dried over  $\text{Na}_2\text{SO}_4$ ,  
and evaporated *in vacuo*. The crude product was purified by preparative TLC (1%  $\text{NH}_3\cdot\text{H}_2\text{O}$ -10%  
MeOH-89%  $\text{CH}_2\text{Cl}_2$ ) to give the title compound as a white solid.

35  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$ : 1.04 (3H, d, J = 6.8 Hz), 1.15 (3H, d, J = 7.2 Hz), 1.22 (3H,  
d, J = 6.4 Hz), 1.29 (3H, d, J = 6.4 Hz), 1.31 (3H, s), 1.33 (3H, d, J = 6.8 Hz), 1.43 (3H, s), 2.26  
(6H, s), 2.63 (3H, s), 3.67 (1H, s), 3.83 (1H, q, J = 6.8 Hz).

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz)  $\delta$ : 14.30, 14.42, 15.05, 15.39, 18.54, 18.91, 19.86, 21.18,  
24.88, 26.57, 28.29, 28.61, 29.57, 35.09, 39.51, 39.58, 40.24, 44.63, 47.26, 48.41, 50.13, 51.07,

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5 58.19, 65.92, 69.55, 70.27, 78.09, 78.16, 79.08, 81.42, 103.79, 121.03, 123.87, 126.27, 127.62, 128.90, 130.04, 148.32, 150.21, 156.15, 169.78, 203.88, and 217.99.

MS: m/z 823 (M+H).

#### Example 16

10 13-Cyclobutyl-11-deoxy-5-O-desosaminyl-11-6-O-methyl-3-oxo-11-(4-(4-(3-pyridinyl)-1H-imidazol-1-yl)butyl)aminoerythronolide A, 11,12-carbamate

To a solution of 2'-O-Acetyl-10,11-anhydro-13-cyclobutyl-5-O-desosaminyl-12-O-imidazolylcarbonyl-6-O-methyl-3-oxoerythronolide A obtained from example 12 in MeCN (1.0 mL) is added 4-(4-(3-pyridinyl)-1H-imidazol-1-yl)butylamine and the reaction mixture was heated at 90°C for 15 hours. MeCN was evaporated *in vacuo*, sat. NaHCO<sub>3</sub> was added, and the aqueous  
15 solution is extracted with CH<sub>2</sub>Cl<sub>2</sub> (X4). The combined organic layers are washed with H<sub>2</sub>O (X2) and brine (X1), dried over Na<sub>2</sub>SO<sub>4</sub>, and evaporated *in vacuo*. The crude product is purified by preparative TLC (1% NH<sub>3</sub>·H<sub>2</sub>O-10% MeOH-89% CH<sub>2</sub>Cl<sub>2</sub>) to give the title compound as a white solid.

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ: 0.95 (3H, d, J = 6.8 Hz), 1.13 (3H, d, J = 7.2 Hz), 1.21 (3H, d, J = 6.0 Hz), 1.27 (3H, d, J = 7.6 Hz), 1.30 (3H, s), 1.33 (3H, d, J = 6.8 Hz), 1.42 (3H, s), 2.24 (6H, s), 2.59 (3H, s), 3.48 (1H, s), 3.83 (1H, q, J = 6.8 Hz).

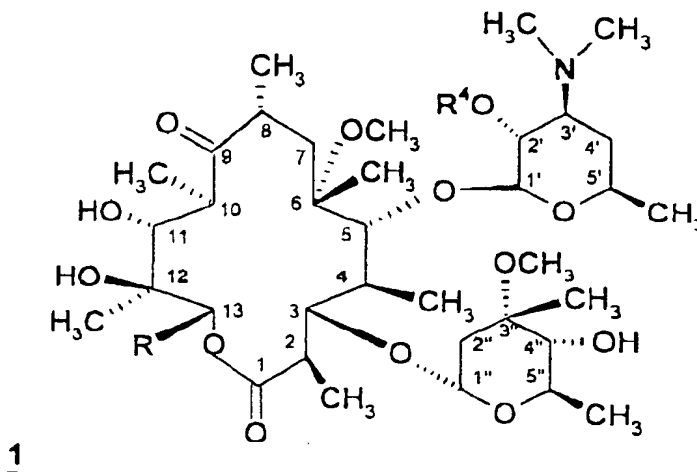
<sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz) δ: 13.89, 14.30, 15.15, 15.88, 18.37, 18.91, 19.74, 21.17, 24.30, 24.95, 26.53, 28.13, 28.62, 35.26, 38.89, 39.52, 40.23, 42.41, 44.89, 46.82, 47.60, 49.78, 51.24, 60.35, 65.83, 69.61, 70.29, 78.20, 78.24, 79.50, 82.88, 103.88, 115.50, 123.49, 130.26,  
25 131.98, 137.78, 139.08, 146.38, 147.57, 157.32, 169.88, 203.67 and 216.38.

MS: m/z 838 (M+H).

5

CLAIMS

1. A compound of the formula

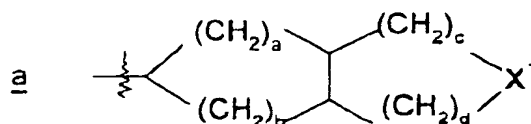


- 10 or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

15 or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

20 or R may be with a formula (a) as shown below



wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

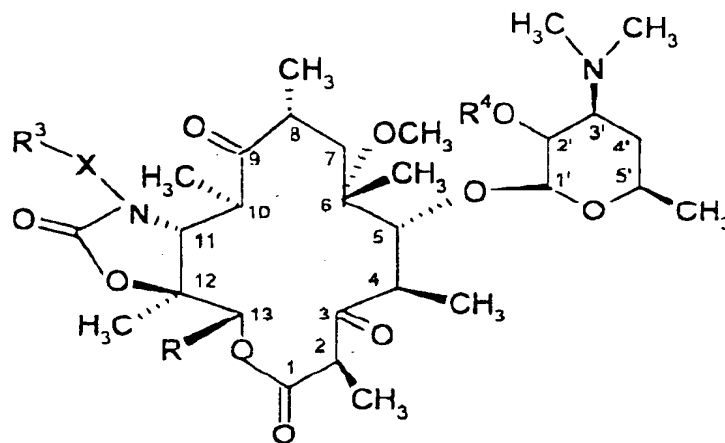
25 or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups



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- 5 or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; and
- 10 R<sup>4</sup> is H or acyl of an organic acid of up to 18 carbon atoms.

- 15 2. A compound of the formula

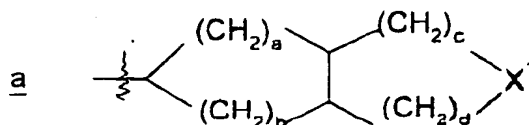
2

or a pharmaceutically acceptable salt thereof, wherein:

- R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;
- 20 25

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below

-78-

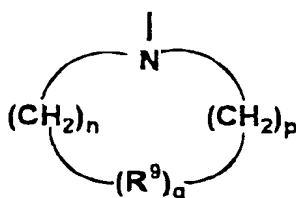


wherein  $X^1$  is O, S or  $-CH_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d \leq 5$ ;

X is  $-(CR^5R^6)_g-$  or  $-NR^5-$ , wherein g is 0 or 1;

wherein when X is  $-NR^5-$ , X and  $R^3$  optionally may be taken together to form  $-N=CR^7R^8$ ,

or when X is  $-NR^5-$ , X and  $R^3$  optionally may be taken together to form a heterocyclic of the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $R^9$  is selected from  $CH_2$ , O, S,  $C=O$ ,  $C=S$ ,  $SO_2$ ,  $-CH=CH-$ ,  $-CH(OH)CH(OH)-$ , and  $NH$ ;

or when X is  $-NR^5-$ , X and  $R^3$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{12}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

or R is  $CH_2R^{24}$ , wherein  $R^{24}$  is H,  $C_1-C_8$  alkyl,  $C_2-C_8$  alkenyl,  $C_2-C_8$  alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a  $C_3-C_6$  cycloalkyl or  $C_5-C_6$  cycloalkenyl either or which may be optionally substituted by methyl or one or more  $C_1-C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo atoms; or a group of the formula  $SR^{23}$  wherein  $R^{23}$  is  $C_1-C_8$  alkyl,  $C_2-C_8$  alkenyl,  $C_2-C_8$  alkynyl,  $C_3-C_6$  cycloalkyl,  $C_5-C_6$  cycloalkenyl, phenyl or substituted phenyl wherein the substituent is  $C_1-C_4$  alkyl,  $C_1-C_4$  alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo atoms;

$R^{10}$  and  $R^{11}$  are each independently selected from H,  $C_1-C_{10}$  alkyl;

or  $R^3$  is selected from H,  $C(=O)Z$ ,  $C(=O)OZ$ ,  $(CR^5R^6)_mZ$ ,  $C(=O)R^7$ ,  $C(=O)OR^7$ ,

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5  $(CR^5R^6)_mR^7$ , wherein  $m$  is an integer ranging from 0 to 6;

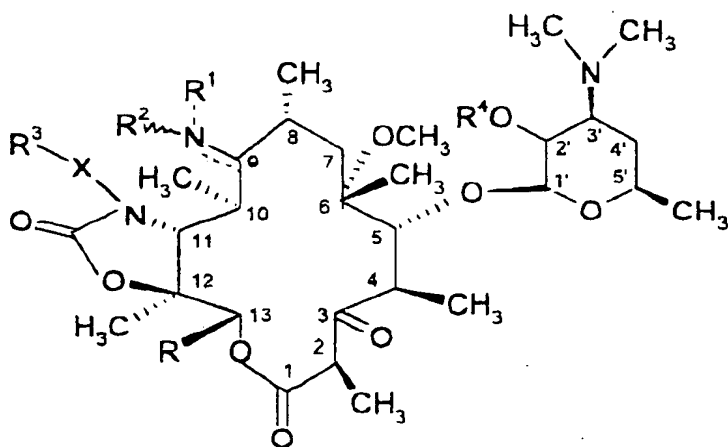
$Z$  is a 4-10 membered heterocyclic or  $C_6-C_{10}$  aryl, wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

$R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

$R^5$  and  $R^6$  are each independently selected from H,  $C_1-C_8$  alkyl, halogen; and  $R^5$  and  $R^6$  may each independently vary when  $m$  is greater than 1; and

$R^7$  and  $R^8$  are each independently selected from H,  $C_1-C_{18}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-NR^{10}R^{11}$ ,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ .

20 3. A compound of the formula



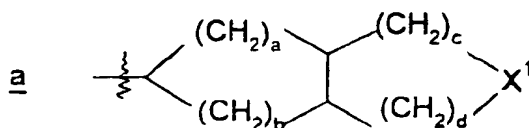
3

or a pharmaceutically acceptable salt thereof, wherein:

$R$  is an  $\alpha$ -branched  $C_3-C_8$  alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a  $C_5-C_8$  cycloalkylalkyl group wherein the alkyl group is an  $\alpha$ -branched  $C_2-C_3$  alkyl group; a  $C_3-C_8$  cycloalkyl or  $C_5-C_8$  cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more  $C_1-C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo

5 atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:



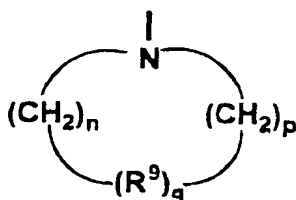
10 wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

X is -(CR<sup>5</sup>R<sup>6</sup>)<sub>g</sub>- or -NR<sup>5</sup>-, wherein g is 0 or 1,

wherein when X is -NR<sup>5</sup>-, X and R<sup>3</sup> optionally may be taken together to form -N=CR<sup>7</sup>R<sup>8</sup>,

or when X is -NR<sup>5</sup>-, X and R<sup>3</sup> optionally may be taken together to form a heterocyclic of

15 the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and R<sup>9</sup> is selected from CH<sub>2</sub>, O, S, C=O, C=S, SO<sub>2</sub>, -CH=CH-, -CH(OH)CH(OH)-, and NH;

20 or when X is -NR<sup>5</sup>-, X and R<sup>3</sup> together form a heterocyclic as defined above having substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or  
 25 alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6  
 30 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing

- 5 heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms;

R<sup>10</sup> and R<sup>11</sup> are each independently selected from H, C<sub>1</sub>-C<sub>10</sub> alkyl;

or R<sup>3</sup> is selected from H, C(=O)Z, C(=O)OZ, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>Z, C(=O)R<sup>7</sup>, C(=O)OR<sup>7</sup>, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>R<sup>7</sup> wherein m is selected from an integer ranging from 0 to 6;

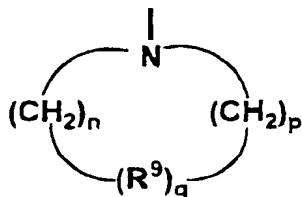
- 10 Z is a 4-10 membered heterocyclic or C<sub>6</sub>-C<sub>10</sub> aryl; wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

- 15 R<sup>4</sup> is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

R<sup>5</sup> and R<sup>6</sup> are each independently selected from H, C<sub>1</sub>-C<sub>6</sub> alkyl, halogen; and R<sup>5</sup> and R<sup>6</sup> may each independently vary when m is greater than 1;

where the bond between C9 and the nitrogen to which C9 is linked is a single bond, R<sup>1</sup> and R<sup>2</sup> together with the nitrogen atom to which they are linked optionally may together form

- 20 -N=CR<sup>7</sup>R<sup>8</sup>, or R<sup>1</sup> and R<sup>2</sup> together with the nitrogen atom to which they are linked optionally may together form a heterocyclic of the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and R<sup>9</sup> is selected from CH<sub>2</sub>, O, S, C=O, C=S, SO<sub>2</sub>, -CH=CH-,

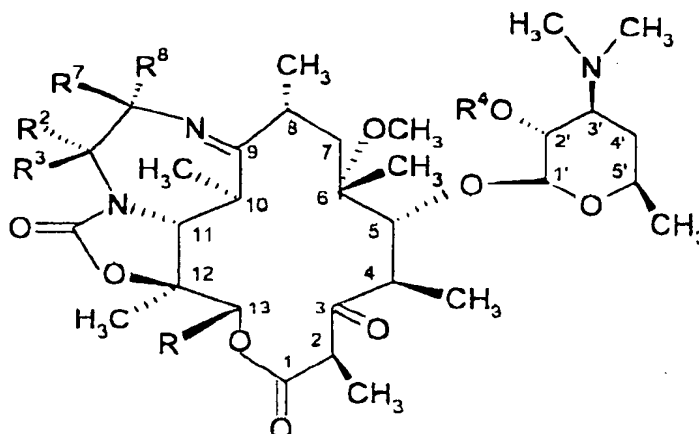
- 25 -CH(OH)CH(OH)-, and NH; or X and R<sup>3</sup> together form a heterocyclic as defined above having substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>; and

- 30 R<sup>1</sup>, R<sup>2</sup>, R<sup>7</sup>, and R<sup>8</sup> are each independently selected from H, C<sub>1</sub>-C<sub>12</sub> alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

- 35 where the bond between C9 and the nitrogen to which C9 is linked is a double bond, R<sup>1</sup>

5 is not existent, and  $R^2$  is  $OR^7$ , wherein  $R^7$  is defined as above.

4. A compound of the formula



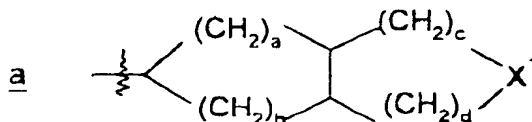
4

or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

20 or R may be with a formula (a) as shown below



wherein  $X^1$  is O, S or  $-CH_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d < 5$ ;

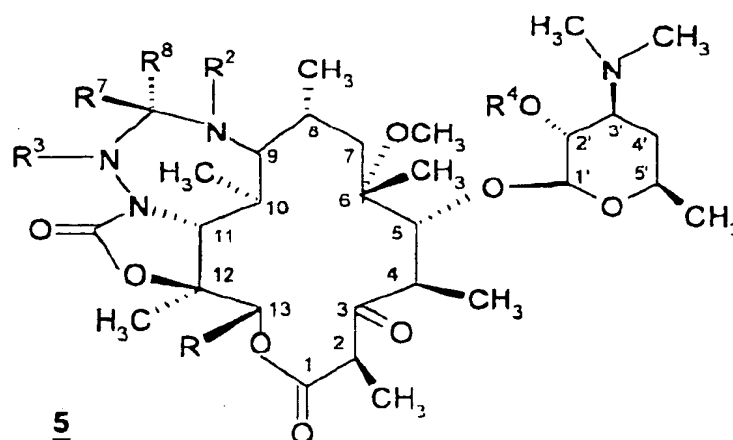
or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups

- 5 or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms;

- R<sup>3</sup>, R<sup>2</sup>, R<sup>7</sup>, and R<sup>8</sup> are Independently selected from H, C<sub>1</sub>-C<sub>18</sub> alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>; and

- R<sup>10</sup> and R<sup>11</sup> are each independently selected from H, C<sub>1</sub>-C<sub>10</sub> alkyl; and R<sup>4</sup> is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

5. A compound of the formula

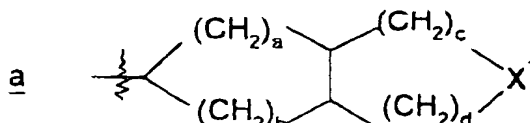


or a pharmaceutically acceptable salt thereof, wherein

- R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>3</sub>-C<sub>8</sub> cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially

- 5 unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:



wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

- or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of  
 15 said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups  
 20 or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms;

- 25 R<sup>10</sup> and R<sup>11</sup> are independently selected from H, C<sub>1</sub>-C<sub>10</sub> alkyl;

R<sup>3</sup> is selected from H, C(=O)Z, C(=O)OZ, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>Z, C(=O)R<sup>7</sup>, C(=O)OR<sup>7</sup>, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>R<sup>7</sup> wherein m is selected from an integer ranging from 0 to 6;

- Z is a 4-10 membered heterocyclic or C<sub>6</sub>-C<sub>10</sub> aryl, wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group  
 30 consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

R<sup>5</sup> and R<sup>6</sup> are independently selected from H, C<sub>1</sub>-C<sub>8</sub> alkyl, halogen; and R<sup>5</sup> and R<sup>6</sup> may each vary independently when m is greater than 1;

- 35 R<sup>2</sup>, R<sup>7</sup>, and R<sup>8</sup> are each independently selected from H, C<sub>1</sub>-C<sub>18</sub> alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of -C(O)OR<sup>10</sup>,



- 5 -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>; and

R<sup>4</sup> is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

6. A compound according to claim 1 selected from the group consisting of:
- 10 the compound of claim 1 wherein R is Me and R<sup>4</sup> is H;  
the compound of claim 1 wherein R is n-butyl and R<sup>4</sup> is H;  
the compound of claim 1 wherein R is MeS and R<sup>4</sup> is H;  
the compound of claim 1 wherein R is EtS and R<sup>4</sup> is H;  
the compound of claim 1 wherein R is cyclopropyl and R<sup>4</sup> is H;  
15 the compound of claim 1 wherein R is cyclobutyl and R<sup>4</sup> is H;  
the compound of claim 1 wherein R is cyclopentyl and R<sup>4</sup> is H; and  
the compound of claim 1 wherein R is cyclohexyl and R<sup>4</sup> is H.
7. A compound according to claim 2 selected from the group consisting of:
- the compound of claim 2 wherein R is Me, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-
- 20 propyl;  
the compound of claim 2 wherein R is Me, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;  
the compound of claim 2 wherein R = Me, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- 25 the compound of claim 2 wherein R is Me, R<sup>4</sup> is H; X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;  
the compound of claim 2 wherein R is Me, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;  
the compound of claim 2 wherein R is Me, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- 30 the compound of claim 2 wherein R is n-butyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;  
the compound of claim 2 wherein R is n-butyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;  
35 the compound of claim 2 wherein R is n-butyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;  
the compound of claim 2 wherein R is n-butyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;  
the compound of claim 2 wherein R is n-butyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-

5 pyridinyl)-1H-imidazol-1-yl)propyl;

the compound of claim 2 wherein R is n-butyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

the compound of claim 2 wherein R is MeS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

10 the compound of claim 2 wherein R is MeS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

the compound of claim 2 wherein R is MeS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

15 the compound of claim 2 wherein R is MeS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of claim 2 wherein R is MeS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

the compound of claim 2 wherein R is MeS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

20 the compound of claim 2 wherein R is EtS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

the compound of claim 2 wherein R is EtS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

25 the compound of claim 2 wherein R is EtS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of claim 2 wherein R is EtS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of claim 2 wherein R is EtS, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

30 the compound of claim 2 wherein R is EtS, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;

the compound of claim 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

35 the compound of claim 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;

the compound of claim 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

the compound of claim 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;

- 5       the compound of claim 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of claim 2 wherein R is cyclopropyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of claim 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- 10       the compound of claim 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- the compound of claim 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- 15       the compound of claim 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of claim 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of claim 2 wherein R is cyclobutyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- 20       the compound of claim 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- the compound of claim 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- 25       the compound of claim 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of claim 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of claim 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- 30       the compound of claim 2 wherein R is cyclopentyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl;
- the compound of claim 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- 35       the compound of claim 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-quinolin-4-yl-propyl;
- the compound of claim 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl;
- the compound of claim 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-

5 phenyl-imidazol-1-yl)-propyl;

the compound of claim 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl; and

the compound of claim 2 wherein R is cyclohexyl, R<sup>4</sup> is H, X is CH<sub>2</sub> and R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl.

10 8. A compound according to claim 3 wherein the bond between C-9 and the nitrogen to which C-9 is connected is a double bond, selected from the group consisting of:

the compound of claim 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

15 the compound of claim 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

20 the compound of claim 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

25 the compound of claim 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

30 the compound of claim 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;

35 the compound of claim 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;

the compound of claim 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe

- 5 the compound of claim 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;
- the compound of claim 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;
- the compound of claim 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;
- 10 the compound of claim 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;
- the compound of claim 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe;
- 15 the compound of claim 3 wherein R is cyclopentyl; R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe;
- the compound of claim 3 wherein R is cyclopentyl; R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe;
- the compound of claim 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl and R<sup>2</sup> is OMe
- 20 the compound of claim 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl and R<sup>2</sup> is OMe; and
- the compound of claim 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl and R<sup>2</sup> is OMe.
- 25 9. A compound according to claim 3 wherein the bond between C-9 and the nitrogen to which C-9 is connected is a single bond, selected from the group consisting of:
- the compound of claim 3 wherein R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of claim 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl; R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 30 the compound of claim 3 wherein R is Me, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of claim 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- 35 the compound of claim 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of claim 3 wherein R is n-butyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;
- the compound of claim 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl,

- 5 R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is MeS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

- 10 the compound of claim 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

- 15 the compound of claim 3 wherein R is EtS, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

- 20 the compound of claim 3 wherein R is cyclopropyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

- 25 the compound of claim 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is cyclobutyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

- 30 the compound of claim 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is cyclopentyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

- 35 the compound of claim 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr;

the compound of claim 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr; and

the compound of claim 3 wherein R is cyclohexyl, R<sup>4</sup> is H, X is NH, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>1</sup> is H and R<sup>2</sup> is Me, Et, or n-Pr.

- 5           10.     A compound according to claim 5 selected from the group consisting of:  
the compound of claim 5 wherein R is Me, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup>  
is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is Me, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-  
propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
10           the compound of claim 5 wherein R is Me, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-  
yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is n-butyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is  
H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is n-butyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-  
15           propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is n-butyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-  
imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is MeS, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H,  
R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
20           the compound of claim 5 wherein R is MeS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-  
propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is MeS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-  
1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is EtS, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H,  
25           R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is EtS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-  
propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is EtS, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-  
yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
30           the compound of claim 5 wherein R is cyclopropyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl,  
R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is cyclopropyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-  
yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is cyclopropyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-  
35           imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is cyclobutyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup>  
is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;  
the compound of claim 5 wherein R is cyclobutyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-  
yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

5 the compound of claim 5 wherein R is cyclobutyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of claim 5 wherein R is cyclopentyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

10 the compound of claim 5 wherein R is cyclopentyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of claim 5 wherein R is cyclopentyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

the compound of claim 5 wherein R is cyclohexyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-quinolin-4-yl-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et;

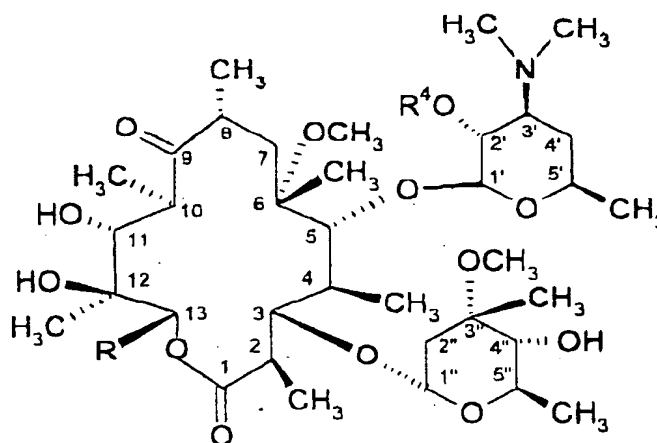
15 the compound of claim 5 wherein R is cyclohexyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-phenyl-imidazol-1-yl)-propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me, or Et; and

the compound of claim 5 wherein R is cyclohexyl, R<sup>4</sup> is H, R<sup>3</sup> is 3-(4-(3-pyridinyl)-1H-imidazol-1-yl)propyl, R<sup>7</sup> is H, R<sup>8</sup> is H and R<sup>2</sup> is H, Me or Et.

20 11. A pharmaceutical composition for the treatment of a bacterial infection or a protozoa infection in a mammal, fish, or bird which comprises a therapeutically effective amount of a compound of formulas 1, 2, 3, 4 or 5 or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier.

12. A method of treating a bacterial infection or a protozoa infection in a mammal, fish, or bird which comprises administering to said mammal, fish or bird a therapeutically effective amount of a compound of formula 1, 2, 3, 4 or 5 or a pharmaceutically acceptable salt thereof.

13. A process for preparing a compound of the formula



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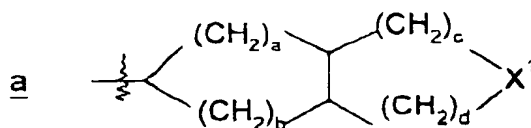
or a pharmaceutically acceptable salt thereof, wherein:



5 R is methyl, an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered  
 10 oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

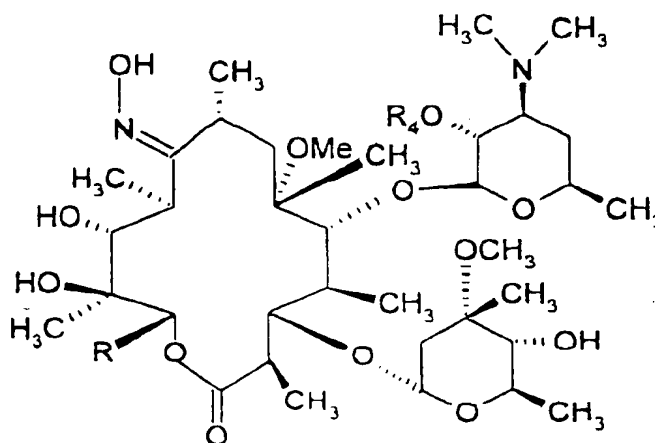
or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups,  
 15 trifluoromethyl, and cyano;

or R may be with a formula (a) as shown below



wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5; and

20 R<sup>4</sup> is H or acyl of an organic acid of up to 18 carbon atoms, which comprises treating a compound of the formula

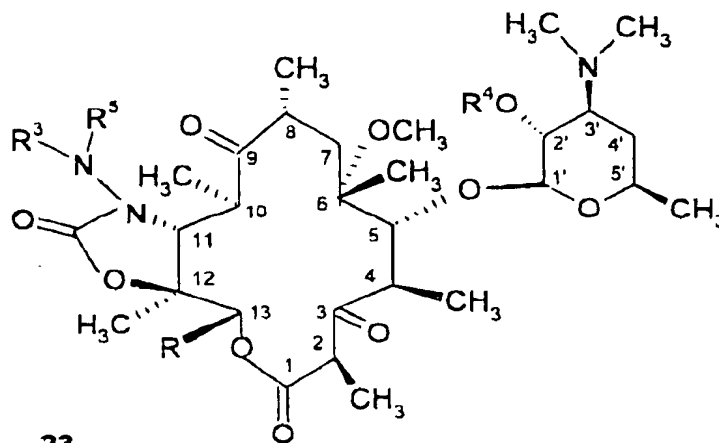


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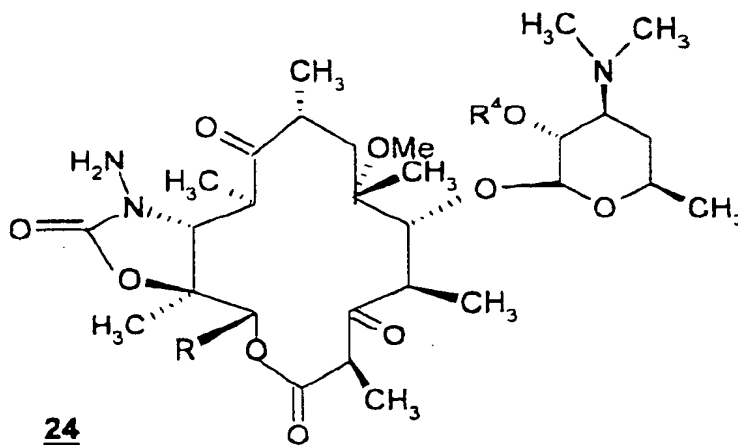
25 wherein R and R<sub>4</sub> are as defined in formula 1 with a hydrolyzing agent.

14. The process of claim 13 wherein the hydrolyzing agent is sodium bisulfite.

- 5            15.    The process of claim 13 wherein  $R^4$  is H.  
               16.    A process for preparing a compound of the formula



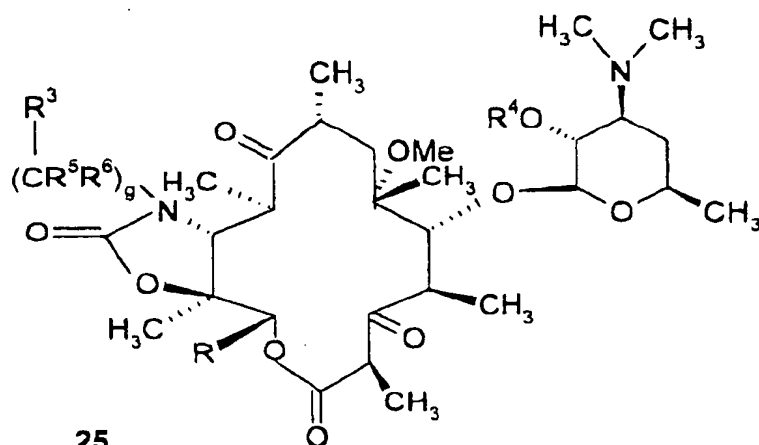
- wherein R,  $R^3$ ,  $R^4$  and  $R^5$  are as defined in formula 2 and X in formula 2 is  $-NR^5$  which comprises  
 10    treating a compound of the formula



              wherein R and  $R^4$  are as defined in formula 2, with an alkylating agent.

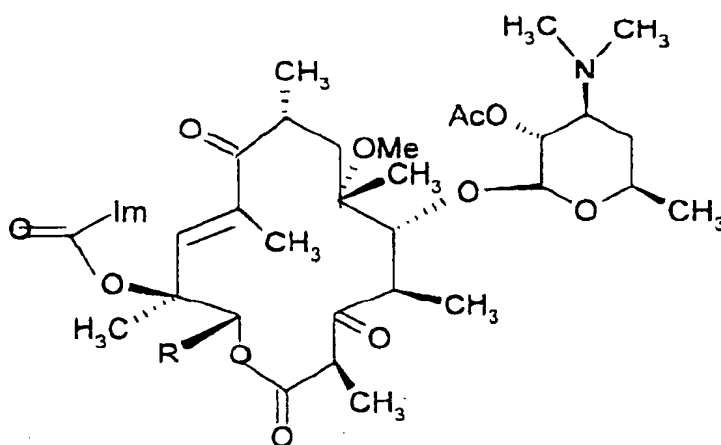
17.    The process of claim 16 wherein  $R^4$  is H.  
               18.    A process for preparing a compound of the formula

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wherein R, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are as defined in formula 2 and X in formula 2 is -(CR<sup>5</sup>R<sup>6</sup>)<sub>g</sub>- which comprises treating a compound of the formula

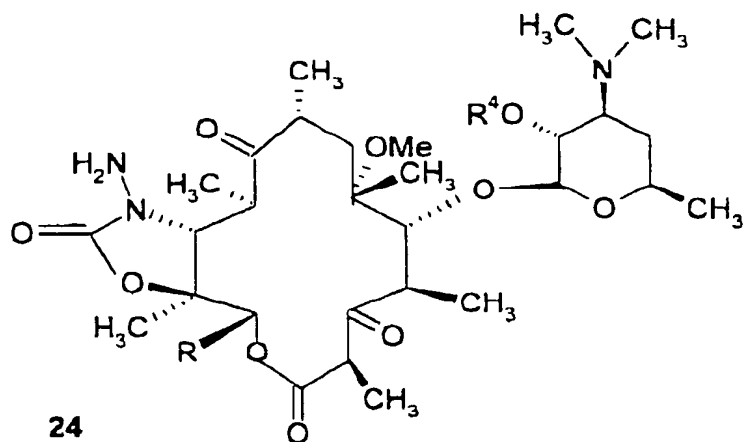


wherein R is as defined in formula 2 with a compound of the formula R<sup>3</sup>-C(R<sup>5</sup>R<sup>6</sup>)<sub>g</sub>-NH<sub>2</sub>, wherein g is 0 or 1 and R<sup>3</sup>, R<sup>5</sup> and R<sup>6</sup> are as defined in formula 2.

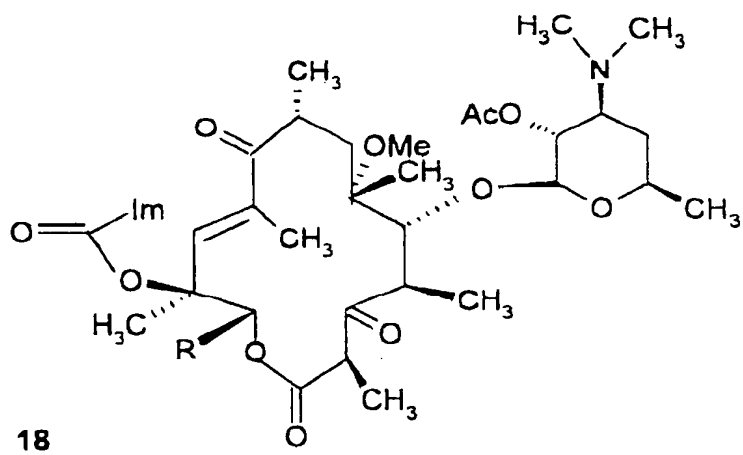
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19. A process for preparing a compound of the formula



wherein R and R<sup>4</sup> are as defined in formula 2, by treating a compound of the formula



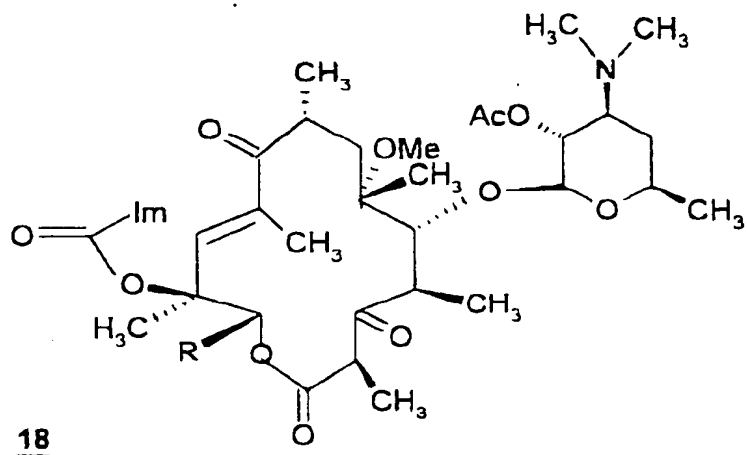
wherein R is as defined in formula 2, with  $\text{NH}_2\text{NH}_2$ .

10

20. The process of claim 19 wherein R<sup>4</sup> is H.

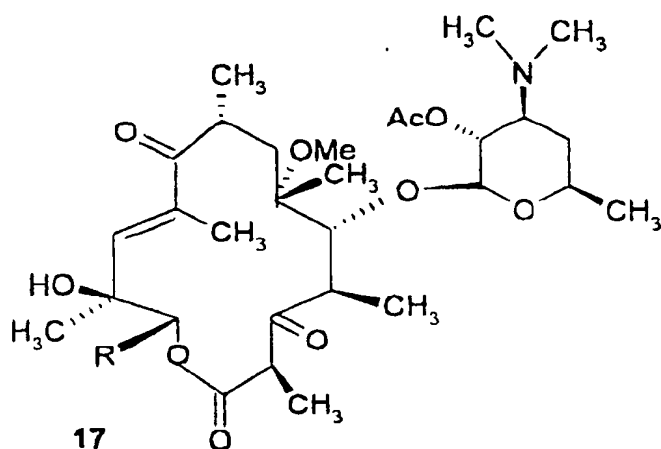
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- 5            21.    A process for preparing a compound of the formula



wherein R is as defined in formula 2 which comprises treating a compound of the formula

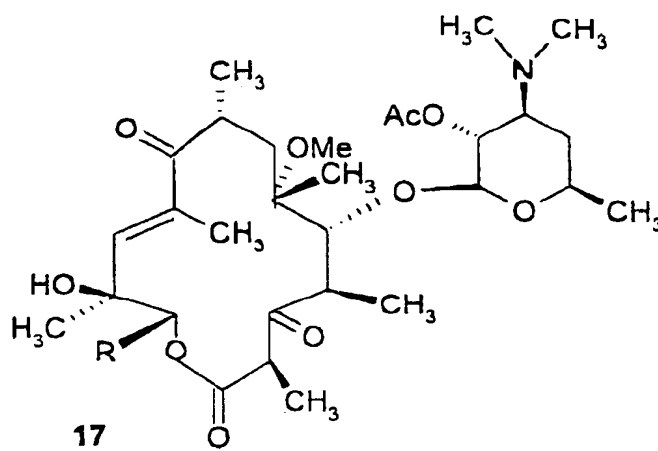
-98-



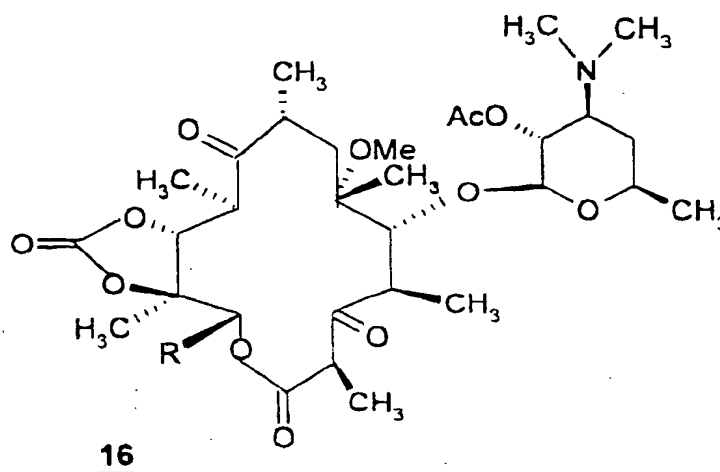
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wherein R is as defined in formula 2 with carbonyldiimidazole.

22. A process for preparing a compound of the formula



wherein R is as defined in formula 2 which comprises treating a compound of the formula

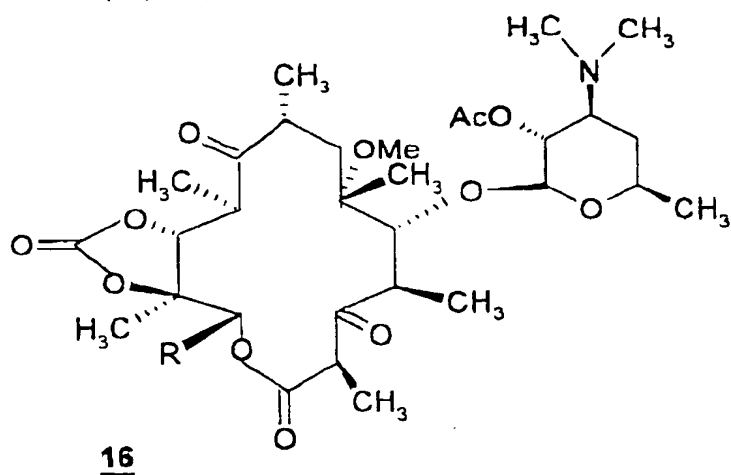


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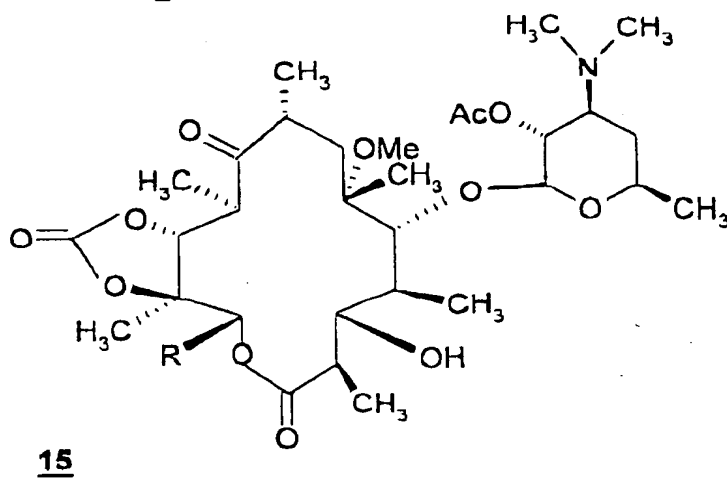
-99-

5 wherein R is as defined in formula 2 with a base.

23. A process for preparing a compound of the formula



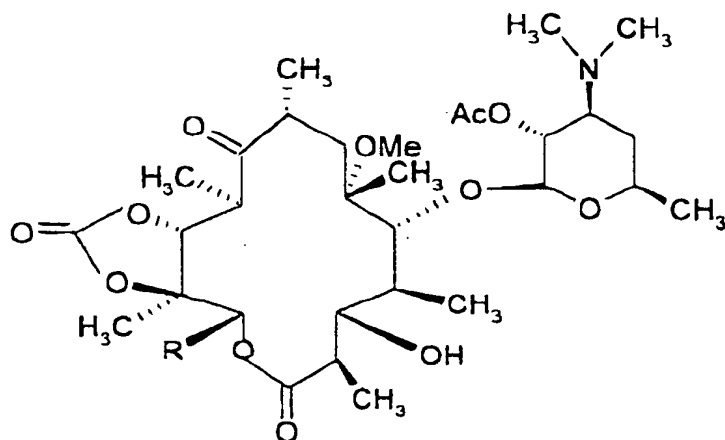
wherein R is as defined in formula 2 which comprises treating a compound of the formula



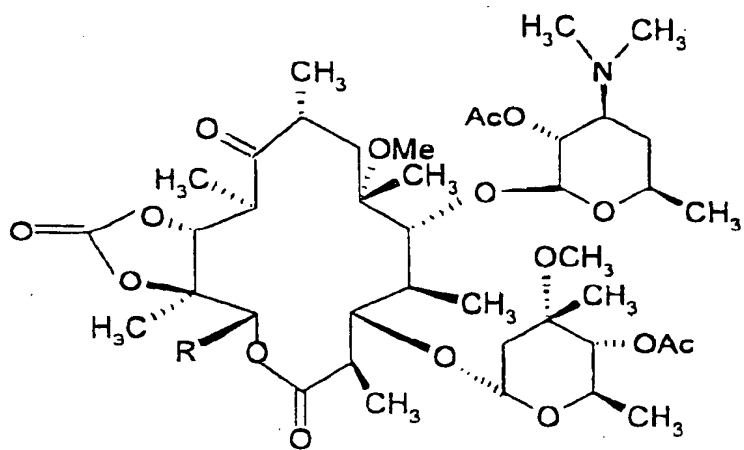
10 wherein R is as defined in formula 2 with an oxidizing agent.

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- 5            24.    A process for preparing a compound of the formula

15

wherein R is as defined in formula 2 which comprises treating a compound of the formula

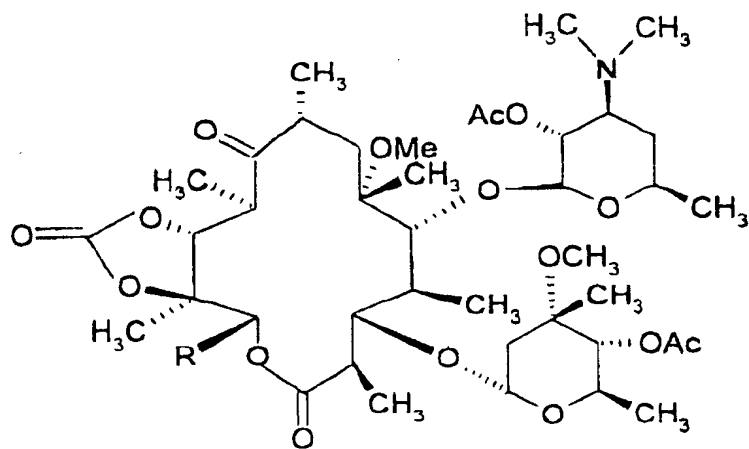
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wherein R is as defined in formula 2 with an acid.

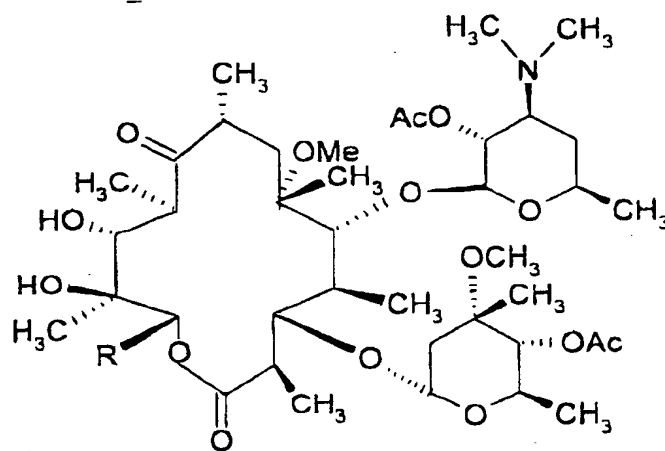


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- 5            25.    A process for preparing a compound of the formula

14

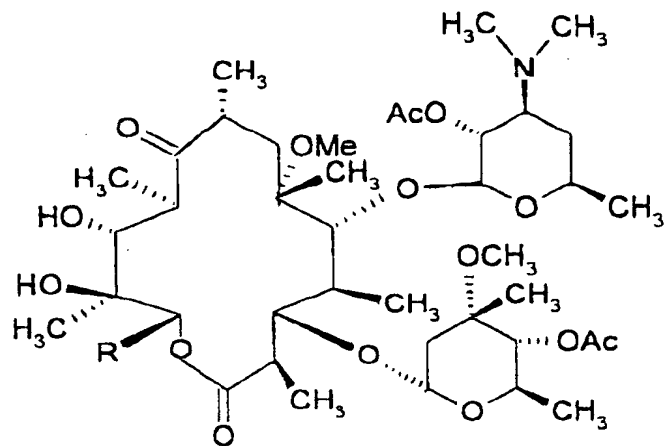
wherein R is as defined in formula 2 which comprises treating a compound of the formula

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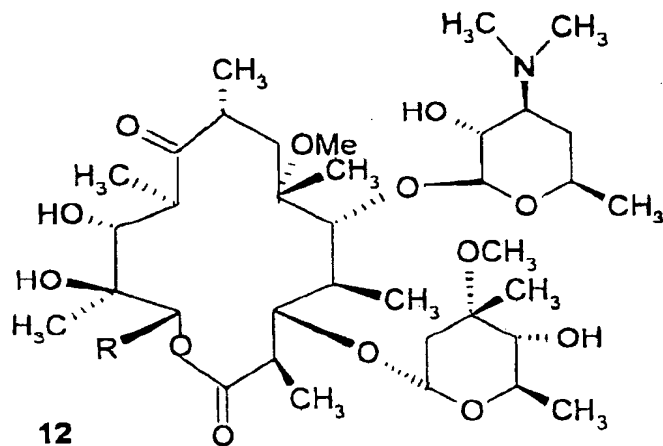
- wherein R is as defined in formula 2, with trichloromethylisocyanate, ethylene carbonate or  
 10    caronyldiimidazole.

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- 5            26.    A process for preparing a compound of the formula

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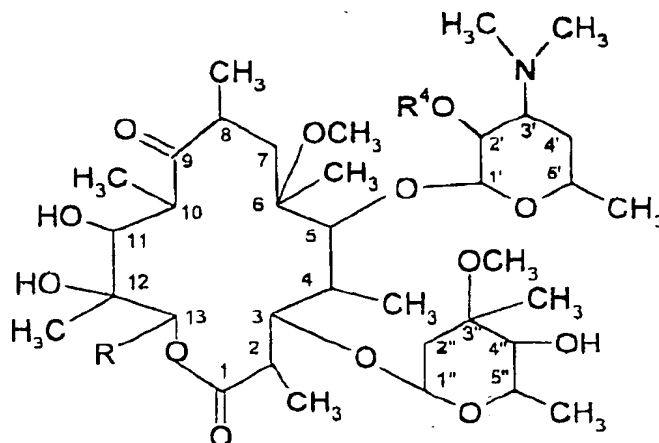
wherein R is as defined in formula 2 which comprises treating a compound of the formula

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wherein R is as defined in formula 2 with an acylating agent.

- 10            27.    The process of claim 26 wherein the acylating agent is acetic anhydride.  
               28.    A compound of the formula

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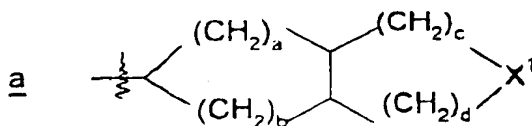
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or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

or R may be with a formula (a) as shown below



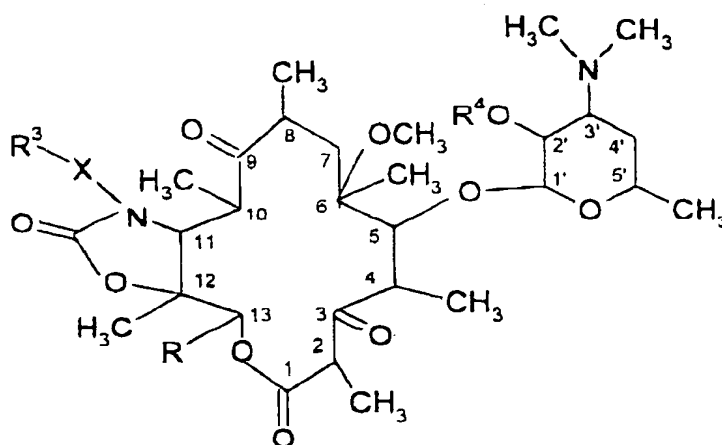
wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or

- 5 partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>3</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may
- 10 optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; and

R<sup>4</sup> is H or acyl of an organic acid of up to 18 carbon atoms.

29. A compound of the formula



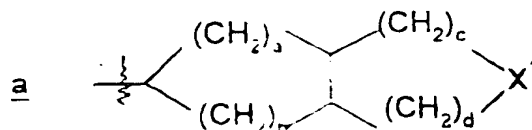
**2**

or a pharmaceutically acceptable salt thereof, wherein:

- 15 R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered
- 20 oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups,

- 25 trifluoromethyl, and cyano; or R may be with a formula (a) as shown below



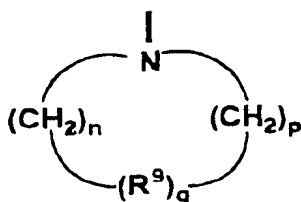
- 5 wherein  $X^1$  is O, S or  $-\text{CH}_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d \leq 5$ ;

$X$  is  $-(\text{CR}^6\text{R}^8)_g-$  or  $-\text{NR}^5-$ , wherein g is 0 or 1;

wherein when  $X$  is  $-\text{NR}^5-$ ,  $X$  and  $\text{R}^3$  optionally may be taken together to form  $-\text{N}=\text{CR}^7\text{R}^8$ ,

or when  $X$  is  $-\text{NR}^5-$ ,  $X$  and  $\text{R}^3$  optionally may be taken together to form a heterocyclic of

- 10 the formula



wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $\text{R}^9$  is selected from  $\text{CH}_2$ , O, S,  $\text{C}=\text{O}$ ,  $\text{C}=\text{S}$ ,  $\text{SO}_2$ ,  $-\text{CH}=\text{CH}-$ ,  $-\text{CH}(\text{OH})\text{CH}(\text{OH})-$ , and  $\text{NH}$ ;

- 15 or when  $X$  is  $-\text{NR}^5-$ ,  $X$  and  $\text{R}^3$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-\text{C}(\text{O})\text{OR}^{10}$ ,  $-\text{OR}^{10}$ ,  $\text{C}_1$ - $\text{C}_{10}$  alkanoyl, halo, nitro, cyano,  $\text{R}^{10}$ , 4-10 membered heterocyclic,  $\text{C}_6$ - $\text{C}_{10}$  aryl,  $-\text{C}(\text{O})\text{NR}^{10}\text{R}^{12}$ ,  $-\text{NHC}(\text{O})\text{R}^{10}$ ,  $-\text{NHC}(\text{O})\text{NR}^{10}\text{R}^{11}$ ,  $-\text{NR}^{10}\text{R}^{11}$ ,  $-\text{SR}^{10}$ ,  $-\text{S}(\text{O})\text{R}^{10}$ ,  $-\text{SO}_2\text{R}^{10}$  and  $-\text{SO}_2\text{NR}^{10}\text{R}^{11}$ ;

- or  $\text{R}$  is  $\text{CH}_2\text{R}^{24}$ , wherein  $\text{R}^{24}$  is H,  $\text{C}_1$ - $\text{C}_8$ alkyl,  $\text{C}_2$ - $\text{C}_8$ alkenyl,  $\text{C}_2$ - $\text{C}_8$ alkynyl, alkoxyalkyl or  
 20 alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a  $\text{C}_3$ - $\text{C}_8$ cycloalkyl or  $\text{C}_5$ - $\text{C}_8$ cycloalkenyl either or which may be optionally substituted by methyl or one or more  $\text{C}_1$ - $\text{C}_4$ alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or  
 25 partially unsaturated and which may optionally be substituted by one or more  $\text{C}_1$ - $\text{C}_4$ alkyl groups or halo atoms; or a group of the formula  $\text{SR}^{23}$  wherein  $\text{R}^{23}$  is  $\text{C}_1$ - $\text{C}_8$ alkyl,  $\text{C}_2$ - $\text{C}_8$ alkenyl,  $\text{C}_2$ - $\text{C}_8$ alkynyl,  $\text{C}_3$ - $\text{C}_8$ cycloalkyl,  $\text{C}_5$ - $\text{C}_8$ cycloalkenyl, phenyl or substituted phenyl wherein the substituent is  $\text{C}_1$ - $\text{C}_4$ alkyl,  $\text{C}_1$ - $\text{C}_4$ alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may  
 30 optionally be substituted by one or more  $\text{C}_1$ - $\text{C}_4$ alkyl groups or halo atoms;

$\text{R}^{10}$  and  $\text{R}^{11}$  are each independently selected from H,  $\text{C}_1$ - $\text{C}_{10}$  alkyl;

or  $\text{R}^3$  is selected from H,  $\text{C}(=\text{O})\text{Z}$ ,  $\text{C}(=\text{O})\text{OZ}$ ,  $(\text{CR}^5\text{R}^6)_m\text{Z}$ ,  $\text{C}(=\text{O})\text{R}^7$ ,  $\text{C}(=\text{O})\text{OR}^7$ ,  $(\text{CR}^5\text{R}^6)_m\text{R}^7$ , wherein m is an integer ranging from 0 to 6;

- $\text{Z}$  is a 4-10 membered heterocyclic or  $\text{C}_6$ - $\text{C}_{10}$  aryl, wherein said heterocyclic and aryl  
 35 groups are optionally substituted by 1 to 3 substituents independently selected from the group

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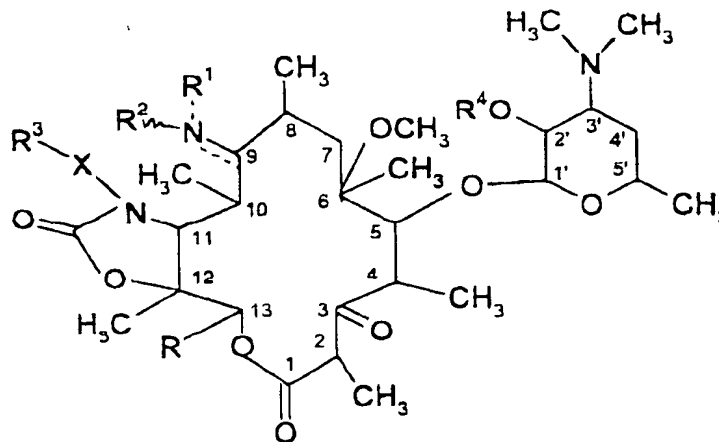
5 consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

$R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

10  $R^5$  and  $R^6$  are each independently selected from H,  $C_1-C_6$  alkyl, halogen; and  $R^5$  and  $R^6$  may each independently vary when m is greater than 1; and

$R^7$  and  $R^8$  are each independently selected from H,  $C_1-C_{18}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-NR^{10}R^{11}$ ,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ .

30. A compound of the formula



3

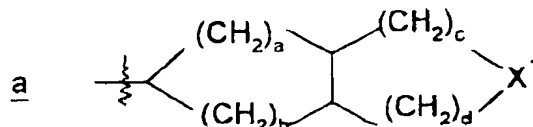
or a pharmaceutically acceptable salt thereof, wherein:

20 R is an alpha-branched  $C_3-C_8$  alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a  $C_5-C_8$  cycloalkylalkyl group wherein the alkyl group is an alpha-branched  $C_2-C_5$  alkyl group; a  $C_3-C_8$  cycloalkyl or  $C_5-C_8$  cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more  $C_1-C_4$  alkyl groups or halo atoms; or a 3 to 6 membered  
 25 oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from  $C_1-C_4$  alkyl,  $C_1-C_4$  alkoxy and  $C_1-C_4$  alkylthio groups, halogen atoms, hydroxyl groups,

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- 5 trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:

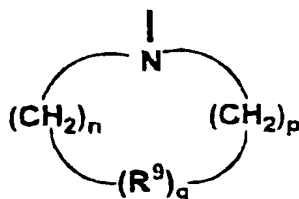


wherein  $X^1$  is O, S or  $-CH_2-$ , a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and  $a + b + c + d \leq 5$ ;

X is  $-(CR^5R^6)_g-$  or  $-NR^5-$ , wherein g is 0 or 1,

- 10 wherein when X is  $-NR^5-$ , X and  $R^5$  optionally may be taken together to form  $-N=CR^7R^8$ ,

or when X is  $-NR^5-$ , X and  $R^5$  optionally may be taken together to form a heterocyclic of the formula



- 15 wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $R^9$  is selected from  $CH_2$ , O, S, C=O, C=S,  $SO_2$ ,  $-CH=CH-$ ,  $-CH(OH)CH(OH)-$ , and NH;

- or when X is  $-NR^5-$ , X and  $R^5$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  
20  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

- or R is  $CH_2R^{24}$ , wherein  $R^{24}$  is H,  $C_1-C_8$  alkyl,  $C_2-C_8$  alkenyl,  $C_2-C_8$  alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a  $C_3-C_8$  cycloalkyl or  $C_5-C_8$  cycloalkenyl either or which may be  
25 optionally substituted by methyl or one or more  $C_1-C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo atoms; or a group of the formula  $SR^{23}$  wherein  $R^{23}$  is  $C_1-C_8$  alkyl,  $C_2-C_8$  alkenyl,  $C_2-C_8$  alkynyl,  $C_3-C_8$  cycloalkyl,  $C_5-C_8$  cycloalkenyl, phenyl or substituted phenyl wherein the  
30 substituent is  $C_1-C_4$  alkyl,  $C_1-C_4$  alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1-C_4$  alkyl groups or halo atoms;

$R^{10}$  and  $R^{11}$  are each independently selected from H,  $C_1-C_{10}$  alkyl;

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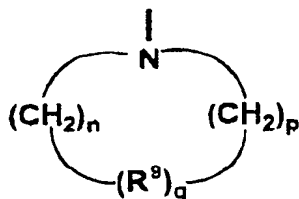
- 5 or  $R^3$  is selected from H,  $C(=O)Z$ ,  $C(=O)OZ$ ,  $(CR^5R^6)_mZ$ ,  $C(=O)R^7$ ,  $C(=O)OR^7$ ,  $(CR^5R^6)_mR^7$  wherein m is selected from an integer ranging from 0 to 6;

Z is a 4-10 membered heterocyclic or  $C_6-C_{10}$  aryl; wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

$R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms;

$R^5$  and  $R^6$  are each independently selected from H,  $C_1-C_6$  alkyl, halogen; and  $R^5$  and  $R^6$  may each independently vary when m is greater than 1;

- 15 where the bond between C9 and the nitrogen to which C9 is linked is a single bond,  $R^1$  and  $R^2$  together with the nitrogen atom to which they are linked optionally may together form  $-N=CR^7R^8$ , or  $R^1$  and  $R^2$  together with the nitrogen atom to which they are linked optionally may together form a heterocyclic of the formula



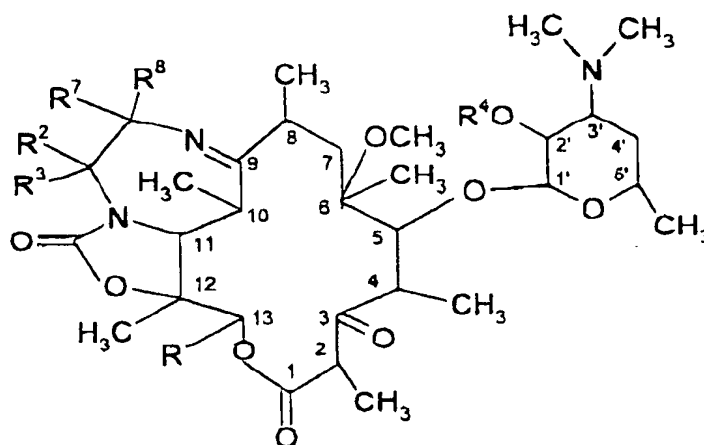
- 20 wherein n is selected from an integer ranging from 1 to 3, p is selected from an integer ranging from 1 to 3, q is 0 or 1, and  $R^9$  is selected from  $CH_2$ , O, S,  $C=O$ ,  $C=S$ ,  $SO_2$ ,  $-CH=CH-$ ,  $-CH(OH)CH(OH)-$ , and NH; or X and  $R^3$  together form a heterocyclic as defined above having substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ; and

- 25  $R^1$ ,  $R^2$ ,  $R^7$ , and  $R^8$  are each independently selected from H,  $C_1-C_{12}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,  $-OR^{10}$ ,  $C_1-C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6-C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ;

30 where the bond between C9 and the nitrogen to which C9 is linked is a double bond,  $R^1$  is not existent, and  $R^2$  is  $OR^7$ , wherein  $R^7$  is defined as above.

31. A compound of the formula:



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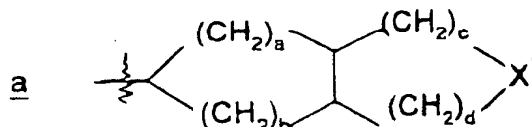
5

or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkylalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

or R may be with a formula (a) as shown below



wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

or R is CH<sub>2</sub>R<sup>2a</sup>, wherein R<sup>2a</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6

25

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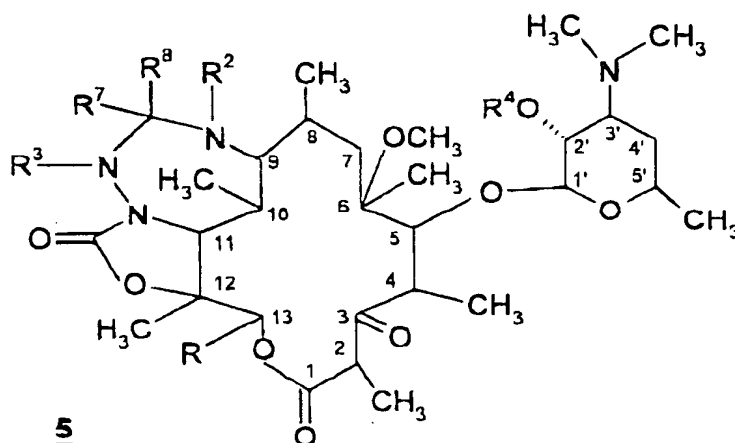
- 5 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo atoms; or a group of the formula  $SR^{23}$  wherein  $R^{23}$  is  $C_1$ - $C_8$  alkyl,  $C_2$ - $C_8$  alkenyl,  $C_2$ - $C_8$  alkynyl,  $C_3$ - $C_8$  cycloalkyl,  $C_5$ - $C_8$  cycloalkenyl, phenyl or substituted phenyl wherein the substituent is  $C_1$ - $C_4$  alkyl,  $C_1$ - $C_4$  alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing
- 10 heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo atoms;

- $R^3$ ,  $R^2$ ,  $R^7$ , and  $R^8$  are independently selected from H,  $C_1$ - $C_{18}$  alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are optionally substituted by 1 to 3 substituents selected from the group consisting of  $-C(O)OR^{10}$ ,
- 15  $-OR^{10}$ ,  $C_1$ - $C_{10}$  alkanoyl, halo, nitro, cyano,  $R^{10}$ , 4-10 membered heterocyclic,  $C_6$ - $C_{10}$  aryl,  $-C(O)NR^{10}R^{11}$ ,  $-NHC(O)R^{10}$ ,  $-NHC(O)NR^{10}R^{11}$ ,  $-NR^{10}R^{11}$ ,  $-SR^{10}$ ,  $-S(O)R^{10}$ ,  $-SO_2R^{10}$  and  $-SO_2NR^{10}R^{11}$ ; and

$R^{10}$  and  $R^{11}$  are each independently selected from H,  $C_1$ - $C_{10}$  alkyl; and

$R^4$  is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

- 20 32. A compound of the formula

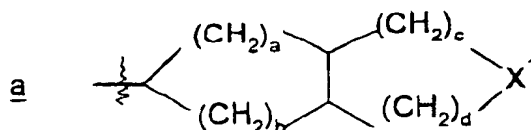


or a pharmaceutically acceptable salt thereof, wherein:

- R is an alpha-branched  $C_3$ - $C_8$  alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a  $C_5$ - $C_8$
- 25 cycloalkylalkyl group wherein the alkyl group is an alpha-branched  $C_2$ - $C_5$  alkyl group; a  $C_3$ - $C_8$  cycloalkyl or  $C_5$ - $C_8$  cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more  $C_1$ - $C_4$  alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more  $C_1$ - $C_4$  alkyl groups or halo
- 30 atoms;

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- 5 or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano; or R may be with a formula (a) as shown below:



- 10 wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

- or R is CH<sub>2</sub>R<sup>24</sup>, wherein R<sup>24</sup> is H, C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups or by one or more halo atoms; or a C<sub>3</sub>-C<sub>8</sub>cycloalkyl or C<sub>5</sub>-C<sub>8</sub>cycloalkenyl either or which may be  
 15 optionally substituted by methyl or one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms; or a group of the formula SR<sup>23</sup> wherein R<sup>23</sup> is C<sub>1</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, C<sub>3</sub>-C<sub>8</sub>cycloalkyl, C<sub>5</sub>-C<sub>8</sub>cycloalkenyl, phenyl or substituted phenyl wherein the  
 20 substituent is C<sub>1</sub>-C<sub>4</sub>alkyl, C<sub>1</sub>-C<sub>4</sub>alkoxy or halo, or a 3 to 6 membered oxygen or sulphur-containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub>alkyl groups or halo atoms;

R<sup>10</sup> and R<sup>11</sup> are independently selected from H, C<sub>1</sub>-C<sub>10</sub> alkyl;

- R<sup>3</sup> is selected from H, C(=O)Z, C(=O)OZ, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>Z, C(=O)R<sup>7</sup>, C(=O)OR<sup>7</sup>, (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub>R<sup>7</sup>  
 25 wherein m is selected from an integer ranging from 0 to 6;

- Z is a 4-10 membered heterocyclic or C<sub>6</sub>-C<sub>10</sub> aryl, wherein said heterocyclic and aryl groups are optionally substituted by 1 to 3 substituents independently selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>,  
 30 -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>;

R<sup>5</sup> and R<sup>6</sup> are independently selected from H, C<sub>1</sub>-C<sub>6</sub> alkyl, halogen; and R<sup>5</sup> and R<sup>6</sup> may each vary independently when m is greater than 1;

- R<sup>2</sup>, R<sup>7</sup>, and R<sup>8</sup> are each independently selected from H, C<sub>1</sub>-C<sub>18</sub> alkyl, wherein one or two carbons of said alkyl are optionally replaced by a heteroatom selected from O, S and N, and are  
 35 optionally substituted by 1 to 3 substituents selected from the group consisting of -C(O)OR<sup>10</sup>, -OR<sup>10</sup>, C<sub>1</sub>-C<sub>10</sub> alkanoyl, halo, nitro, cyano, R<sup>10</sup>, 4-10 membered heterocyclic, C<sub>6</sub>-C<sub>10</sub> aryl, -C(O)NR<sup>10</sup>R<sup>11</sup>, -NHC(O)R<sup>10</sup>, -NHC(O)NR<sup>10</sup>R<sup>11</sup>, -NR<sup>10</sup>R<sup>11</sup>, -SR<sup>10</sup>, -S(O)R<sup>10</sup>, -SO<sub>2</sub>R<sup>10</sup> and

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5 -SO<sub>2</sub>NR<sup>10</sup>R<sup>11</sup>; and

R<sup>4</sup> is H or acyl of an organic carboxylic acid of up to 18 carbon atoms.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 98/02100

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C07H17/08 A61K31/70

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07H A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 36912 A (ABBOTT LAB) 9 October 1997 see page 1, line 7 - line 16 see page 12, line 5 - line 12 see figure 1 ---	1,13-15, 28
X	EP 0 222 186 A (ABBOTT LAB) 20 May 1987 see claims ---	1,11,28
X	US 5 444 051 A (AGOURIDAS CONSTANTIN ET AL) 22 August 1995 see page 7, left-hand column, compounds of formula II. --- -/-	1,28

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
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- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the international search

31 March 1999

Date of mailing of the international search report

28.06.1999

Name and mailing address of the ISA

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Held, P

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 98/02100

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	MORIMOTO S. ET AL.: "Chemical modification of erythromycins. I. Synthesis and antibacterial activity of 6-O-methylerythromycins A" THE JOURNAL OF ANTIBIOTICS, vol. 27, no. 2, 1984, pages 187-189, XP002098630 see page 187, left-hand column, formula (compound 3) see page 188; table 2 ---	1,28
X	US 4 680 386 A (MORIMOTO SHIGEO ET AL) 14 July 1987 see column 1, line 53 - line 56 see column 2, molecule of formula [I] see column 3, line 53 - column 4, line 4 see column 4, line 28 - line 36 see referential example 4 -----	1,13-15, 28

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 98/02100

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 12  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claim(s) 12  
is(are) directed to a method of treatment of the human/animal  
body, the search has been carried out and based on the alleged  
effects of the compound/composition.
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such  
an extent that no meaningful International Search can be carried out, specifically:  
see further information sheet
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see further information sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all  
searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment  
of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report  
covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is  
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1,6,13-15,28(completely); 11(partially)

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

The search, restricted to claim 1, revealed such a large number of particularly relevant documents (case R = CH2R24) , in particular with regard to novelty, that the drafting of a comprehensive International Search Report is not feasible. The cited documents are considered as to form a representative sample of the revealed documents, duly taking into account their relevance with respect to the subject-matter as illustrated by the examples.



FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1, 6, 11 (partially), 13-15, 28

Compounds of formula (1), pharmaceutical compositions containing same, their use as antibiotics as well as the processes for making them

2. Claims: 2, 7, 11 (partially), 16-25, 29

Compounds of formula (2), pharmaceutical compositions containing same, their use as antibiotics, the processes for making them and their intermediates

3. Claims: 3, 8, 9, 11 (partially), 30

Compounds of formula (3), pharmaceutical compositions containing same as well as their use as antibiotics

4. Claims: claims 4, 11 (partially), 31

Compounds of formula (4), pharmaceutical compositions containing same as well as their use as antibiotics

5. Claims: 5, 10, 11 (partially), 32

Compounds of formula (5), pharmaceutical compositions containing same as well as their use as antibiotics

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 98/02100

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9736912	A	09-10-1997	US 5719272 A EP 0891370 A	17-02-1998 20-01-1999
EP 0222186	A	20-05-1987	US 4672056 A CA 1266447 A JP 2089412 C JP 7110874 B JP 62114998 A	09-06-1987 06-03-1990 02-09-1996 29-11-1995 26-05-1987
US 5444051	A	22-08-1995	FR 2669337 A FR 2677025 A FR 2680790 A AT 133683 T AU 640290 B AU 8798691 A CA 2055912 A CN 1065069 A, B CS 9103508 A DE 69116815 D DE 69116815 T DK 487411 T EP 0487411 A ES 2082952 T FI 915469 A GR 3018848 T IE 74713 B IL 99995 A JP 4290893 A NZ 240684 A OA 9523 A PL 167448 B PL 169422 B PT 99569 A RU 2100367 C US 5561118 A US 5770579 A	22-05-1992 04-12-1992 05-03-1993 15-02-1996 19-08-1993 28-05-1992 21-05-1992 07-10-1992 17-06-1992 14-03-1996 17-10-1996 15-04-1996 27-05-1992 01-04-1996 22-05-1992 31-05-1996 30-07-1997 20-11-1997 15-10-1992 26-08-1994 15-11-1992 30-09-1995 31-07-1996 30-10-1992 27-12-1997 01-10-1996 23-06-1998
US 4680386	A	14-07-1987	JP 1795258 C JP 5003476 B JP 61103890 A AU 574233 B AU 4897385 A DK 490585 A EP 0180415 A	28-10-1993 14-01-1993 22-05-1986 30-06-1988 01-05-1986 27-04-1986 07-05-1986



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification</b> <sup>6</sup> : <b>C07H 17/08, A61K 31/70</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/35157</b> <b>(43) International Publication Date:</b> 15 July 1999 (15.07.99)
<b>(21) International Application Number:</b> PCT/IB98/02100 <b>(22) International Filing Date:</b> 21 December 1998 (21.12.98) <b>(30) Priority Data:</b> 60/070,358 2 January 1998 (02.01.98) US <b>(71) Applicant (for all designated States except US):</b> PFIZER PRODUCTS INC. [US/US]; Eastern Point Road, Groton, CT 06340 (US). <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> WU, Yong-Jin [CA/US]; 36 Cardinal Road, East Lyme, CT 06333 (US). <b>(74) Agents:</b> SPIEGEL, Allen, J. et al.; c/o Simpson, Alison, Urquhart-Dykes & Lord, 91 Wimpole Street, London W1M 8AH (GB).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>With amended claims.</i>  <b>Date of publication of the amended claims:</b> 14 October 1999 (14.10.99)
<b>(54) Title:</b> NOVEL ERYTHROMYCIN DERIVATIVES		
<b>(57) Abstract</b> <p>The invention relates to novel erythromycin derivatives, particularly ones with novel C-13 R<sup>13</sup> substituents, and to pharmaceutically acceptable salts thereof. The compounds of this invention are useful as antibacterial agents and antiprotozoa agents and for other applications (e.g., anticancer, atherosclerosis, gastric motility reduction, etc.) in mammals, including man, as well as in fish and birds. The invention also relates to pharmaceutical compositions containing such compounds and to methods of treating bacterial protozoa infections by administering such compounds. The invention also relates to methods of preparing such compounds and to intermediates useful in such preparation.</p>		

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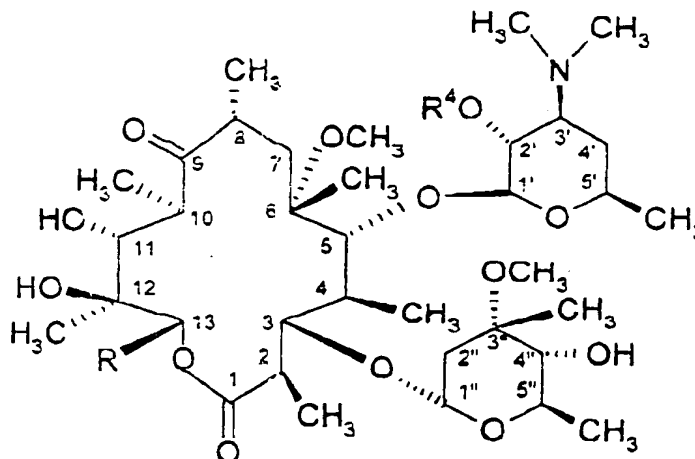
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## AMENDED CLAIMS

[received by the International Bureau on 27 August 1999 (27.08.99);  
original claim 1 amended; remaining claims unchanged (1 page)]

5

1. A compound of the formula



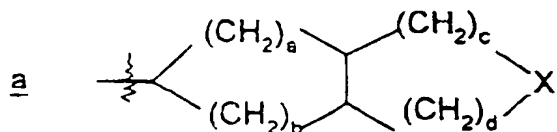
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10 or a pharmaceutically acceptable salt thereof, wherein:

R is an alpha-branched C<sub>3</sub>-C<sub>8</sub> alkyl, alkenyl, alkynyl, alkoxyalkyl or alkylthioalkyl group any of which may optionally be substituted by one or more hydroxyl groups; a C<sub>5</sub>-C<sub>8</sub> cycloalkyl group wherein the alkyl group is an alpha-branched C<sub>2</sub>-C<sub>5</sub> alkyl group; a C<sub>3</sub>-C<sub>8</sub> cycloalkyl or C<sub>5</sub>-C<sub>8</sub> cycloalkenyl group, either of which may optionally be substituted by methyl or one or more hydroxyl or one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms; or a 3 to 6 membered oxygen or sulphur containing heterocyclic ring which may be saturated, or fully or partially unsaturated and which may optionally be substituted by one or more C<sub>1</sub>-C<sub>4</sub> alkyl groups or halo atoms;

15 or R is phenyl which may be optionally substituted with at least one substituent selected from C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy and C<sub>1</sub>-C<sub>4</sub> alkylthio groups, halogen atoms, hydroxyl groups, trifluoromethyl, and cyano;

20 or R may be with a formula (a) as shown below



wherein X<sup>1</sup> is O, S or -CH<sub>2</sub>-, a, b, c, and d are each independently selected from an integer ranging from 0 to 2 and a + b + c + d ≤ 5;

25 or R is CH<sub>2</sub>R<sup>2a</sup>, wherein R<sup>2a</sup> is H, C<sub>2</sub>-C<sub>8</sub>alkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl, C<sub>2</sub>-C<sub>8</sub>alkynyl, alkoxyalkyl or alkylthioalkyl containing from 1 to 6 carbon atoms in each alkyl or alkoxy group wherein any of said alkyl, alkoxy, alkenyl or alkynyl groups may be substituted by one or more hydroxyl groups

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